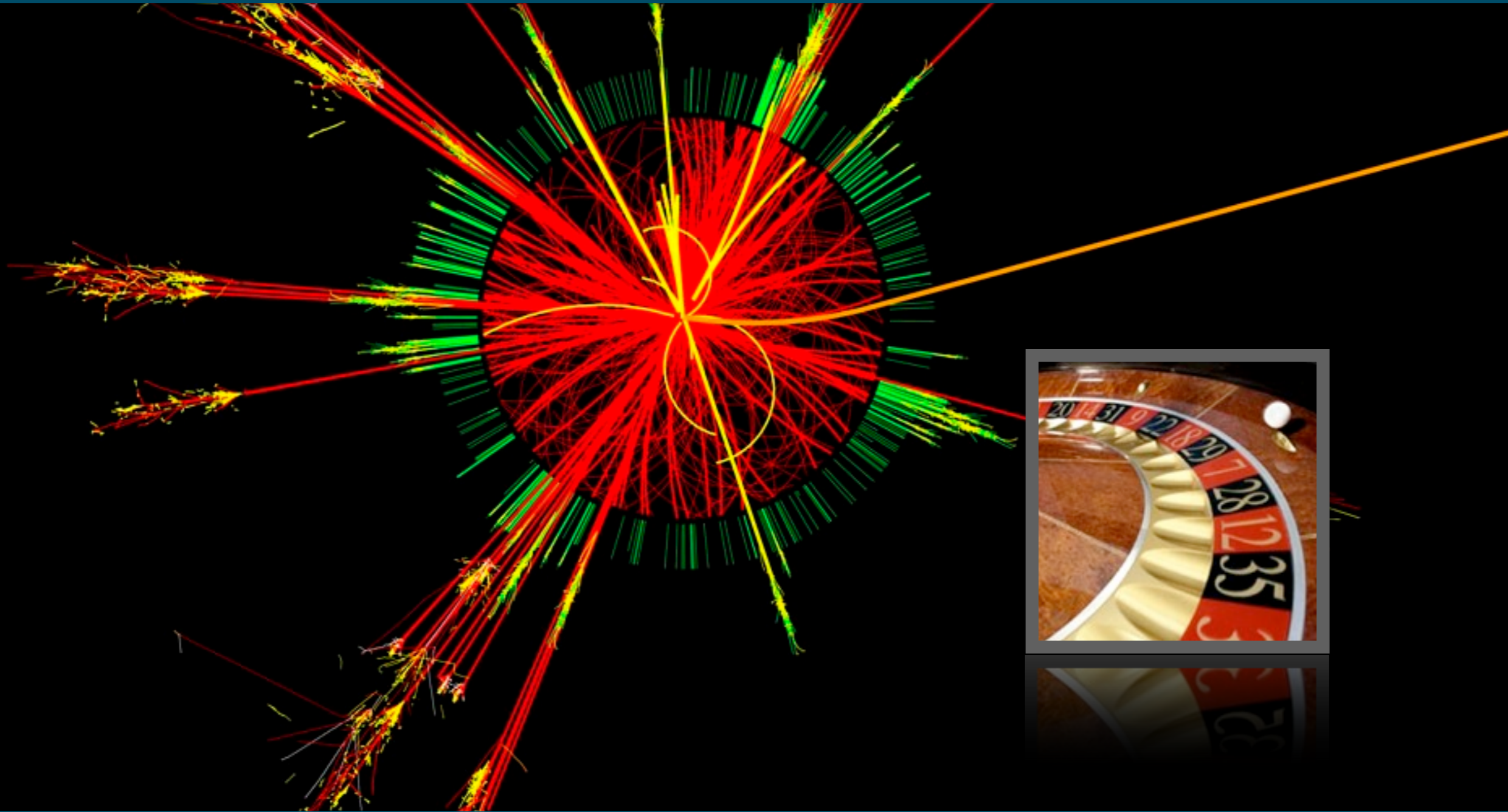


Event Generator Physics

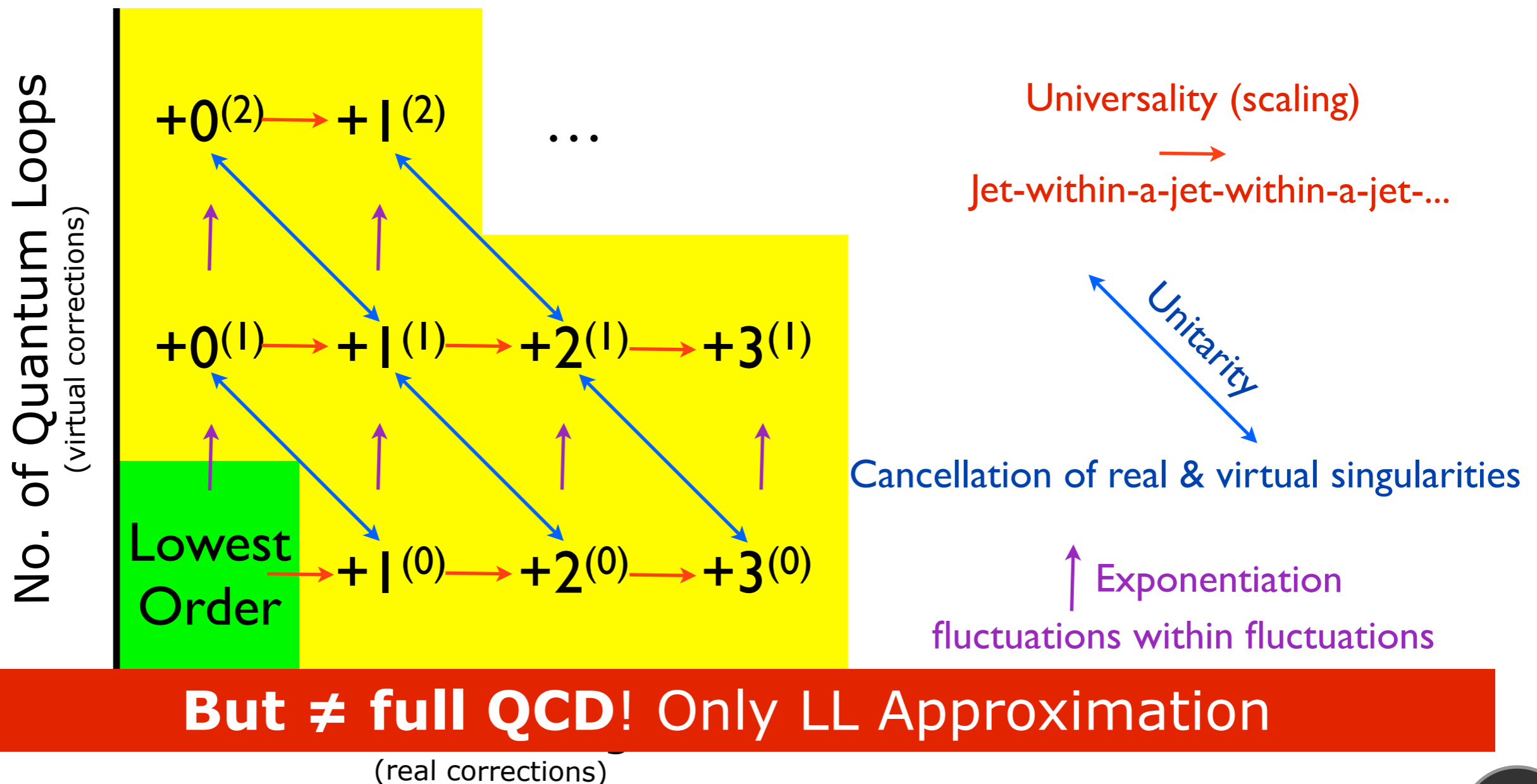
Peter Skands (CERN Theoretical Physics Dept)



Recap: Bootstrapped Perturbation Theory

Start from an **arbitrary lowest-order** process (green = QFT amplitude squared)

Parton showers generate the bremsstrahlung terms of the rest of the perturbative series (approximate infinite-order resummation)



Recap: Perturbative Ambiguities

The final states generated by a shower algorithm will depend on

1. The choice of perturbative evolution variable(s) $t^{[i]}$. ← Ordering & Evolution-scale choices
2. The choice of phase-space mapping $d\Phi_{n+1}^{[i]}/d\Phi_n$. ← Recoils, kinematics
3. The choice of radiation functions a_i , as a function of the phase-space variables.
4. The choice of renormalization scale function μ_R . ← Non-singular terms, Reparametrizations, Subleading Colour
5. Choices of starting and ending scales. ← Phase-space limits / suppressions for hard radiation and choice of hadronization scale

→ gives us additional handles for uncertainty estimates, beyond just μ_R
+ ambiguities can be reduced by including more pQCD → matching!

Jack of All Orders, Master of None?

Nice to have all-orders solution

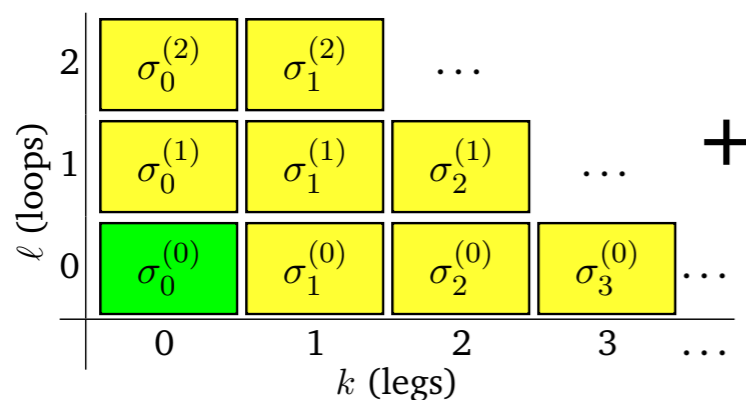
But it is only exact in the singular (soft & collinear) limits

→ gets the bulk of bremsstrahlung corrections right, but fails equally spectacularly: for hard wide-angle radiation: **visible, extra jets**

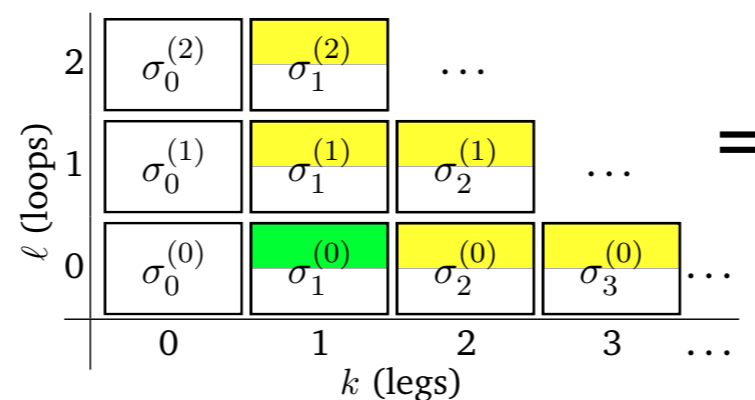
... which is exactly where fixed-order calculations work!

So combine them!

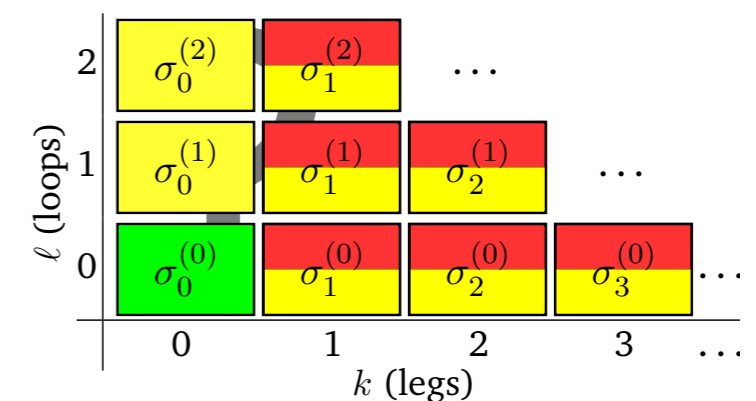
F @ LO×LL



F+1 @ LO×LL



F & F+1 @ LO×LL



FAIL!

See: PS, *Introduction to QCD*, TASI 2012, [arXiv:1207.2389](https://arxiv.org/abs/1207.2389)

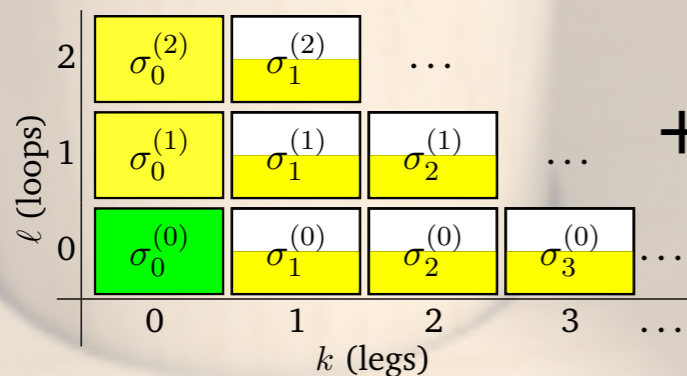
Matching 1: Slicing

Examples: MLM, CKKW, CKKW-L

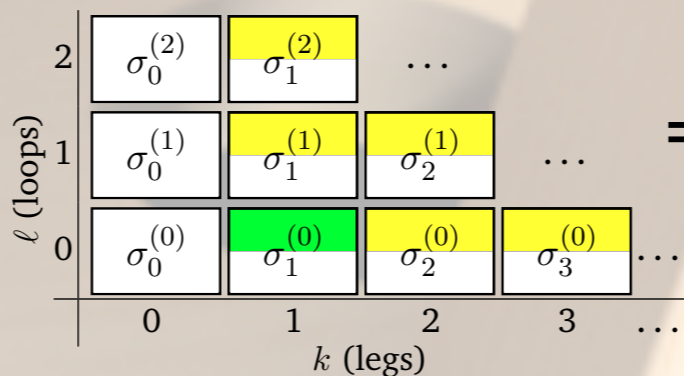
First emission: "the HERWIG correction"

Use the fact that the angular-ordered HERWIG parton shower has a "dead zone" for hard wide-angle radiation (Seymour, 1995)

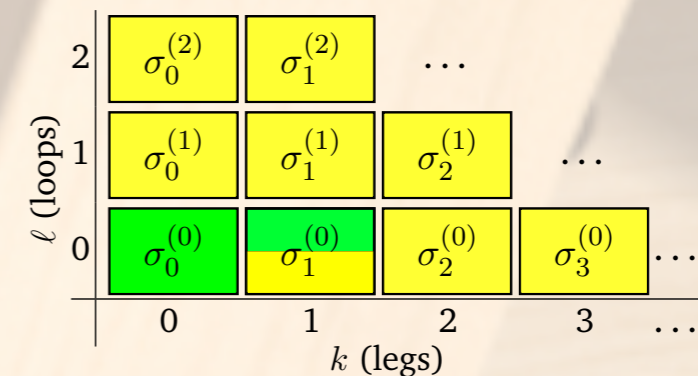
F @ LO×LL-Soft (HERWIG Shower)



F+1 @ LO×LL (HERWIG Corrections)

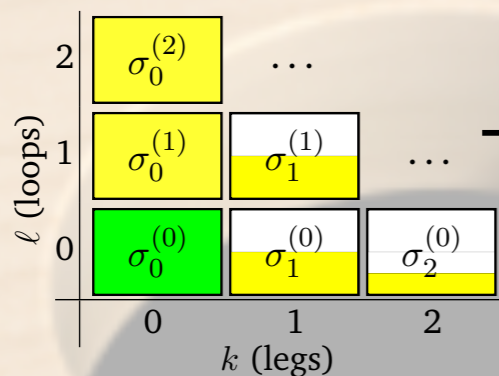


F @ LO_1×LL (HERWIG Matched)

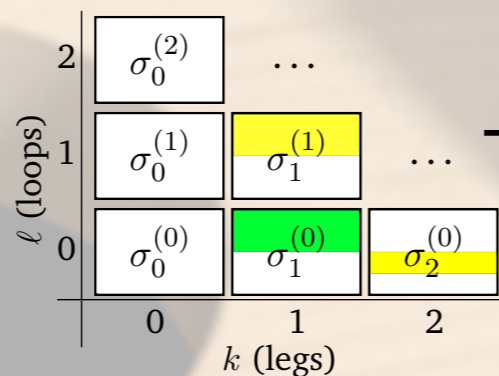


Many emissions: the MLM & CKKW-L prescriptions

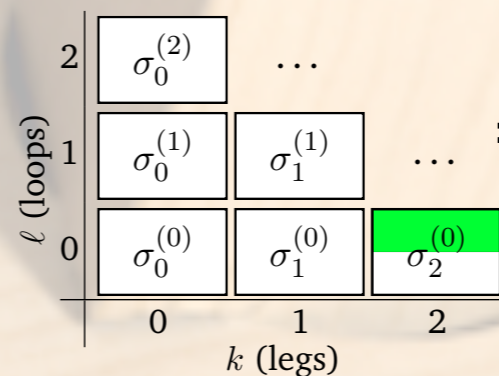
F @ LO×LL-Soft (excl)



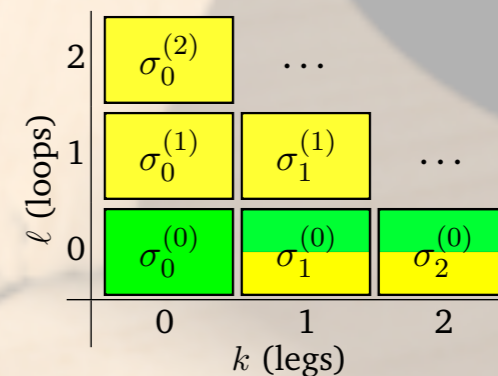
F+1 @ LO×LL-Soft (excl)



F+2 @ LO×LL (incl)



F @ LO_2×LL (MLM & (L)-CKKW)



(CKKW & Lönnblad, 2001)

(Mangano, 2002)

(+many more recent; see Alwall et al., EPJC53(2008)473)

Image Credits: istockphoto

The "CKKW" Prescription

Catani, Krauss, Kuhn, Webber, JHEP11(2001)063
Lönblad, JHEP05(2002)046

Start from a set of fixed-order MEs

Separate Phase-Space Integrations

$$\sigma_F^{\text{inc}}$$

$$\sigma_{F+1}^{\text{inc}}(Q_{\text{cut}})$$

$$\sigma_{F+2}^{\text{inc}}(Q_{\text{cut}})$$

Wish to add showers while eliminating Double Counting:
Transform inclusive cross sections, for "X or more", to exclusive ones, for "X and only X"

Jet Algorithm (CKKW) → Recluster back to F → "fake" brems history
Or use statistical showers (Lönblad), now done in all implementations
Reweight each internal line by shower Sudakov factor & each vertex by $\alpha_s(\mu_{\text{PS}})$

$$\sigma_{F+1}^{\text{exc}}(Q_{F+1})$$

$$\sigma_{F+2}^{\text{exc}}(Q_{F+2})$$

Reweight each external line by shower Sudakov factor

$$\sigma_F^{\text{exc}}(Q_{\text{cut}})$$

$$\sigma_{F+1}^{\text{exc}}(Q_{\text{cut}})$$

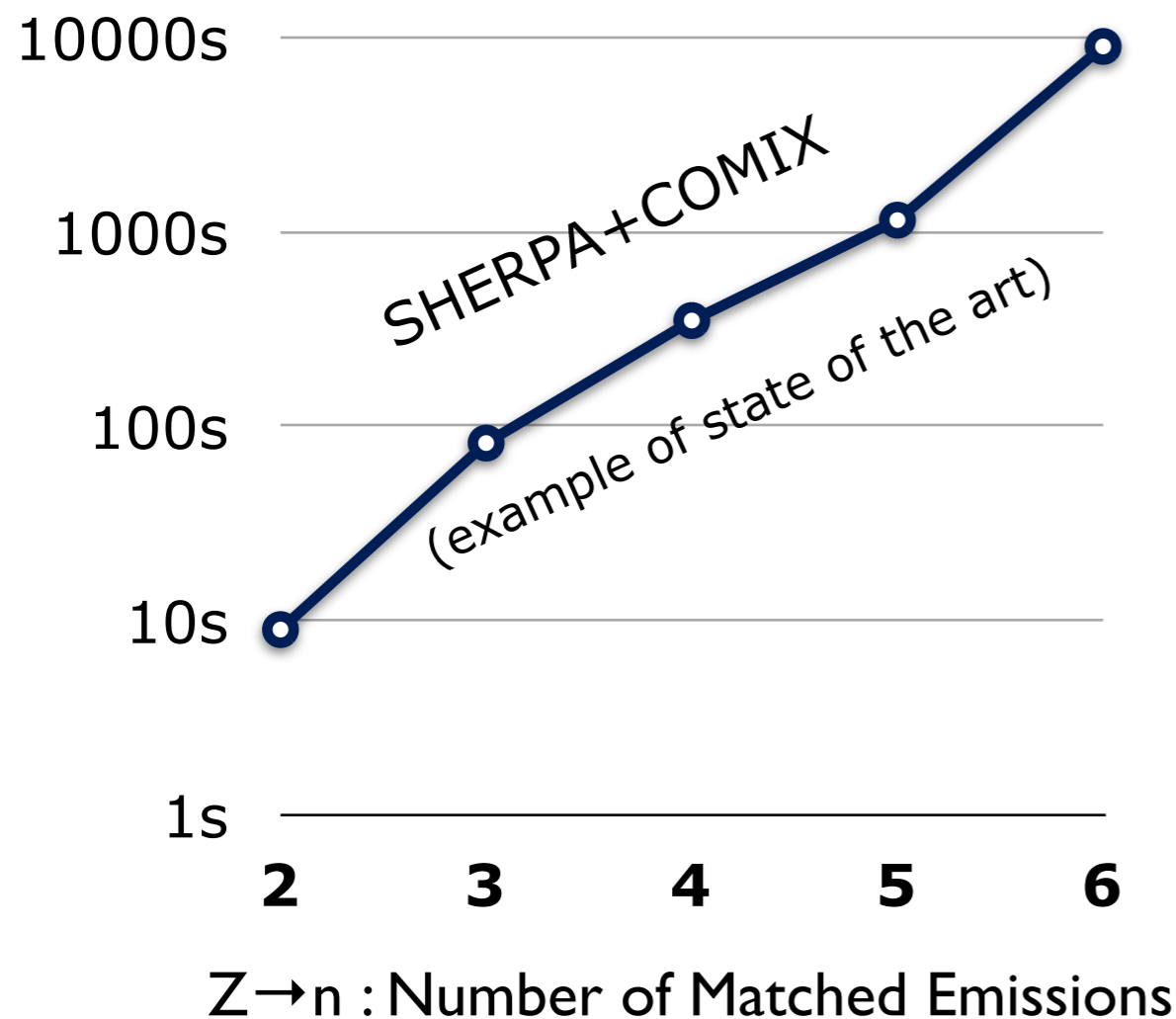
Now add a genuine parton shower → remaining evolution down to confinement scale

Start from Q_{cut}

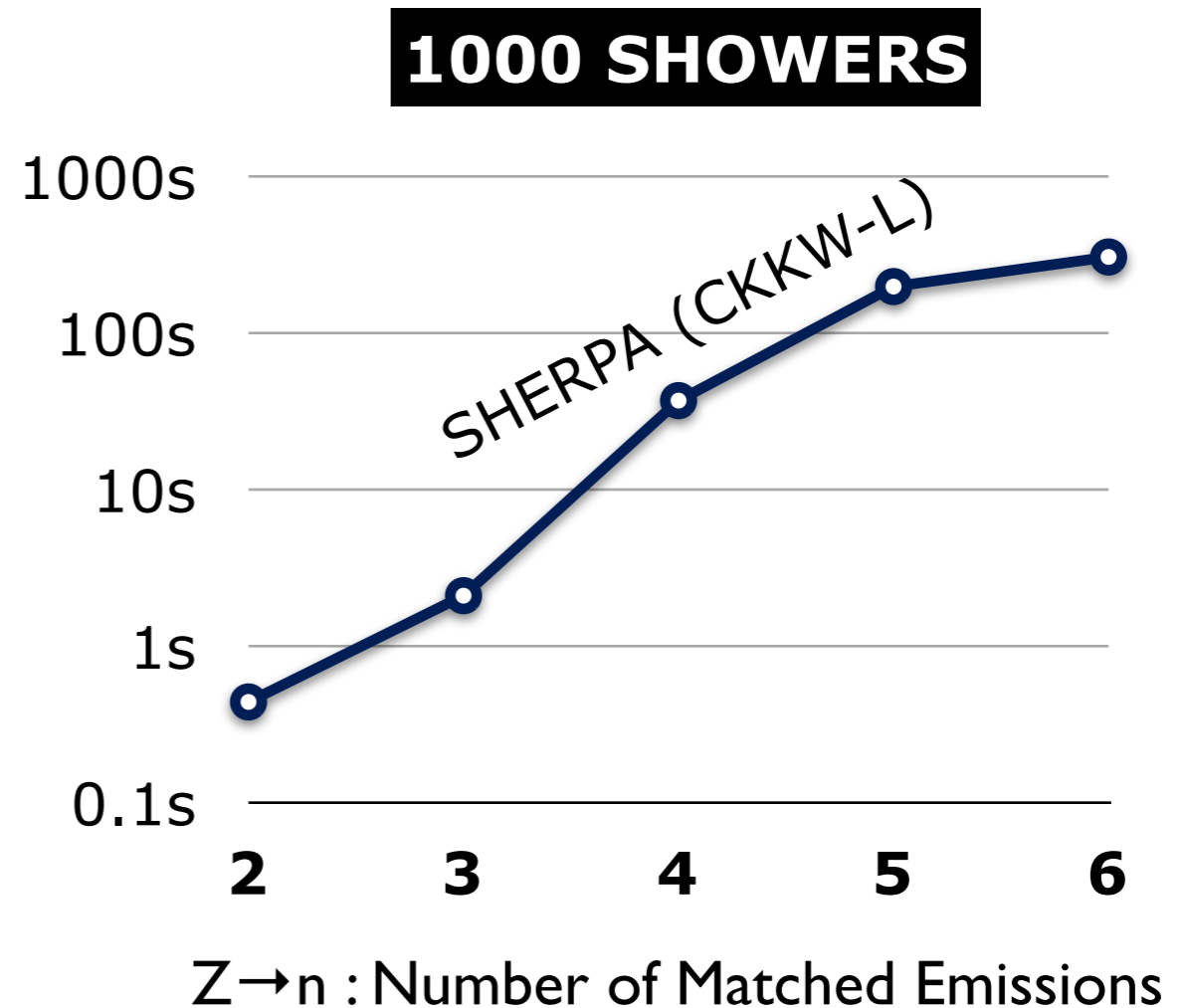
Start from Q_{F+2}

Slicing: The Cost

1. Initialization time
(to pre-compute cross sections and warm up phase-space grids)



2. Time to generate 1000 events
(Z → partons, fully showered & matched. No hadronization.)



Z → uds c b ; Hadronization OFF ; ISR OFF ; u d s c MASSLESS ; b MASSIVE ; $E_{CM} = 91.2$ GeV ; $Q_{match} = 5$ GeV
 SHERPA 1.4.0 (+COMIX) ; PYTHIA 8.1.65 ; VINCIA 1.0.29 (+MADGRAPH 4.4.26) ;
 gcc/gfortran v 4.7.1 -O2 ; single 3.06 GHz core (4GB RAM)

Matching: Classic Example

W + Jets

Important at the LHC
Consider $\sigma(W+n_{\text{jets}})$

Pure PYTHIA (shower)

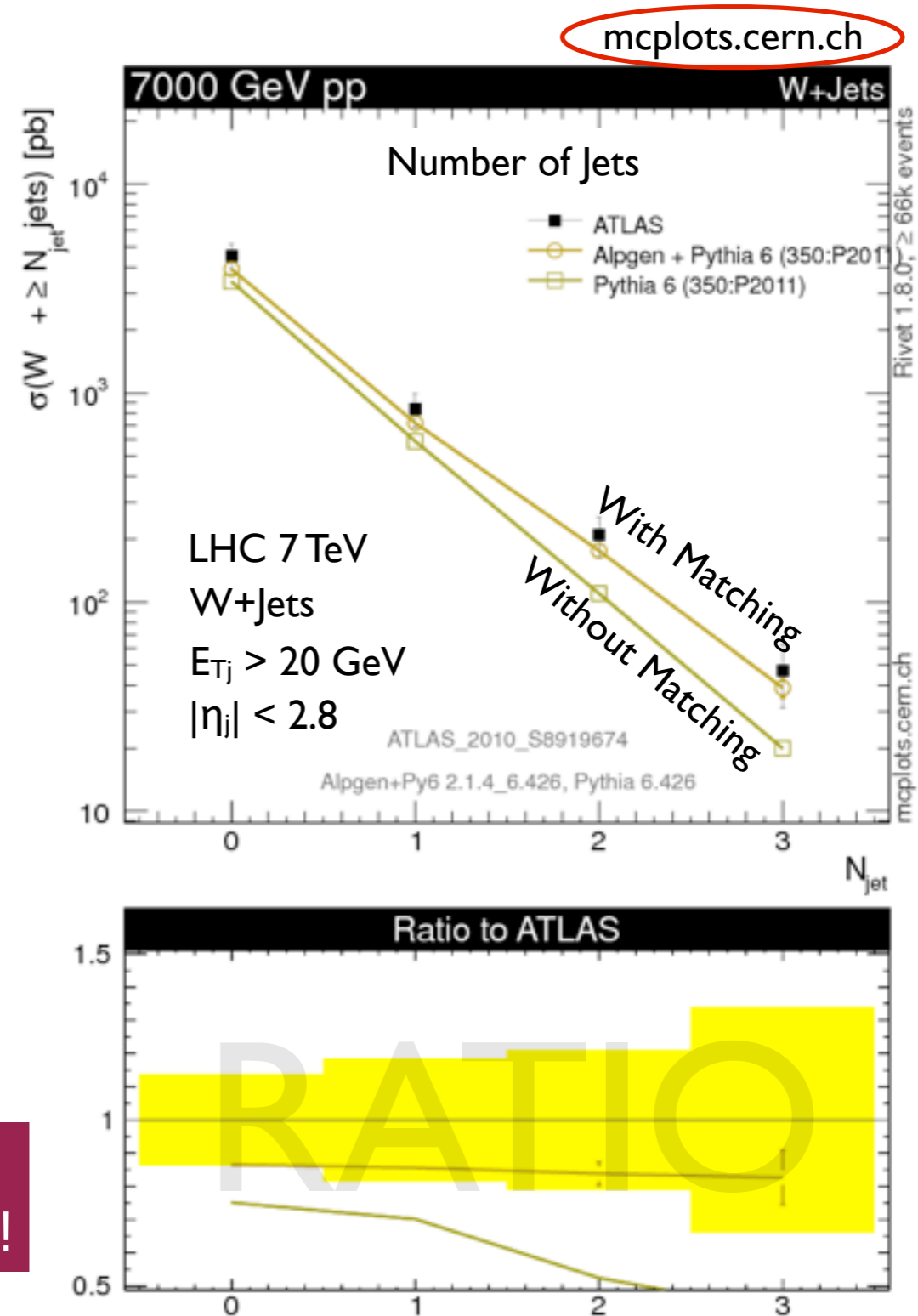
(includes LO matching
for $n_{\text{jet}} \leq 1$, **more later**)

Shower for $n_{\text{jet}} \geq 2$

ALPGEN+PYTHIA (MLM)

Includes LO matching
for $n_{\text{jet}} \leq 3$

Note: but the cross-section normalization is still only LO!



(Counter-Example)

QCD Multi-Jets:

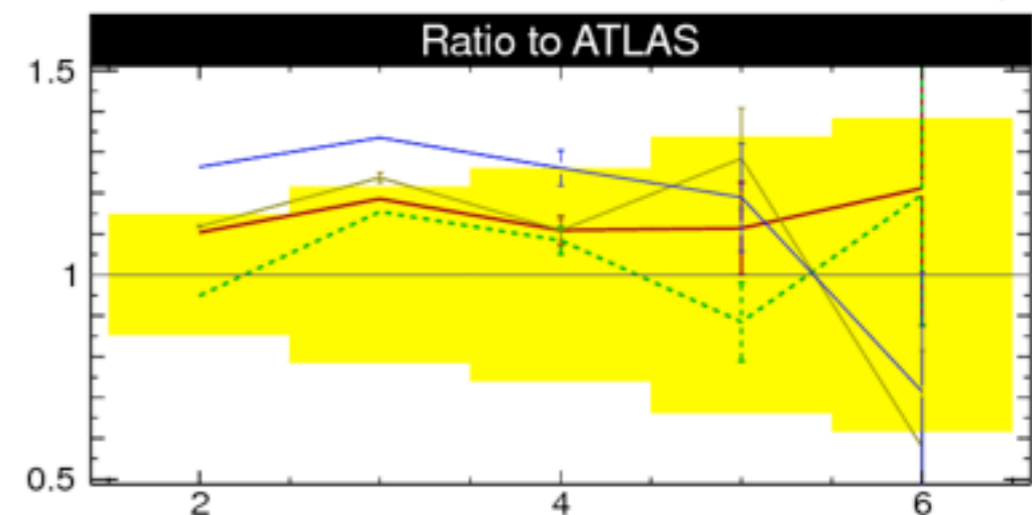
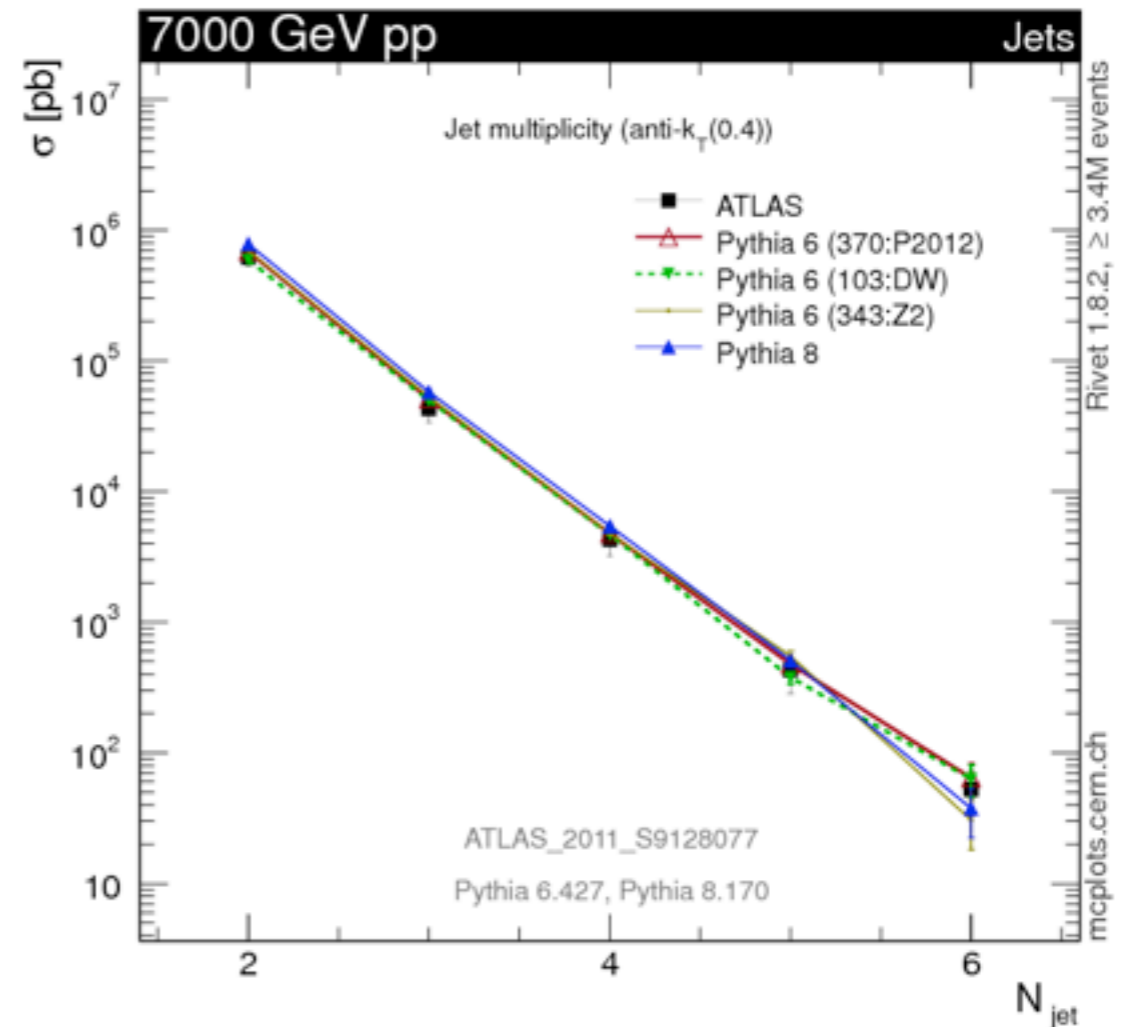
Even at 6 jets, there is almost always at least one strongly ordered path

→ showers work!

(In W +jets, that is not the case)

→ Matching not always needed.

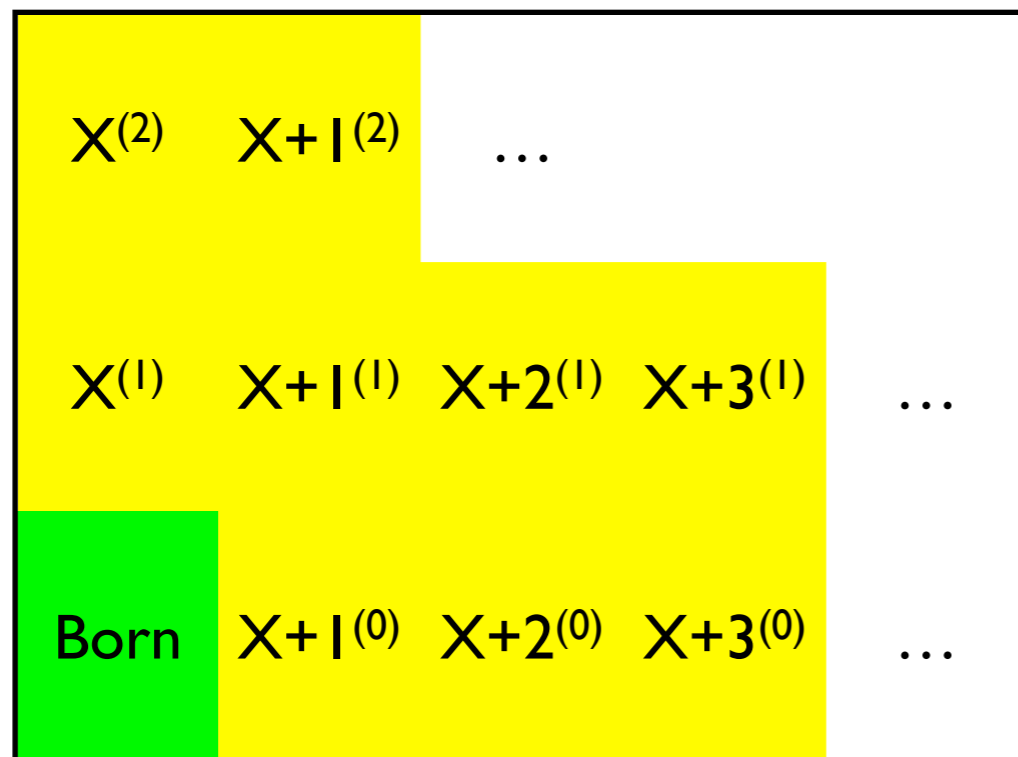
But note that spin correlations between the jets are still absent in the shower treatment



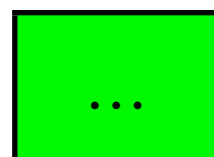
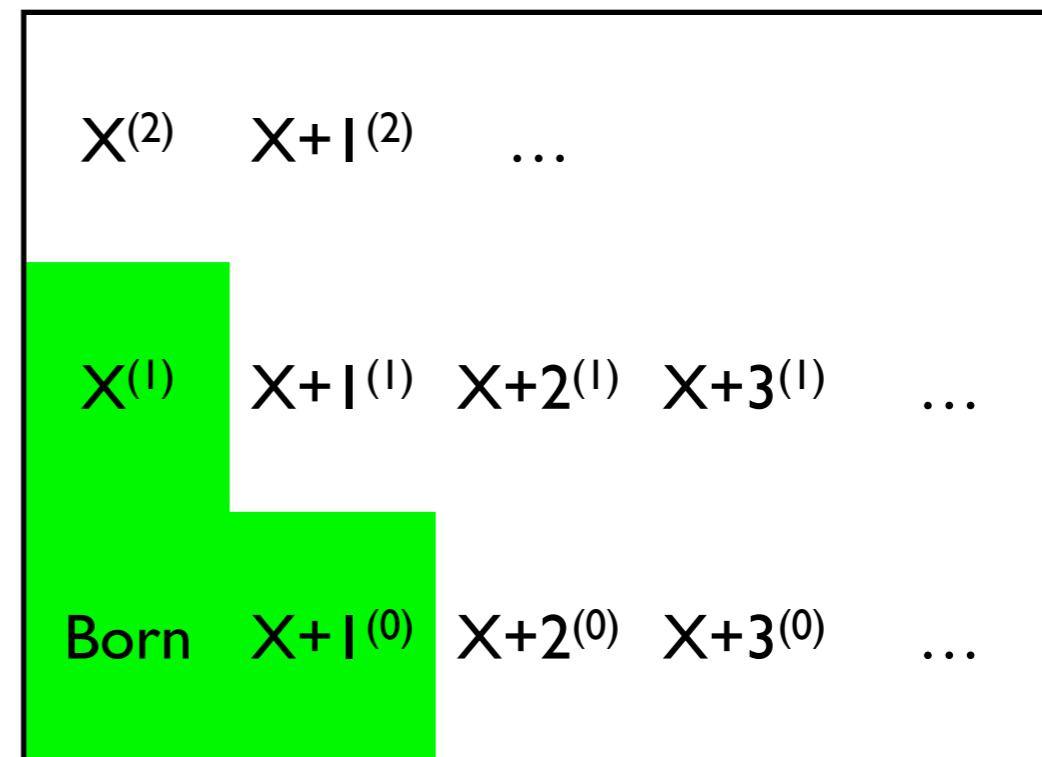
Matching 2: Subtraction

Examples: MC@NLO, aMC@NLO

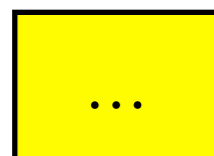
LO × Shower



NLO



Fixed-Order Matrix Element

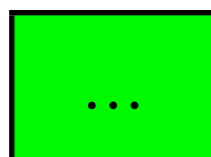
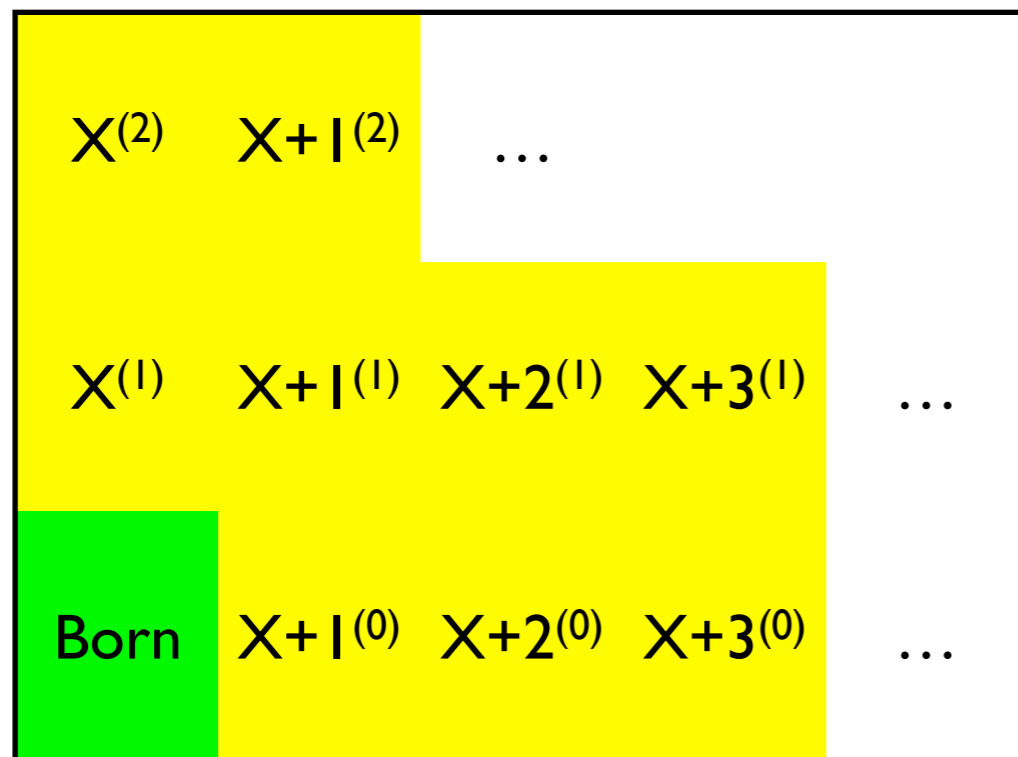


Shower Approximation

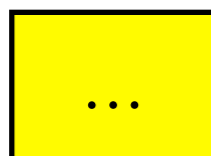
Matching 2: Subtraction

Examples: MC@NLO, aMC@NLO

LO \times Shower

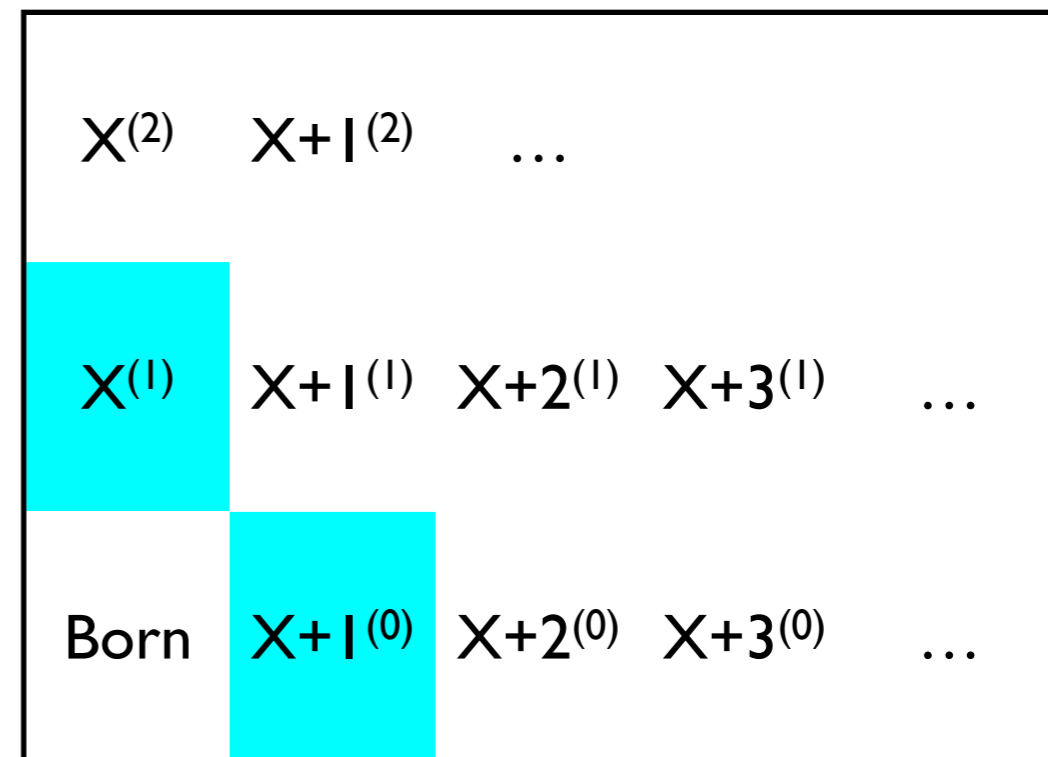


Fixed-Order Matrix Element



Shower Approximation

NLO - Shower_{NLO}



Expand shower approximation to NLO analytically, then subtract:

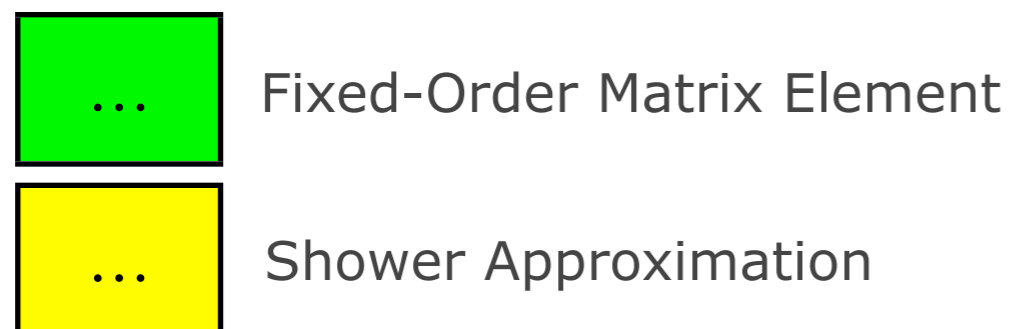
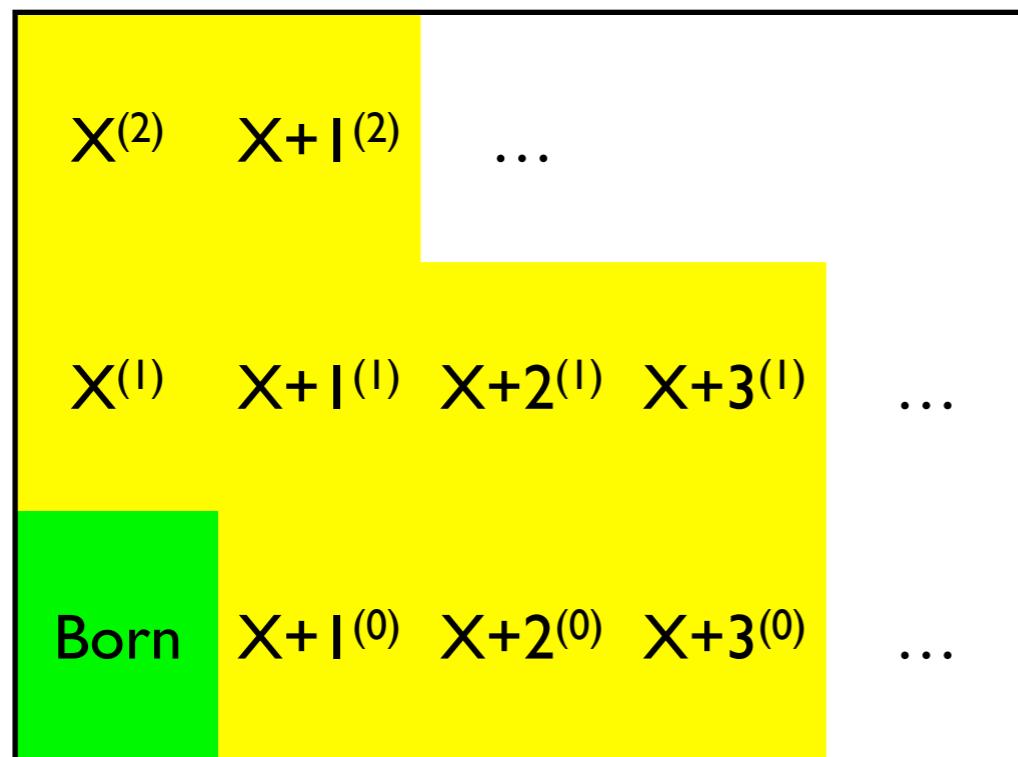


Fixed-Order ME minus Shower Approximation (NOTE: can be $< 0!$)

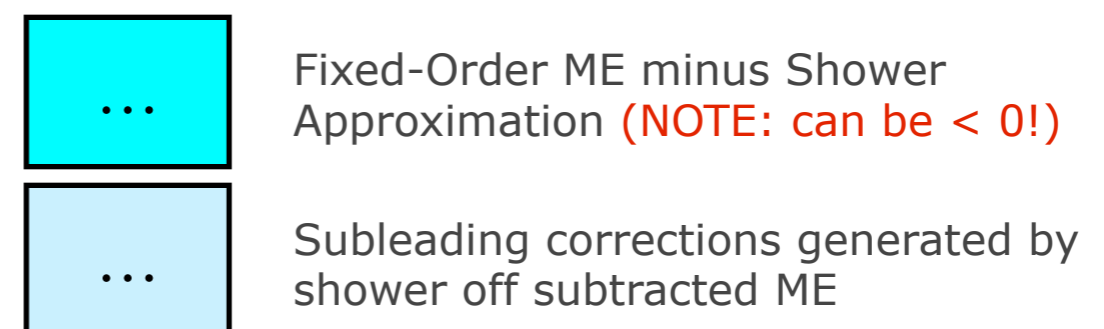
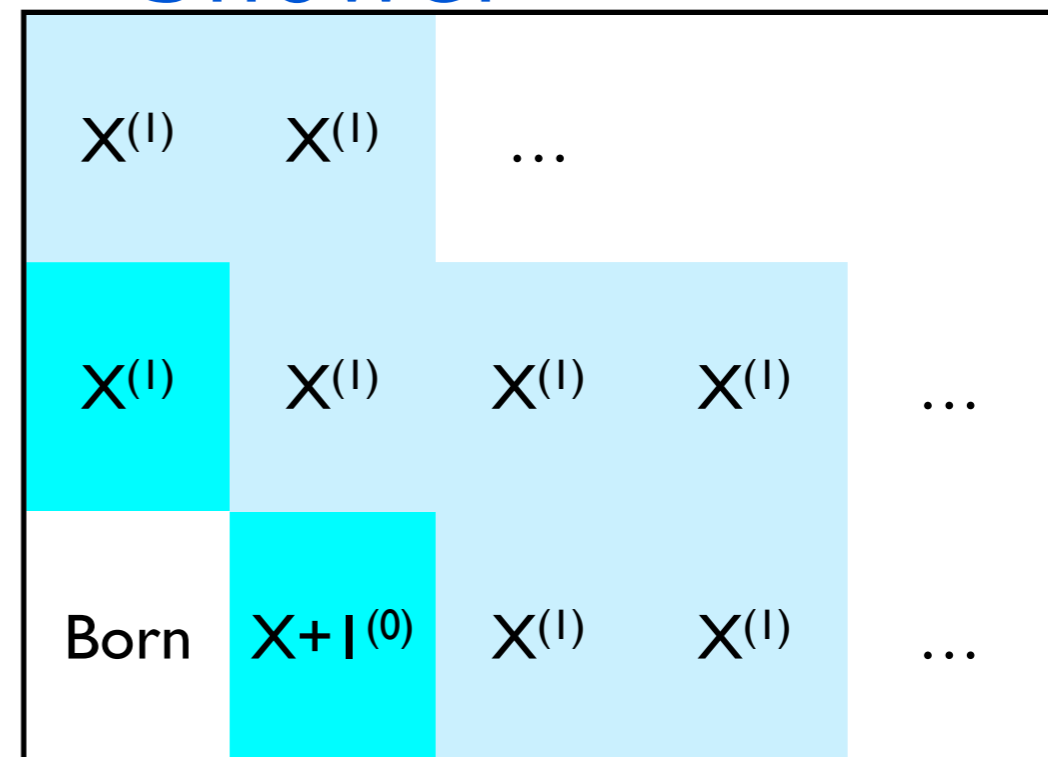
Matching 2: Subtraction

Examples: MC@NLO, aMC@NLO

LO × Shower



(NLO - Shower_{NLO}) × Shower



Matching 2: Subtraction

Examples: MC@NLO, aMC@NLO

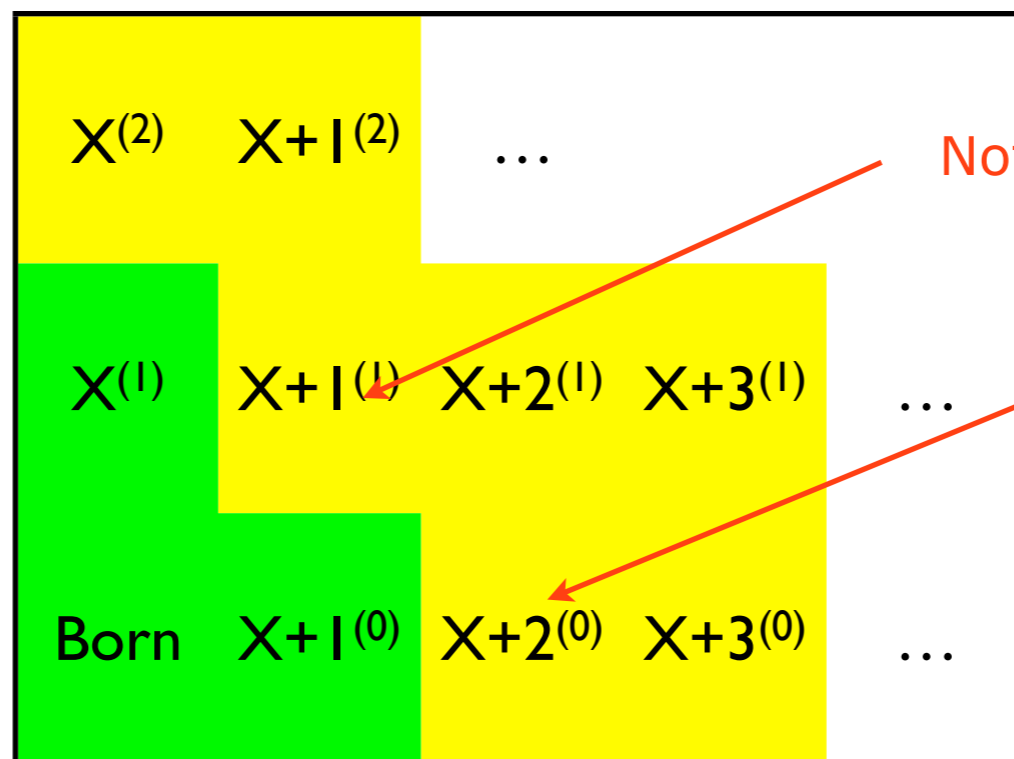
Combine \rightarrow MC@NLO Frixione, Webber, JHEP 0206 (2002) 029

Consistent NLO + parton shower (though correction events can have $w < 0$)

Recently, has been almost fully automated in aMC@NLO

Frederix, Frixione, Hirschi, Maltoni, Pittau, Torrielli, JHEP 1202 (2012) 048

NLO: for X inclusive
LO for X+1
LL: for everything else



Note 1: NOT NLO for X+1

Note 2: Multijet tree-level matching still superior for X+2

NB: $w < 0$ are a problem because they kill efficiency:

Extreme example: 1000 positive-weight - 999 negative-weight events \rightarrow statistical precision of 1 event, for 2000 generated (for comparison, normal MC@NLO has $\sim 10\%$ neg-weights)

Matching 3: ME Corrections

Standard Paradigm:

Have ME for $X, X+1, \dots, X+n$;

Want to combine and add showers → “The Soft Stuff”

Double counting, IR divergences, multiscale logs

Works pretty well at low multiplicities

Still, only corrected for “hard” scales; Soft still pure LL.

At high multiplicities:

Efficiency problems: slowdown from need to compute and generate phase space from $d\sigma_{X+n}$, and from unweighting (efficiency also reduced by negative weights, if present)

Scale hierarchies: smaller single-scale phase-space region

Powers of alphaS pile up

Better Starting Point: a QCD fractal?



(shameless VINCIA promo)



(plug-in to PYTHIA 8 for ME-improved final-state showers, uses helicity matrix elements from MadGraph)

Interleaved Paradigm:

Have shower; want to improve it using ME for $X, X+1, \dots, X+n$.

Interpret all-orders shower structure as a trial distribution

Quasi-scale-invariant: intrinsically multi-scale (resums logs)

Unitary: automatically unweighted (& IR divergences \rightarrow multiplicities)

More precise expressions imprinted via veto algorithm: ME corrections at LO, NLO, ... \rightarrow soft *and* hard corrections

No additional phase-space generator or σ_{X+n} calculations \rightarrow **fast**

Automated Theory Uncertainties

For each event: vector of output weights (central value = 1)

+ Uncertainty variations. Faster than N separate samples; only one sample to analyse, pass through detector simulations, etc.

LO: Giele, Kosower, Skands, [PRD84\(2011\)054003](#)

NLO: Hartgring, Laenen, Skands, [arXiv:1303.4974](#)

Matching 3: ME Corrections

Examples: PYTHIA, POWHEG, VINCIA

Start at Born level

$$|M_F|^2$$

Generate "shower" emission

$$|M_{F+1}|^2 \approx \sum_{i \in \text{ant}} a_i |M_F|^2$$

Correct to Matrix Element

$$a_i \rightarrow \frac{|M_{F+1}|^2}{\sum a_i |M_F|^2} a_i$$

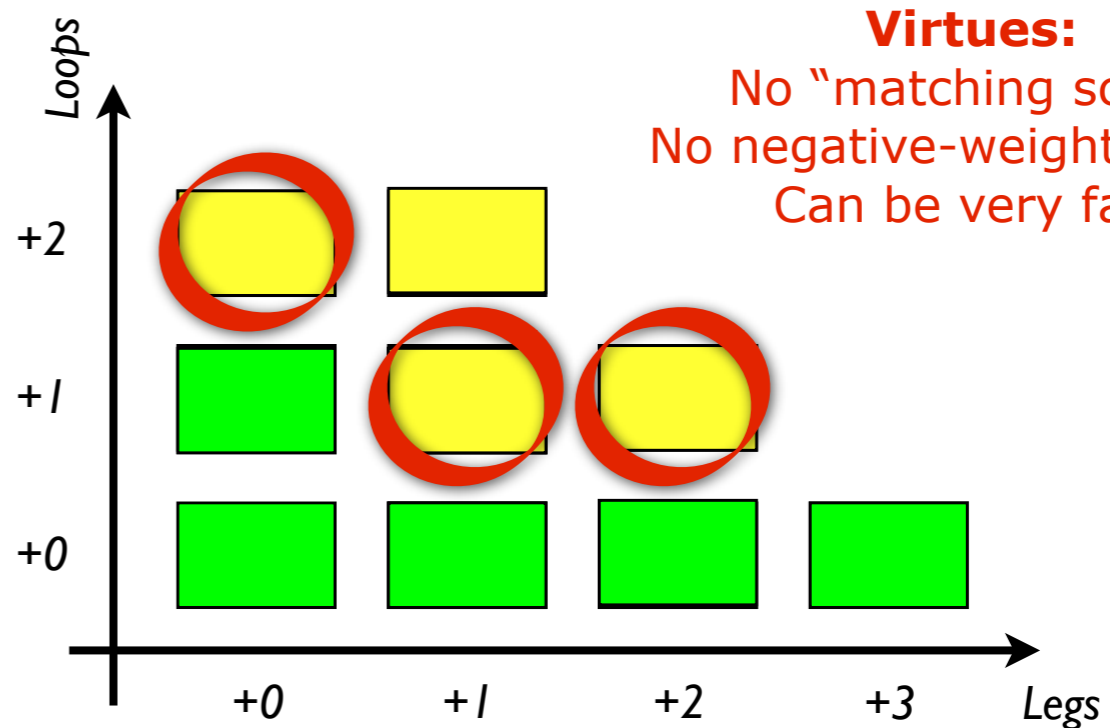
Unitarity of Shower

$$\text{Virtual} = - \int \text{Real}$$

Correct to Matrix Element

$$|M_F|^2 \rightarrow |M_F|^2 + 2\text{Re}[M_F^1 M_F^0] + \int \text{Real}$$

Repeat



Virtues:
 No "matching scale"
 No negative-weight events
 Can be very fast

First Order

PYTHIA: LO₁ corrections to most SM and BSM decay processes, and for pp → Z/W/H (Sjöstrand 1987)

POWHEG (& POWHEG BOX): LO₁ + NLO₀ corrections for generic processes (Frixione, Nason, Oleari, 2007)

Multileg NLO:

VINCIA: LO_{1,2,3,4} + NLO_{0,1} (shower plugin to PYTHIA 8; formalism for pp soon to appear) (see previous slide)

MiNLO-merged POWHEG: LO_{1,2} + NLO_{0,1} for pp → Z/W/H

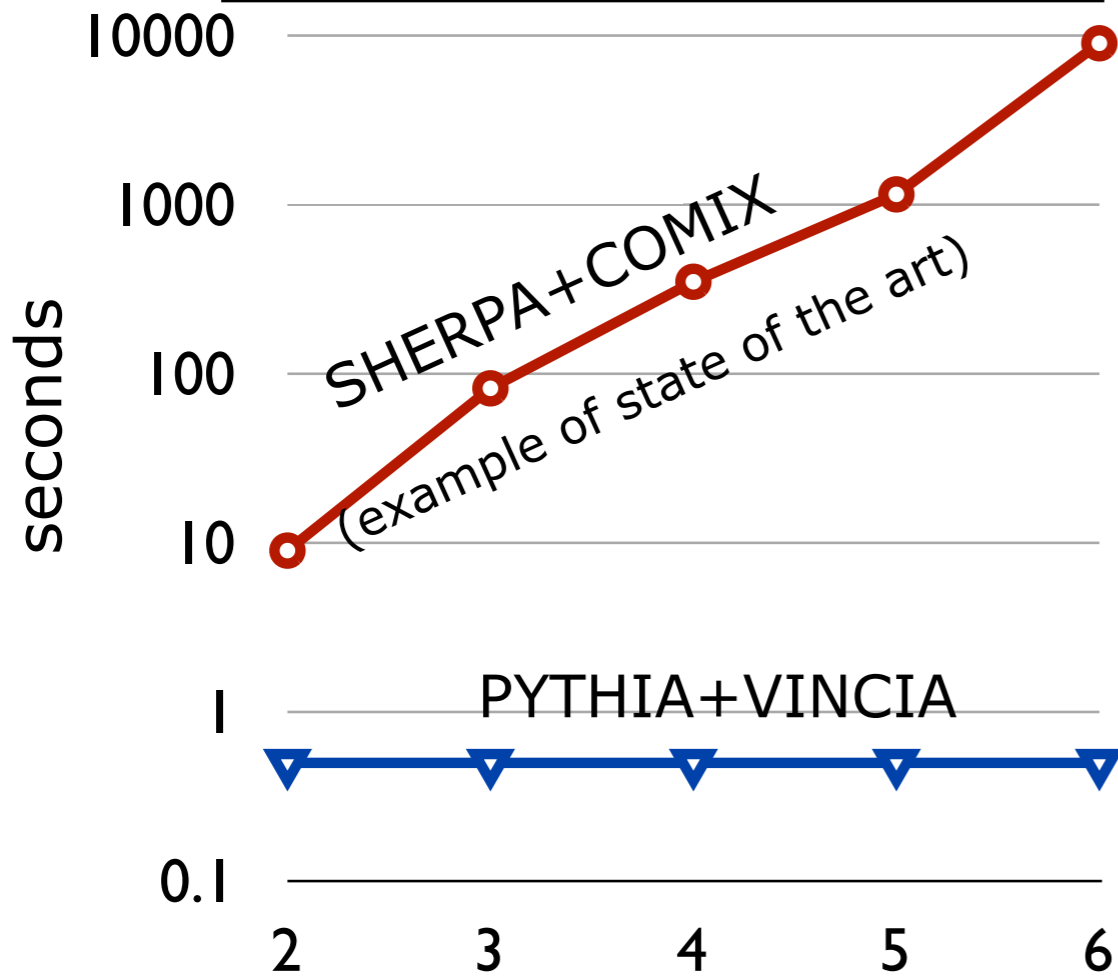
UNLOPS: for generic processes (in PYTHIA 8, based on POWHEG input) (Lönnblad & Prestel, 2013)



Speed

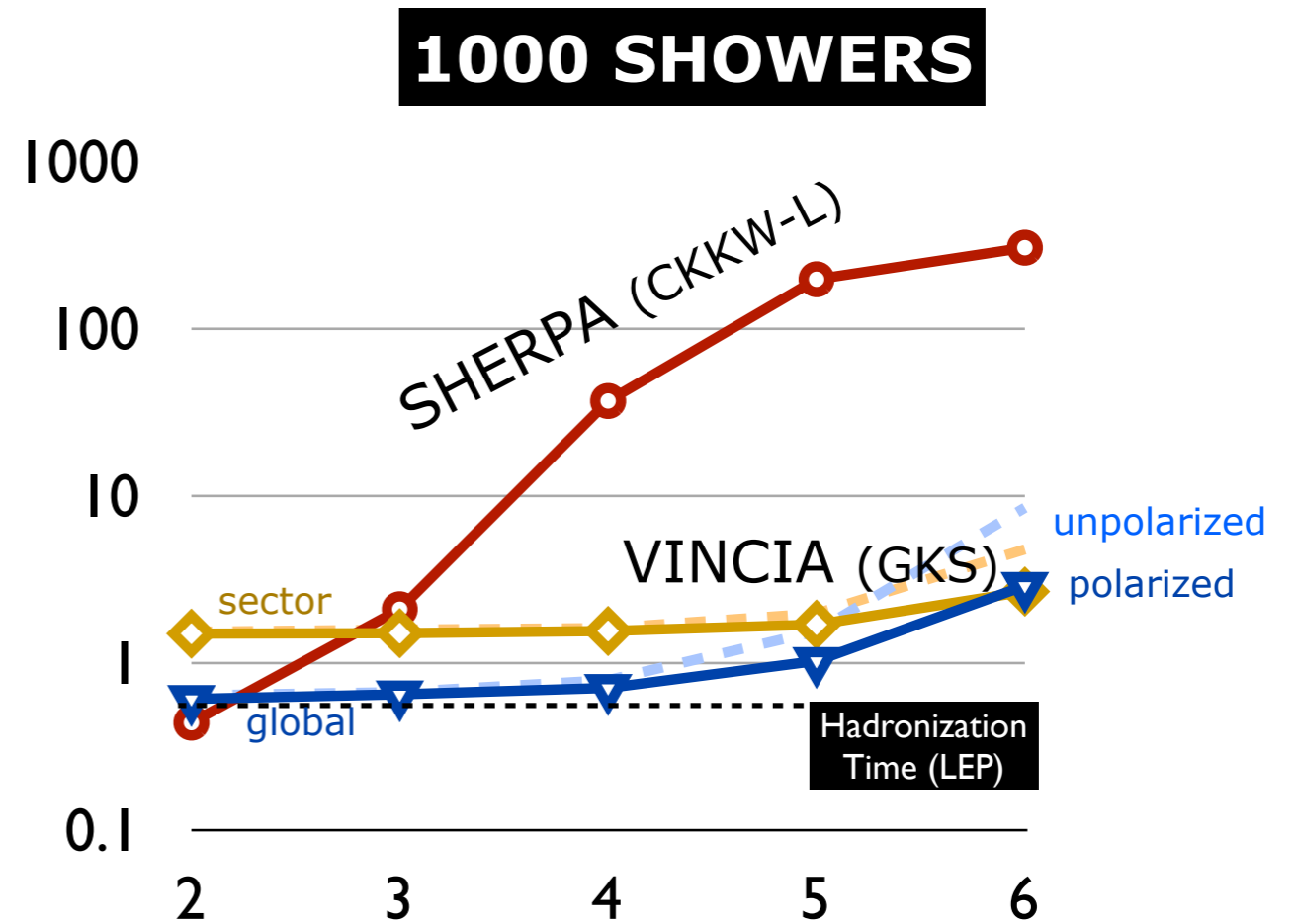
Larkoski, Lopez-Villarejo, Skands, [PRD 87 \(2013\) 054033](#)

1. Initialization time
(to pre-compute cross sections
and warm up phase-space grids)



Z → n : Number of Matched Legs

2. Time to generate 1000 events
(Z → partons, fully showered &
matched. No hadronization.)



Z → n : Number of Matched Legs

Z → uds c b ; Hadronization OFF ; ISR OFF ; u d s c MASSLESS ; b MASSIVE ; E_{CM} = 91.2 GeV ; Q_{match} = 5 GeV
SHERPA 1.4.0 (+COMIX) ; PYTHIA 8.1.65 ; VINCIA 1.0.29 + MADGRAPH 4.4.26 ;
gcc/gfortran v 4.7.1 -O2 ; single 3.06 GHz core (4GB RAM)

Hadronization



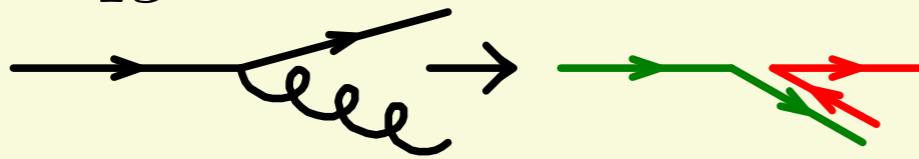
& tuning

Color Flow

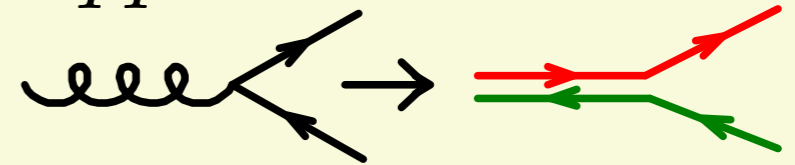
Between which partons do confining potentials arise?

Set of simple rules for color flow, based on large- N_c limit

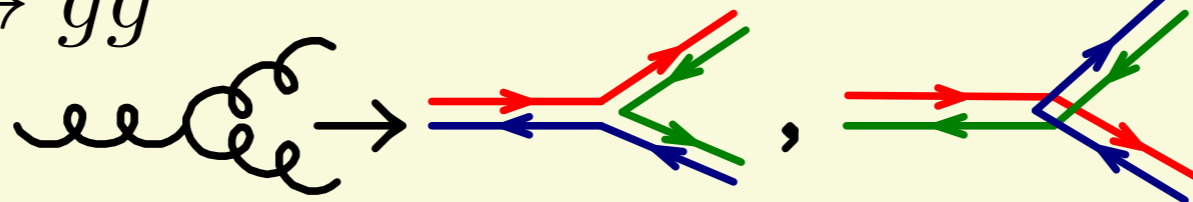
$q \rightarrow qg$



$g \rightarrow q\bar{q}$



$g \rightarrow gg$



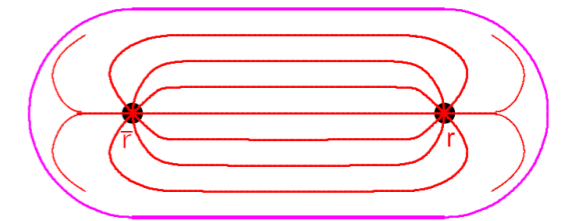
(Never Twice Same Color: true up to $O(1/N_c^2)$)

Illustrations from: P.Nason & P.S.,
PDG Review on MC Event Generators, 2012

Confinement

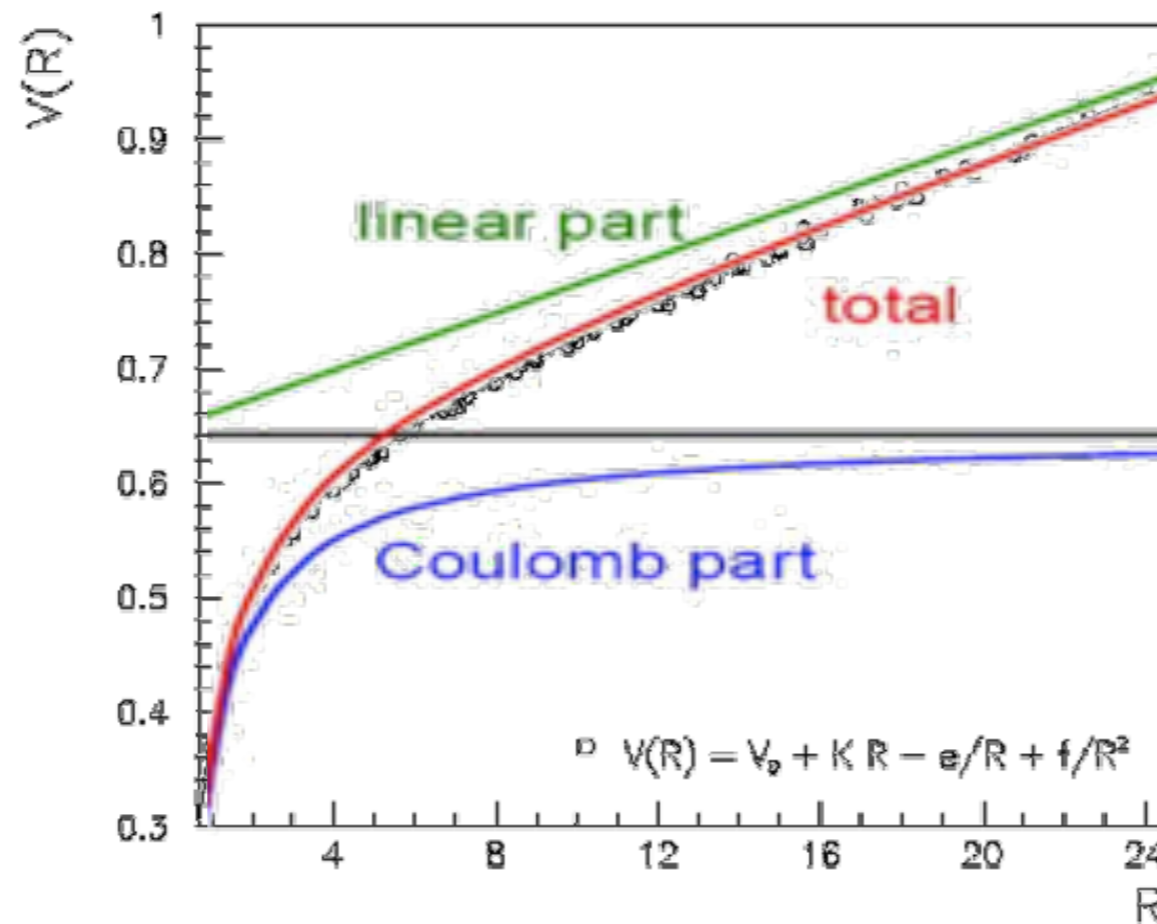
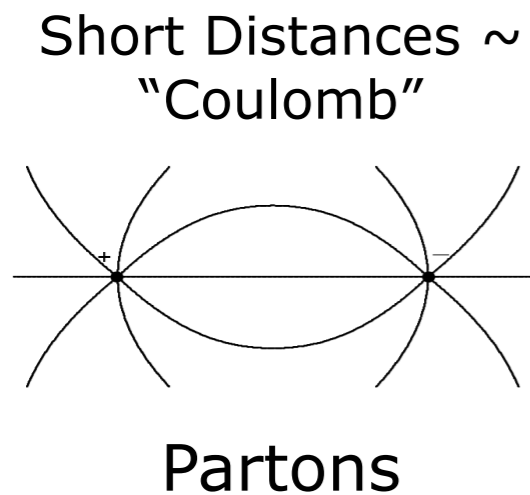
Potential between a quark and an antiquark as function of distance, R

Long Distances \sim
Linear Potential



Quarks (and gluons) confined inside hadrons

What physical system has a linear potential?



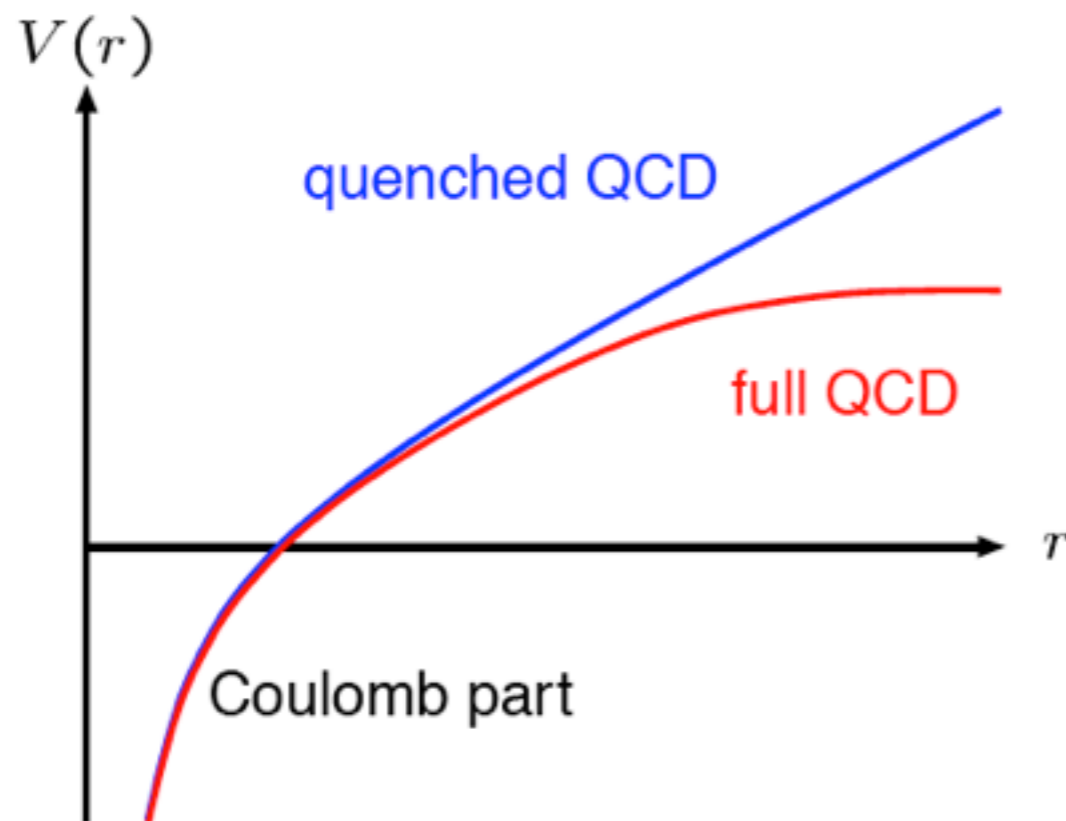
$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$$

\sim Force required to lift a 16-ton truck

String Breaks

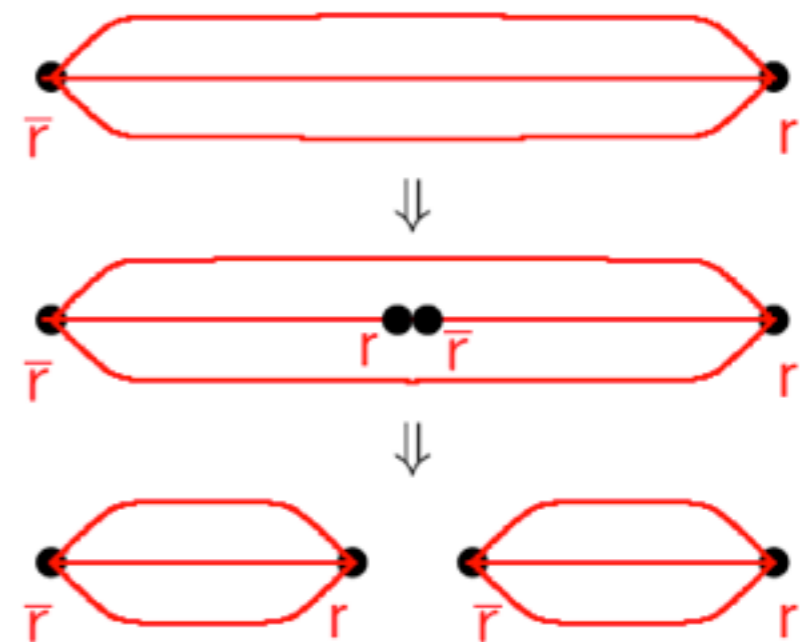
In "unquenched" QCD

$g \rightarrow qq \rightarrow$ The strings would break



- Gaussian p_T spectrum
- Heavier quarks suppressed. $\text{Prob}(q=d,u,s,c) \approx 1 : 1 : 0.2 : 10^{-11}$

String Breaks:
via Quantum Tunneling



(simplified colour representation)

$$\mathcal{P} \propto \exp\left(\frac{-m_q^2 - p_{\perp}^2}{\kappa/\pi}\right)$$

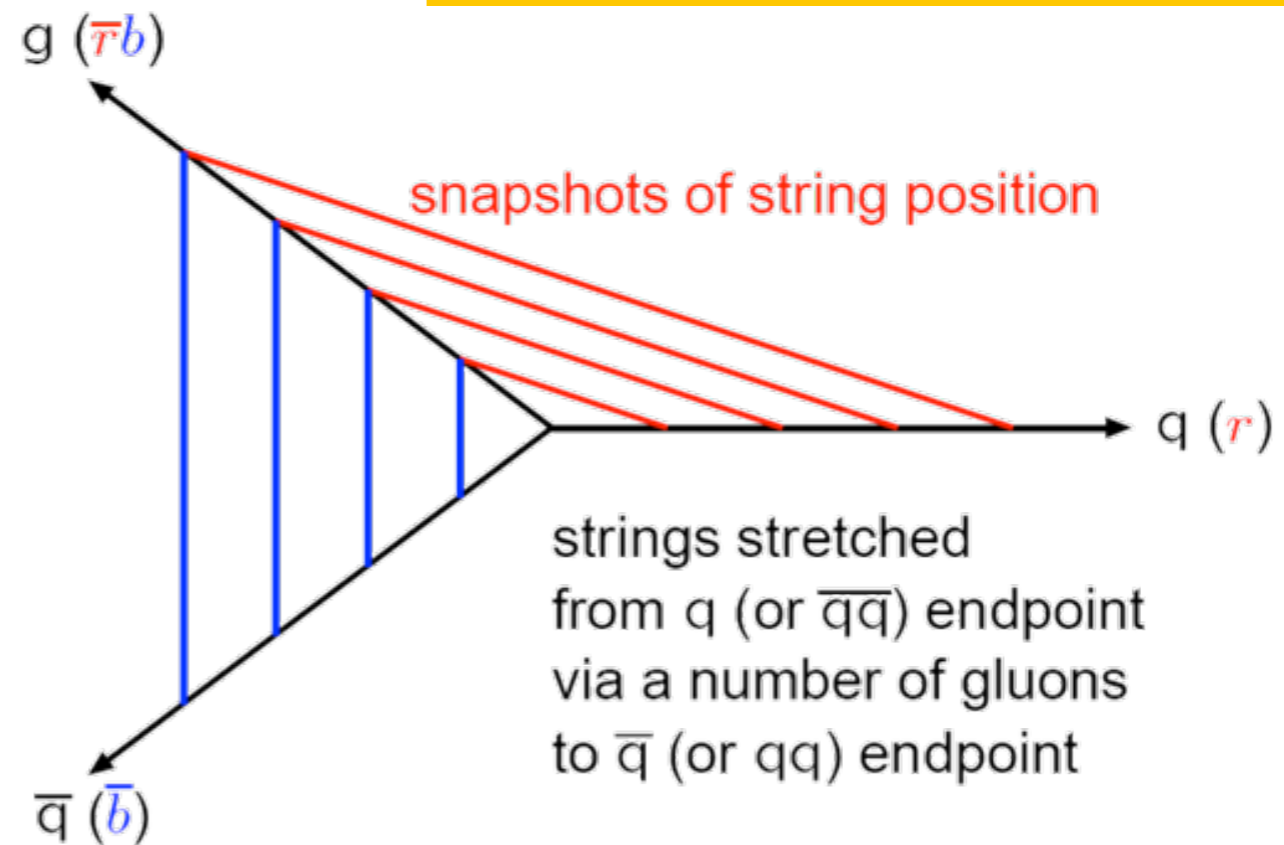
Illustrations by T. Sjöstrand

The (Lund) String Model

Map:

- **Quarks** → String Endpoints
- **Gluons** → Transverse Excitations (kinks)
- Physics then in terms of string worldsheet evolving in spacetime
- Probability of string break (by quantum tunneling) constant per unit area → **AREA LAW**

Pedagogical Review: B. Andersson, *The Lund model*. Camb. Monogr. Part. Phys. Nucl. Phys. Cosmol., 1997.



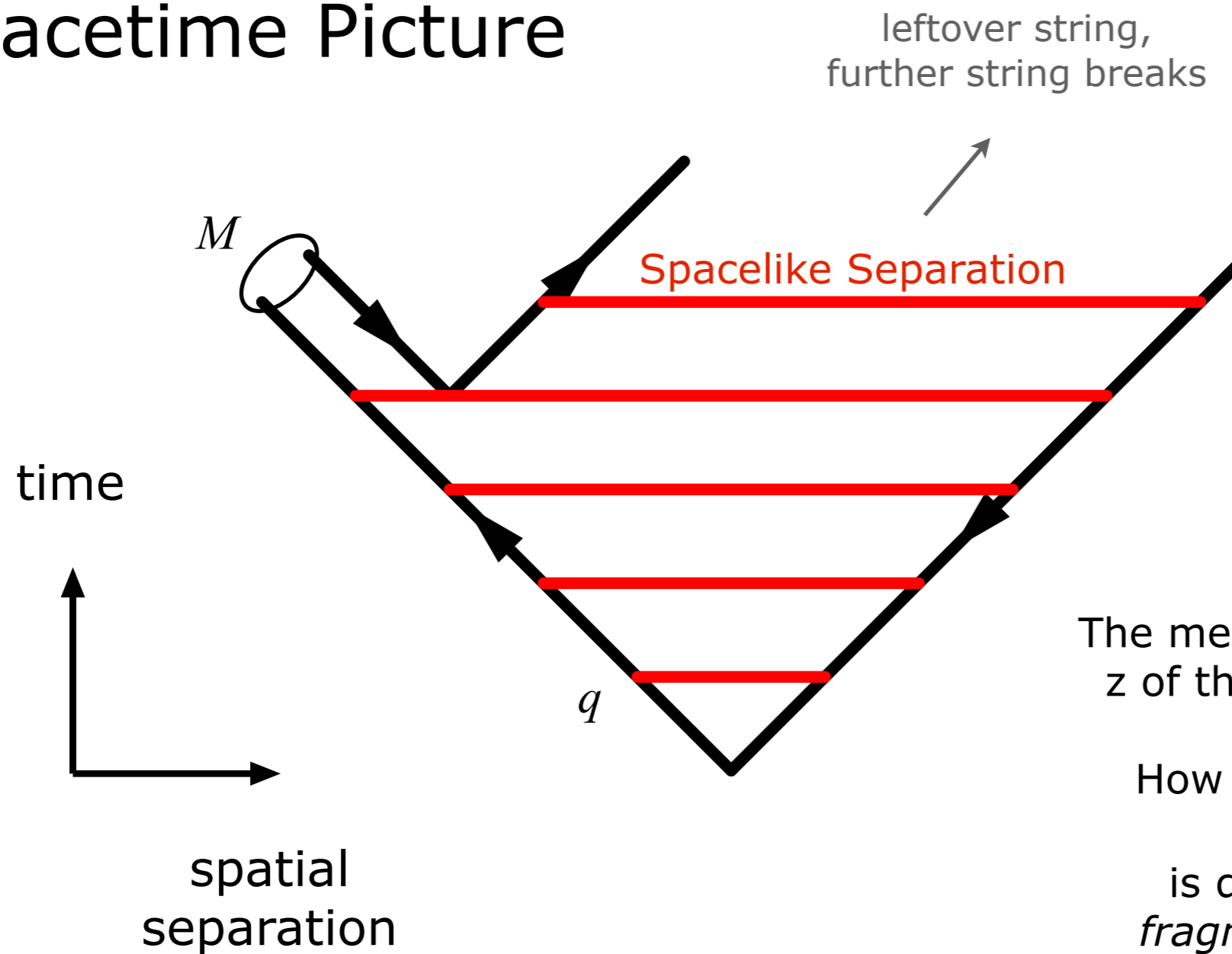
Gluon = kink on string, carrying energy and momentum

Simple space-time picture

Details of string breaks more complicated (e.g., baryons, spin multiplets)

Fragmentation Function

Spacetime Picture



The meson M takes a fraction z of the quark momentum,

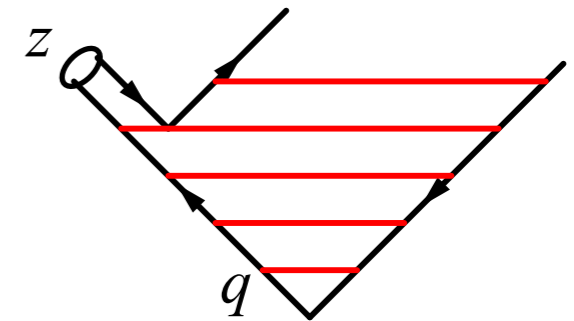
How big that fraction is, $z \in [0,1]$, is determined by the *fragmentation function*, $f(z, Q_0^2)$

Left-Right Symmetry

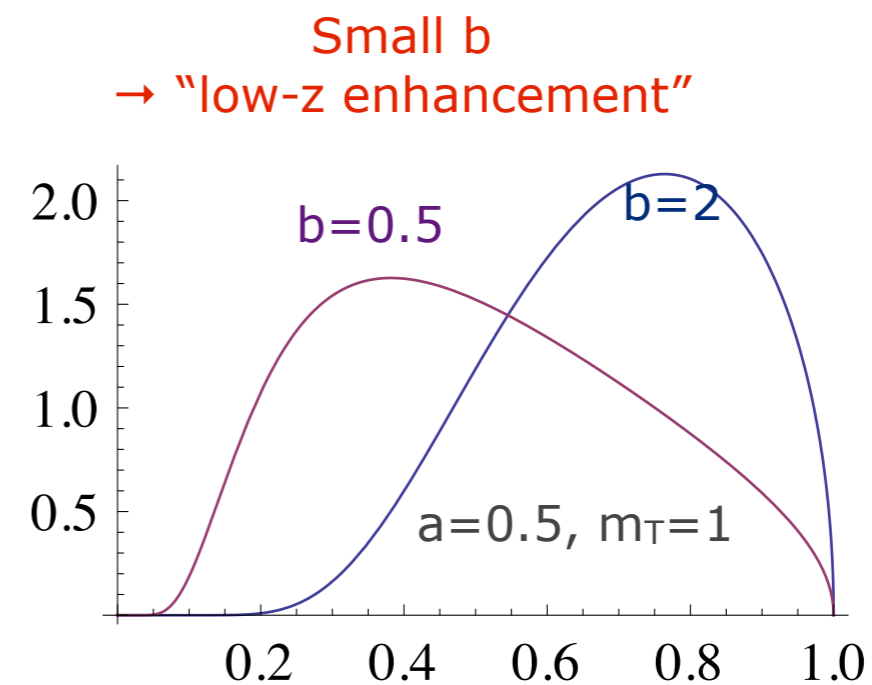
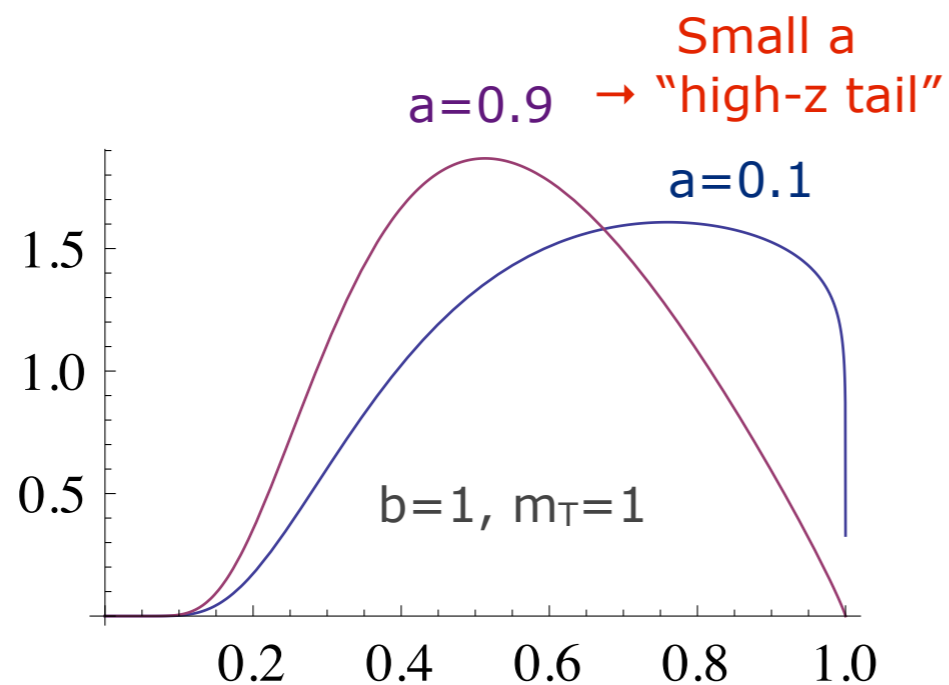
Causality → Left-Right Symmetry

→ Constrains form of fragmentation function!

→ Lund Symmetric Fragmentation Function

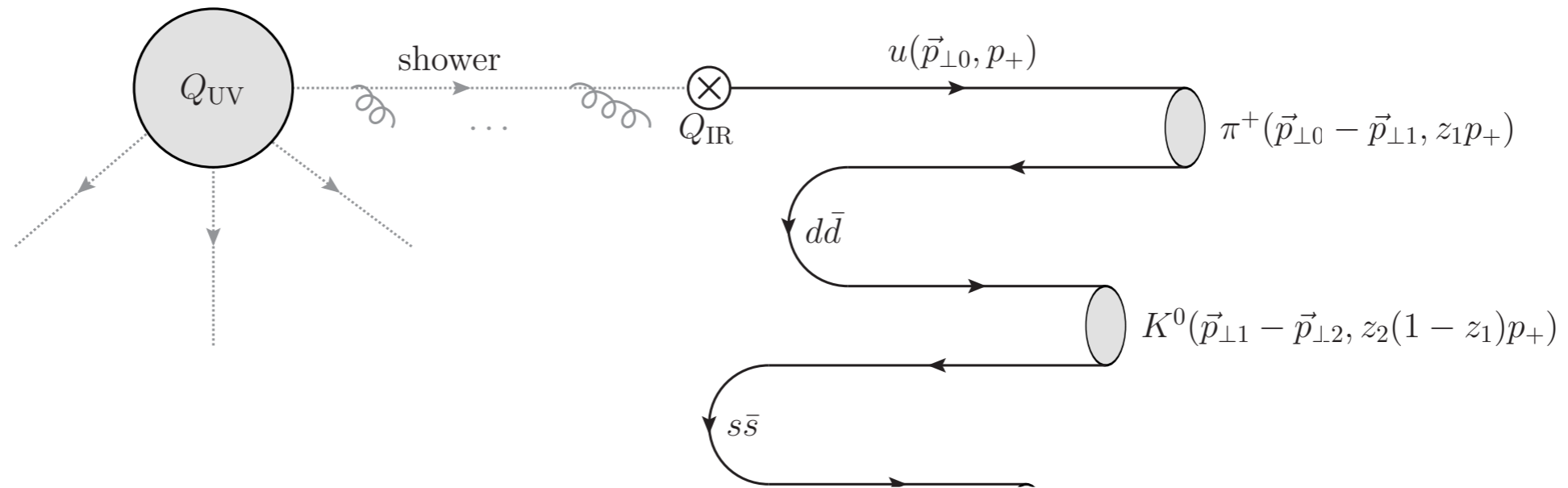


$$f(z) \propto \frac{1}{z} (1-z)^a \exp\left(-\frac{b m_h^2 - p_{\perp h}^2}{z}\right)$$

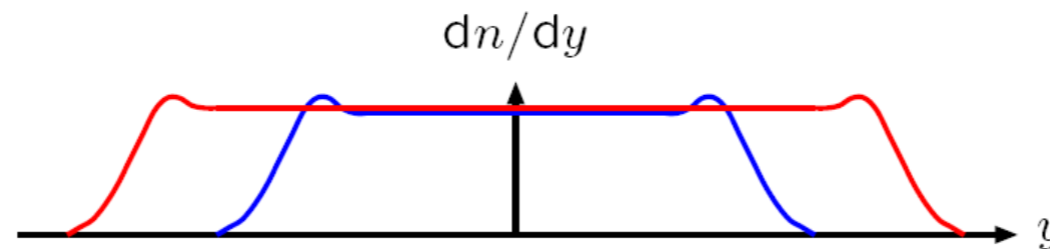


Iterative String Breaks

Causality → May iterate from outside-in



Scaling in lightcone $p_{\pm} = E \pm p_z$ (for $q\bar{q}$ system along z axis)
implies flat central rapidity plateau + some endpoint effects:



$\langle n_{ch} \rangle \approx c_0 + c_1 \ln E_{cm}, \sim$ Poissonian multiplicity distribution

Illustration by T. Sjöstrand

Alternative: The Cluster Model

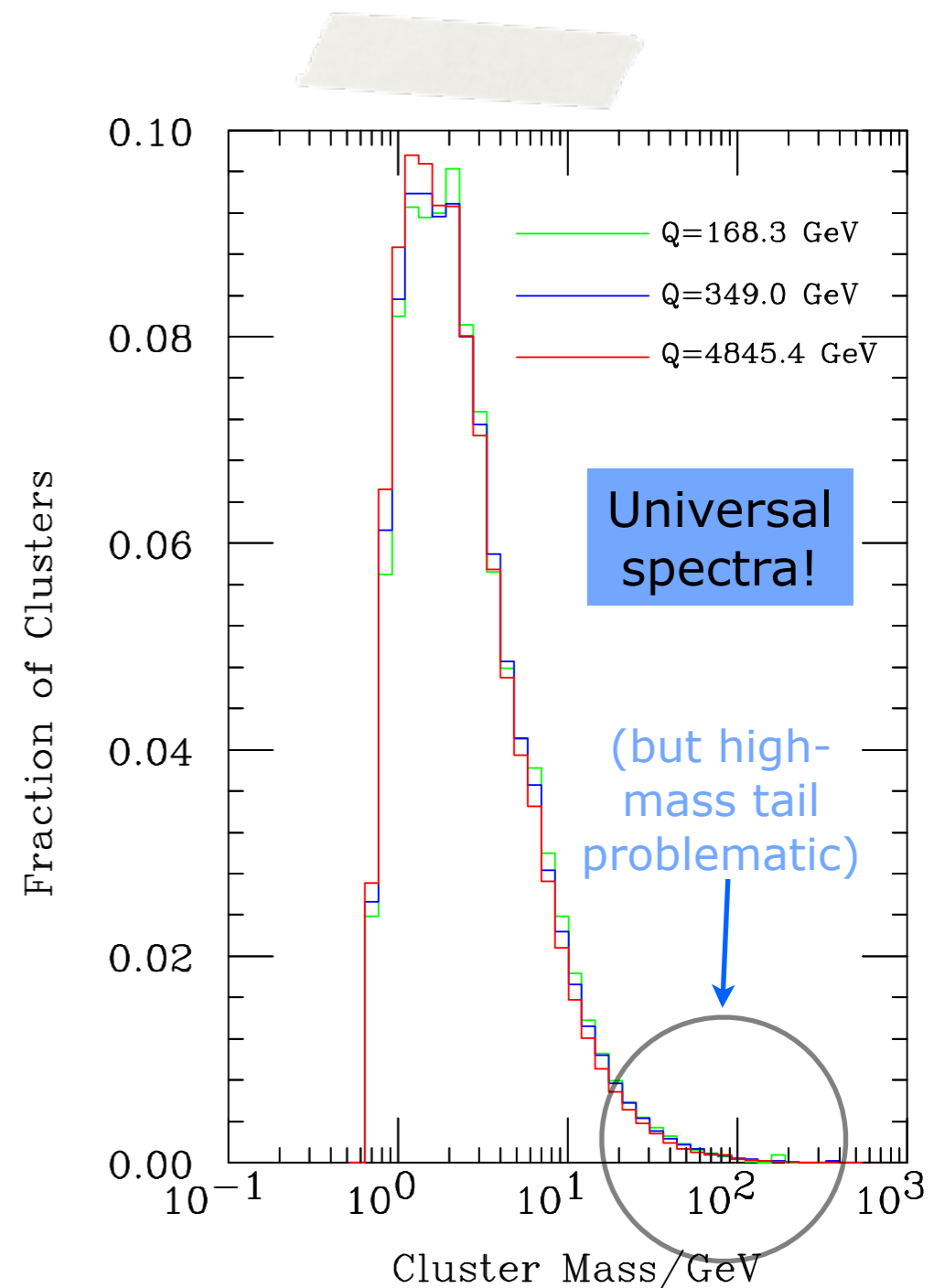
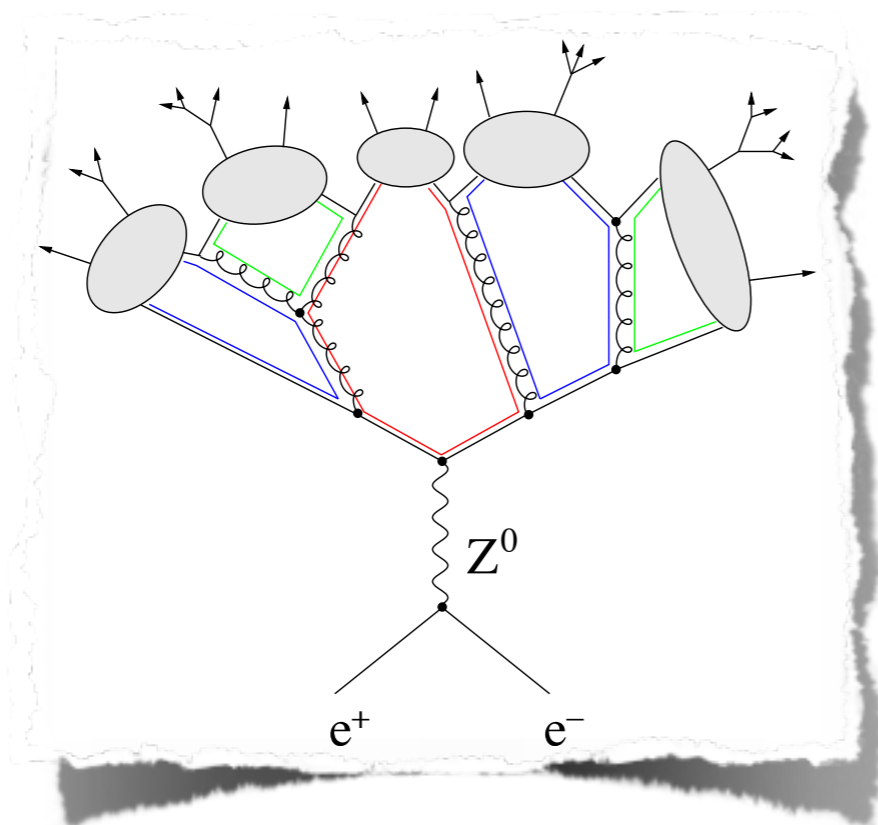
“Preconfinement”

+ Force $g \rightarrow qq$ splittings at Q_0

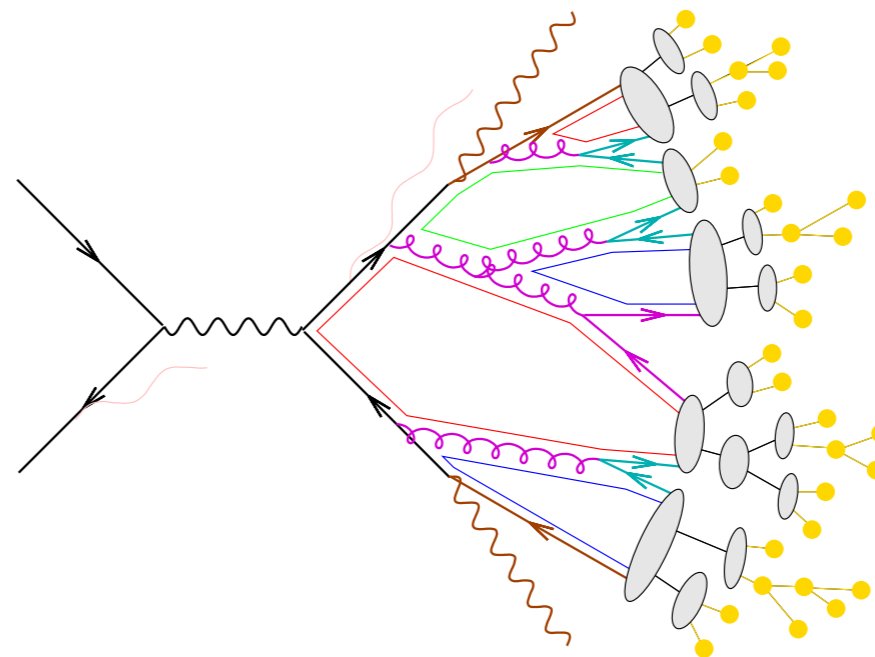
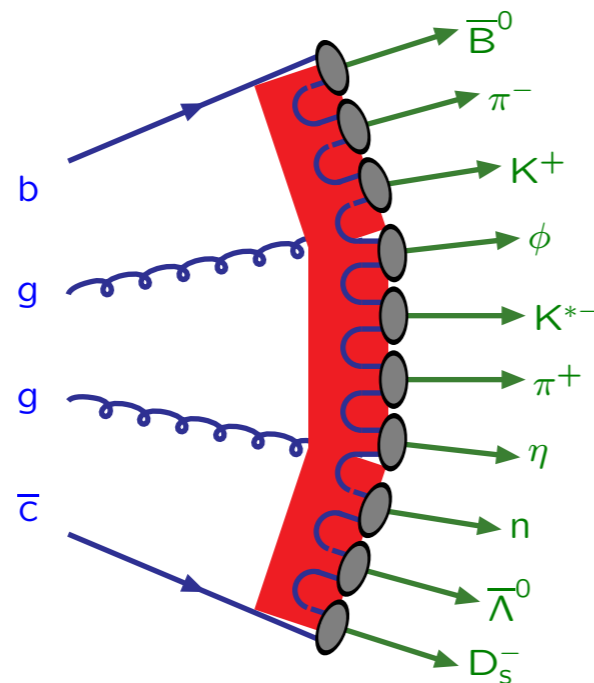
→ high-mass q - q bar “clusters”

Isotropic 2-body decays to hadrons

according to PS $\approx (2s_1+1)(2s_2+1)(p^*/m)$



Strings and Clusters



program model	PYTHIA string	HERWIG (&SHERPA) cluster
energy–momentum picture	powerful predictive	simple unpredictive
parameters	few	many
flavour composition	messy unpredictive	simple in-between
parameters	many	few

Small strings → clusters. Large clusters → strings

Tuning



Theory



Experiment

Adjust this to agree with this

→ Science

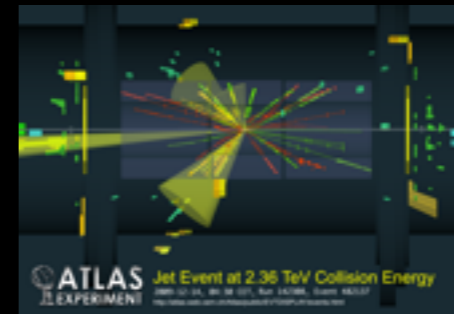
In Practice



VINCIA



PYTHIA



“Virtual Colliders”
= Simulation Codes

Particle Physics Models,
Algorithms, ...

→ Simulated Particle Collisions

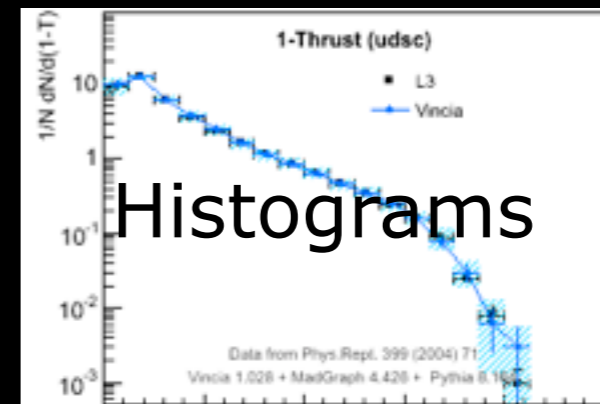
(g)	-51	14	17	34	34	132	172
(d)	-71	29	29	42	63	171	0
(g)	-71	30	30	42	63	172	171
(g)	-71	31	31	42	63	132	172
(g)	-71	26	26	42	63	157	132
(g)	-71	27	27	42	63	158	157
(g)	-71	28	28	42	63	156	158
(g)	-71	25	25	42	63	149	156
(g)	-71	21	21	42	63	150	149
(g)	-71	21	21	42	63	108	150
(dbar)	-71	1	1	63	0	0	108
(K*0)	-83	32	41	66	66	0	0
(Kbar0)	-83	32	41	66	66	0	0
(rho-)	-83	32	41	67	68	0	0
(pi0)	-83	32	41	69	70	0	0
p+	83	32	41	0	0	0	0
nbar0	83	32	41	0	0	0	0
pi-	83	32	41	0	0	0	0
(pi0)	-83	32	41	71	72	0	0
pi+	83	32	41	0	0	0	0

Events

Real Universe
→ Experiments & Data

Particle Accelerators, Detectors, and
Statistical Analyses

→ Published Measurements



Histograms

What is Tuning?

FSR pQCD Parameters

$\alpha_s(m_Z)$



The value of the strong coupling at the Z pole

Governs overall amount of radiation

α_s Running



Renormalization Scheme and Scale for α_s

1- vs 2-loop running, MSbar / CMW scheme, $\mu_R \sim p_T^2$

Matching



Additional Matrix Elements included?

At tree level / one-loop level? Using what scheme?

Subleading Logs



Ordering variable, coherence treatment, effective

$1 \rightarrow 3$ (or $2 \rightarrow 4$), recoil strategy, ...

Branching Kinematics (z definitions, local vs global momentum conservation), hard parton starting scales / phase-space cutoffs, masses, non-singular terms, ...

String Tuning

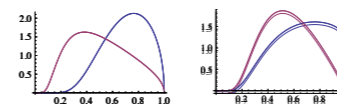
Main IR Parameters

Longitudinal FF = $f(z)$



Lund Symmetric Fragmentation Function

The a and b parameters



p_T in string breaks



Scale of string breaking process

IR cutoff and $\langle p_T \rangle$ in string breaks



Meson Multiplets

Mesons

Strangeness suppression, Vector/Pseudoscalar, η , η' , ...

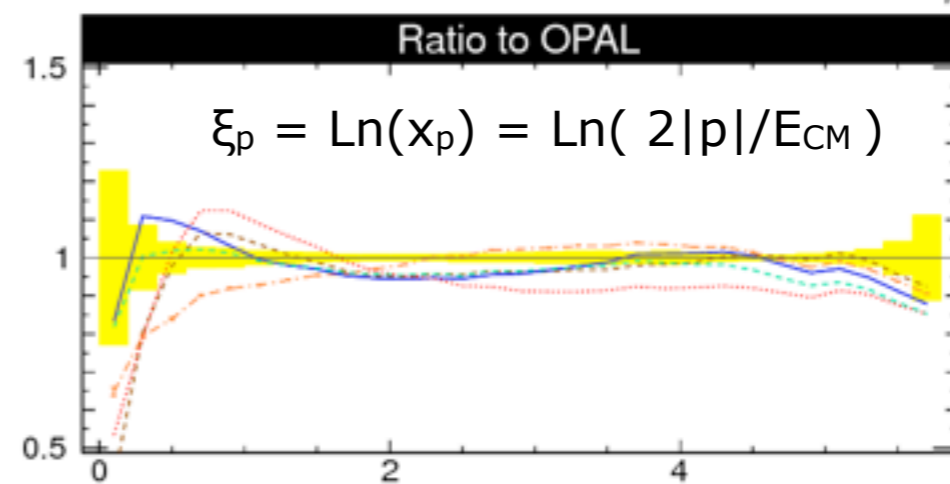
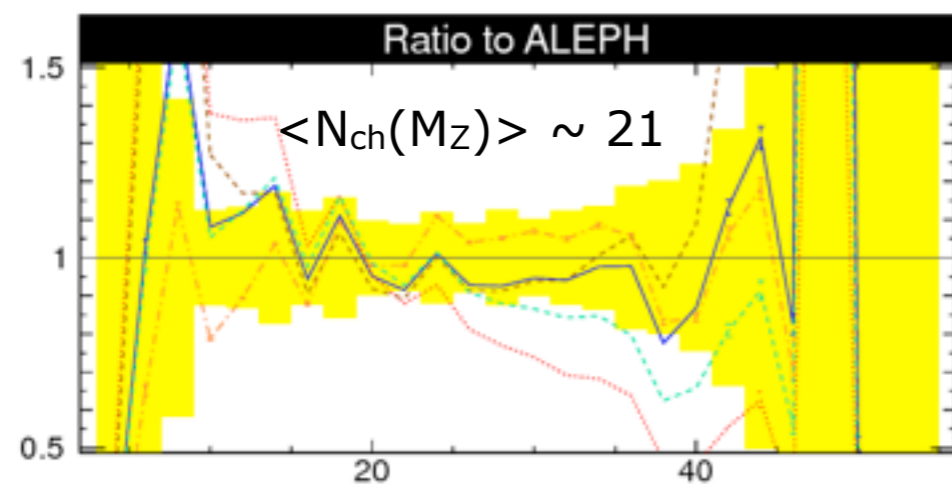
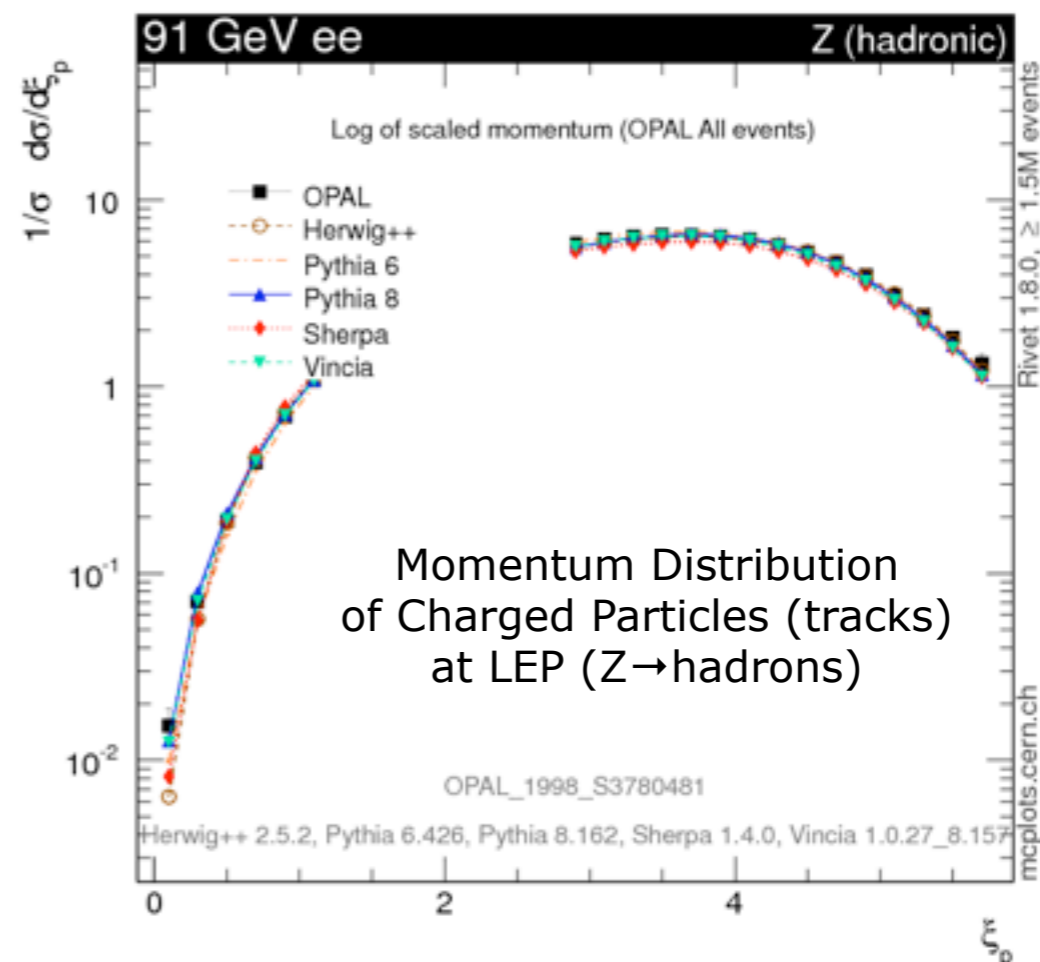
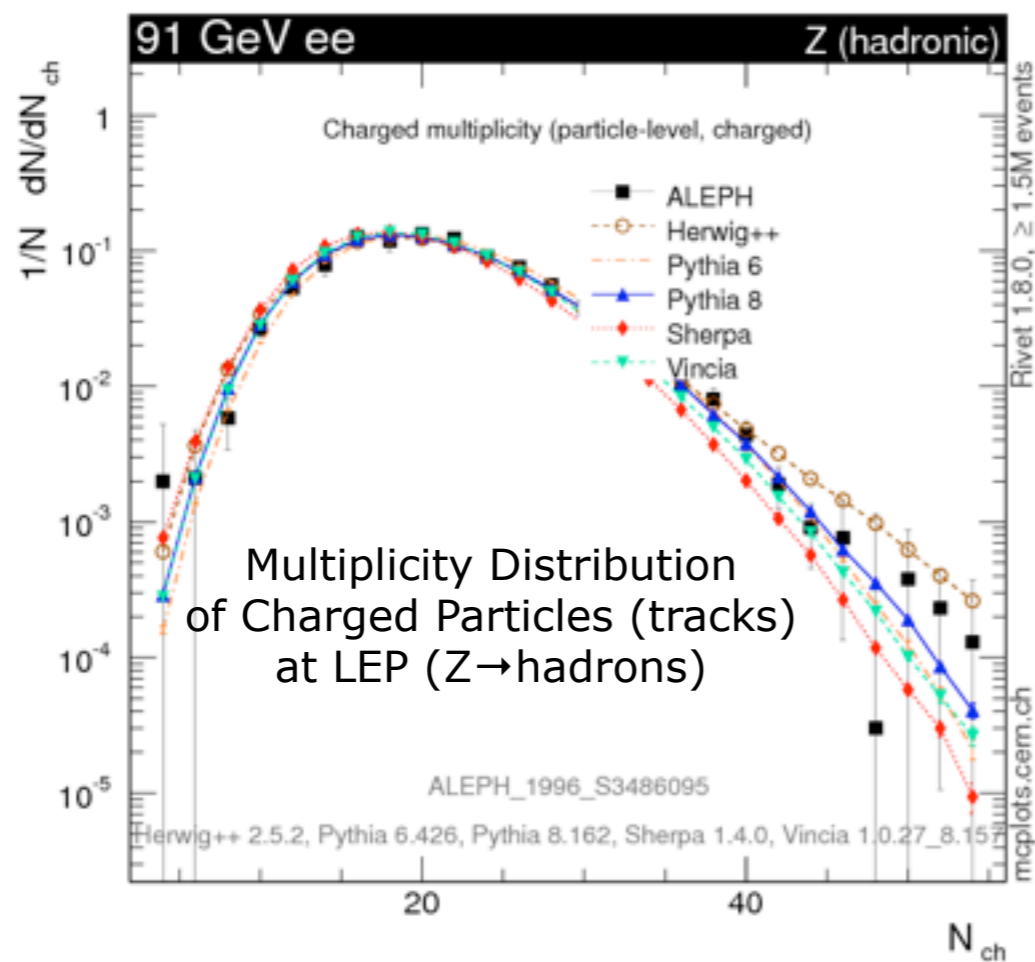
Baryon Multiplets

Baryons

Diquarks, Decuplet vs Octet, popcorn, junctions, ... ?

Fragmentation Tuning

Note: use infrared-**unsafe** observables - sensitive to hadronization (example)

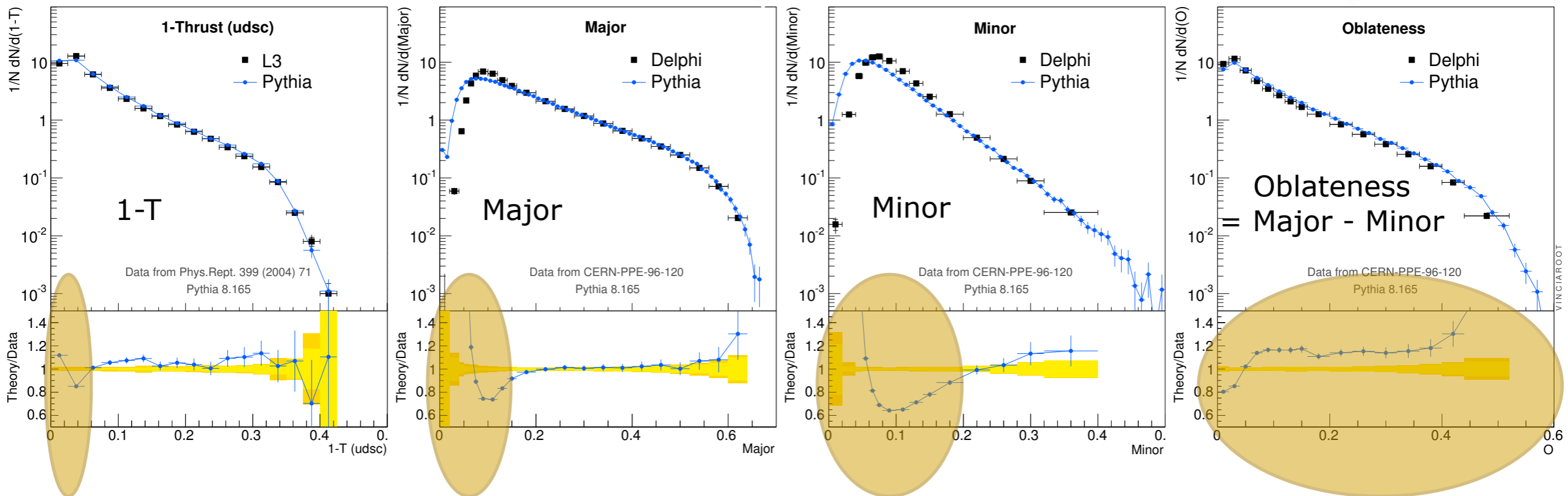
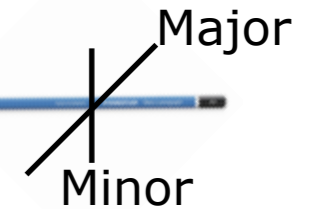


Need IR Corrections?

PYTHIA 8 (hadronization off) vs LEP: Thrust

$$T = \max_{\vec{n}} \left(\frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|} \right)$$

$1 - T \rightarrow 0$



Significant Discrepancies (>10%)

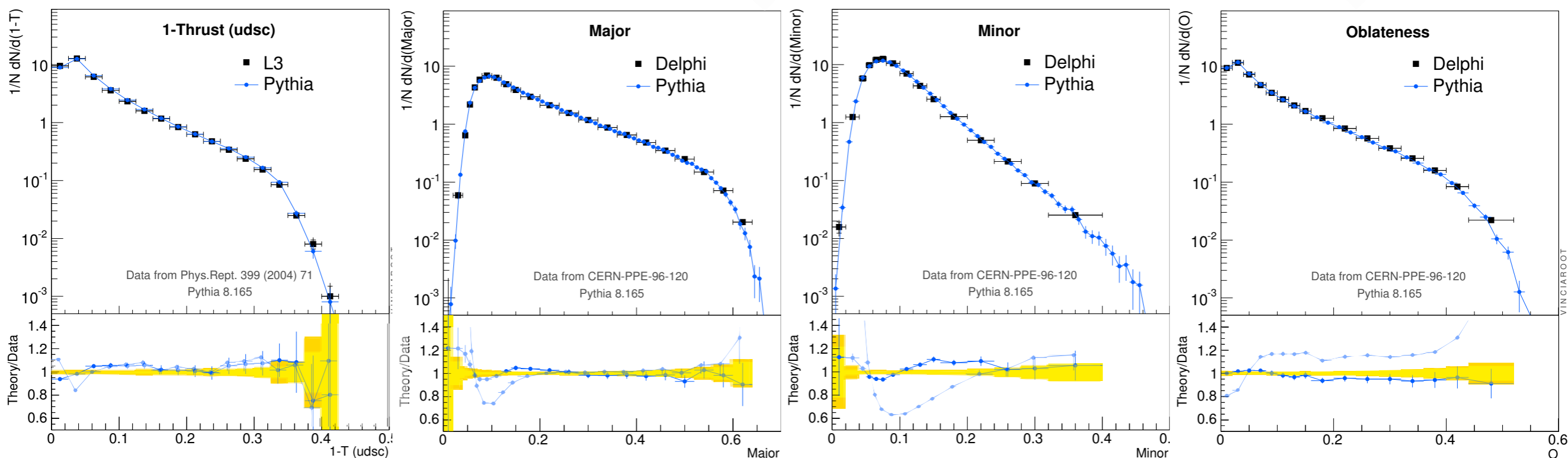
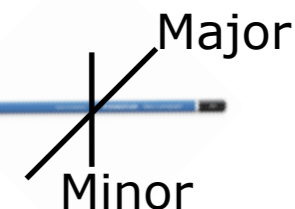
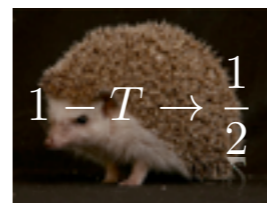
for $T < 0.05$, Major < 0.15 , Minor < 0.2 , and for all values of Oblateness

Need IR Corrections?

PYTHIA 8 (hadronization on) vs LEP: Thrust

$$T = \max_{\vec{n}} \left(\frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|} \right)$$

$1 - T \rightarrow 0$



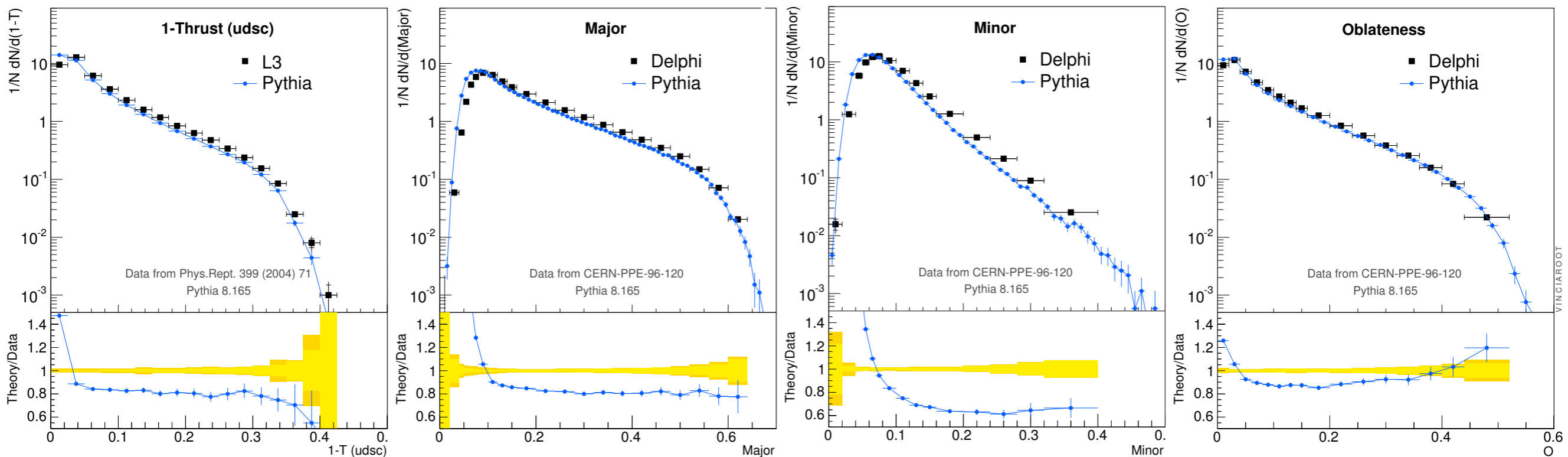
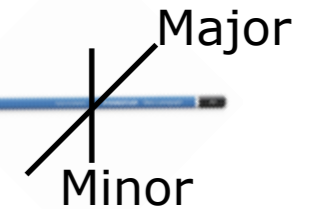
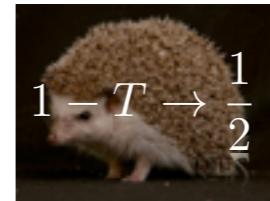
Note: Value of Strong coupling is
 $\alpha_s(M_Z) = 0.14$

Value of Strong Coupling

PYTHIA 8 (hadronization on) vs LEP: Thrust

$$T = \max_{\vec{n}} \left(\frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|} \right)$$

$1 - T \rightarrow 0$



Note: Value of Strong coupling is
 $a_s(M_Z) = 0.12$

Wait ... is this Crazy?

Best result

Obtained with $\alpha_s(M_Z) \approx 0.14$

\neq World Average = 0.1176 ± 0.0020

Value of α_s depends on the order and scheme

MC \approx Leading Order + LL resummation

Other leading-Order extractions of $\alpha_s \approx 0.13 - 0.14$

Effective scheme interpreted as "CMW" $\rightarrow 0.13$;

2-loop running $\rightarrow 0.127$; NLO $\rightarrow 0.12$?

Not so crazy

Tune/measure even pQCD parameters with the actual generator.

Sanity check = consistency with other determinations at a similar formal order, within the uncertainty at that order (including a CMW-like scheme redefinition to go to 'MC scheme')

Improve \rightarrow Matching at LO and NLO

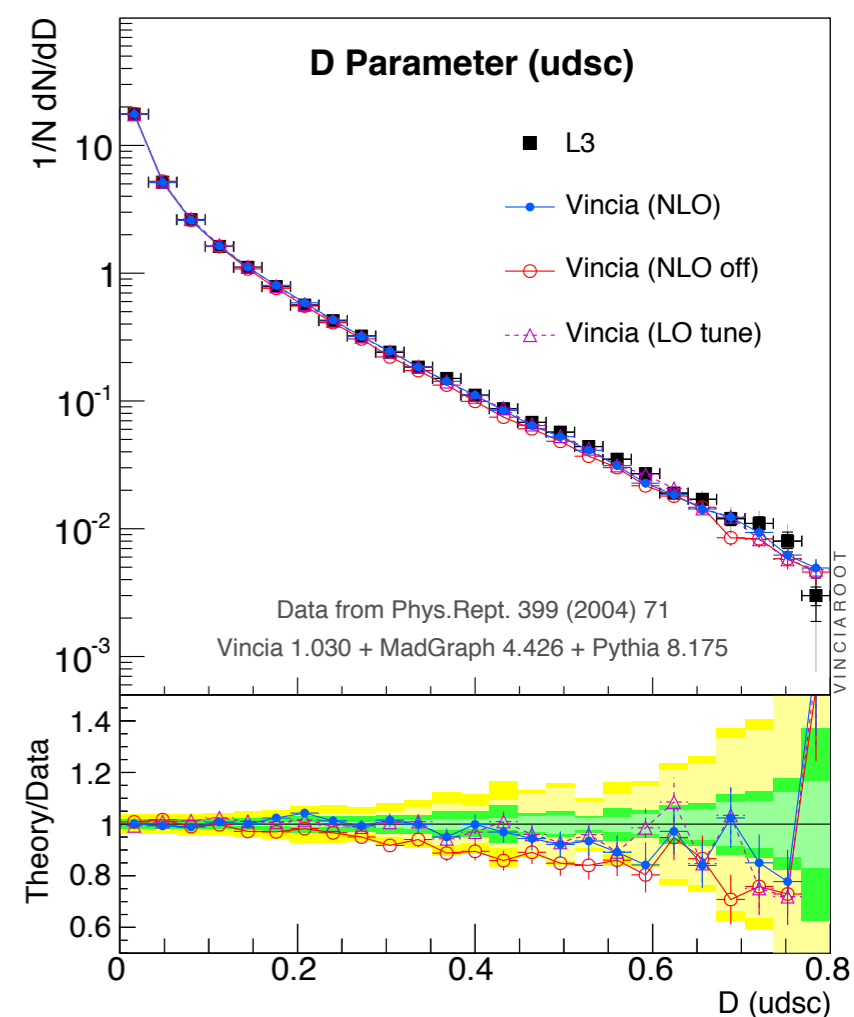
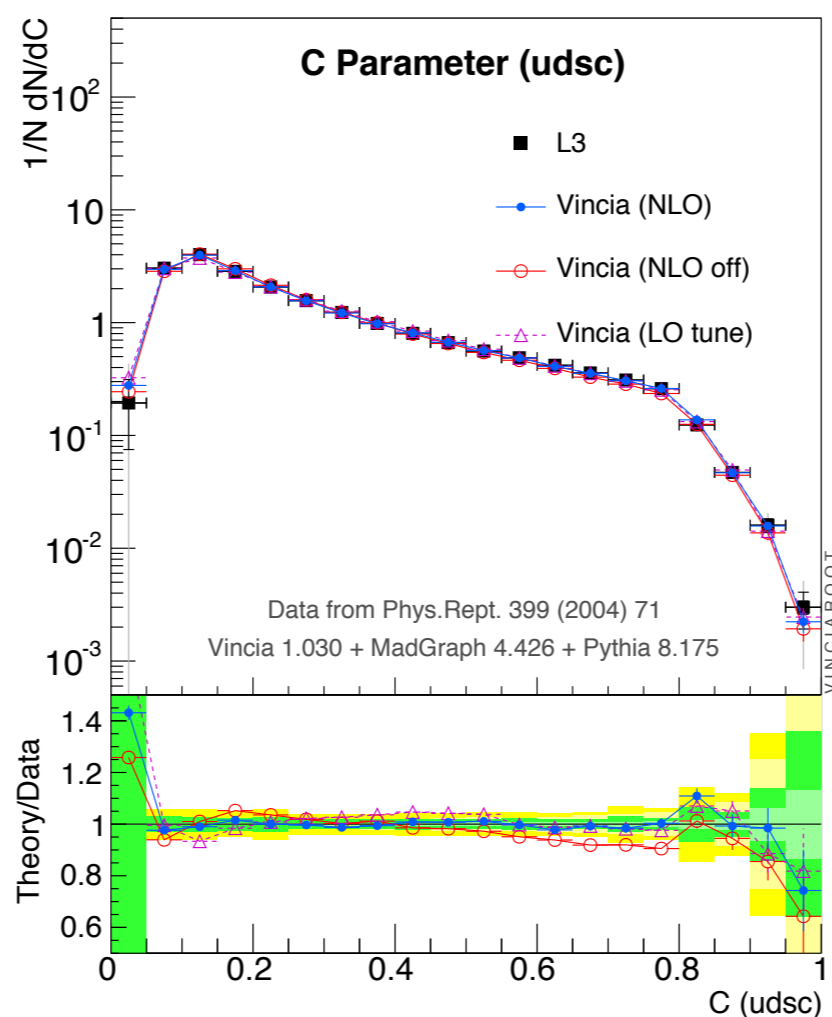
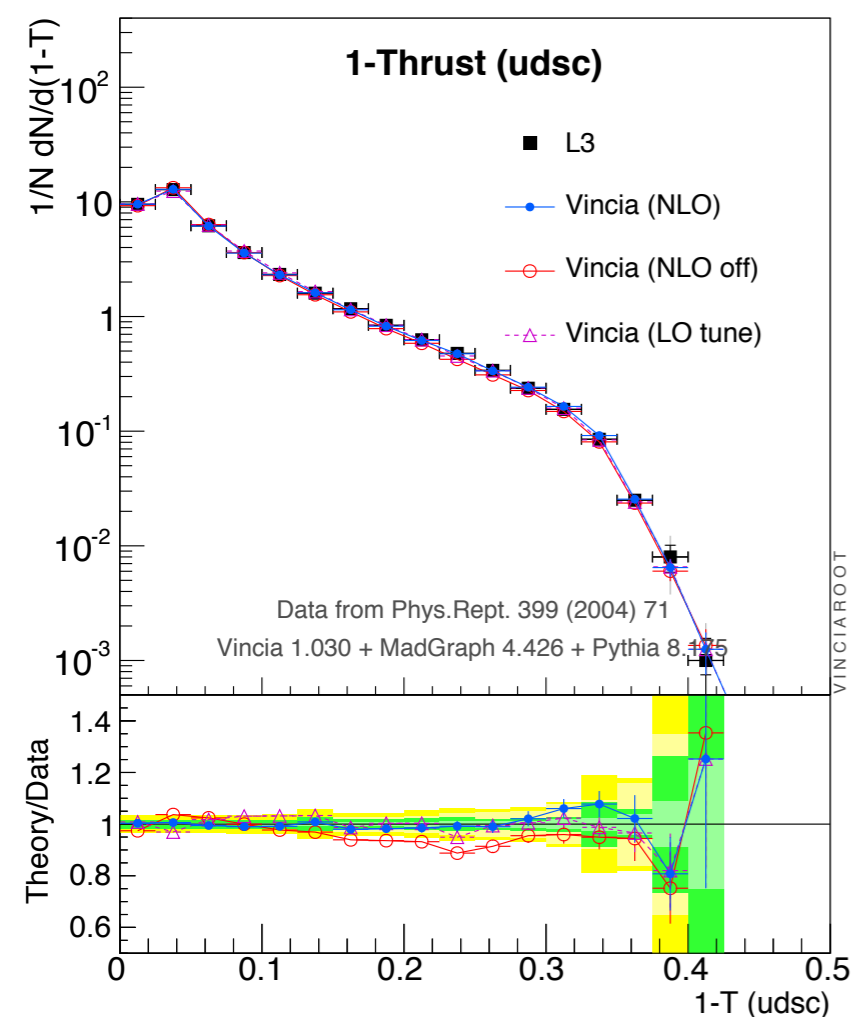
Sneak Preview: Multijet NLO Corrections with VINCIA

Hartgring, Laenen, Skands, [arXiv:1303.4974](https://arxiv.org/abs/1303.4974)

First LEP tune with NLO 3-jet corrections

LO tune: $\alpha_s(M_Z) = 0.139$ (1-loop running, MSbar)

NLO tune: $\alpha_s(M_Z) = 0.122$ (2-loop running, CMW)



Summary

Hard Wide-Angle Radiation: Matching

Slicing (Q_{cut}) : MLM, CKKW, CKKW-L

Subtraction ($w < 0$) : MC@NLO

ME Corrections : PYTHIA, POWHEG, VINCIA

Next big steps:

Combining multileg NLO corrections with parton showers

It's perturbation theory = we should be able to solve it. Expect this for next run of LHC.

Improving the intrinsic accuracy of showers? NLL, NLC, ... ?

Non-perturbative physics

Is still hard. String model remains best bet, but ~ 30 years old by now. Ripe for a revolution?

Many things omitted:

Random-number theory, Underlying Event, BSM, B Physics, Beam Remnants, Elastic and Diffractive Scattering, Heavy Ions, ...

See also: MCnet Review (long): [Phys.Rept. 504 \(2011\) 145-233](#) and/or PDG Review on Monte Carlo Event Generators, and/or PS, TASI Lectures (short): [arXiv:1207.2389](#)

MCnet Studentships

MCnet projects:

- PYTHIA (+ VINCIA)
- HERWIG
- SHERPA
- MadGraph
- Ariadne (+ DIPSY)
- Cedar (Rivet/Professor)

Activities include

- summer schools
(2014: Manchester?)
- short-term studentships
- graduate students
- postdocs
- meetings (open/closed)

Monte Carlo training studentships



3-6 month fully funded studentships for current PhD students at one of the MCnet nodes. An excellent opportunity to really understand and improve the Monte Carlos you use!

Application rounds every 3 months.



for details go to:
www.montecarlonet.org

Come to Australia



P



P



Establishing a new group in **Melbourne**

Working on **PYTHIA & VINCIA**

NLO Event Generators

Precision LHC **phenomenology & soft physics**

Support LHC **experiments, astro-particle**
community, and **future** accelerators

Outreach and Citizen Science



Oct 2014

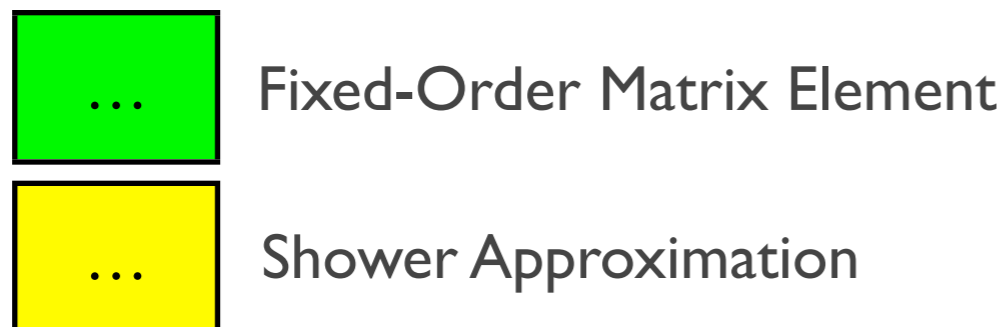
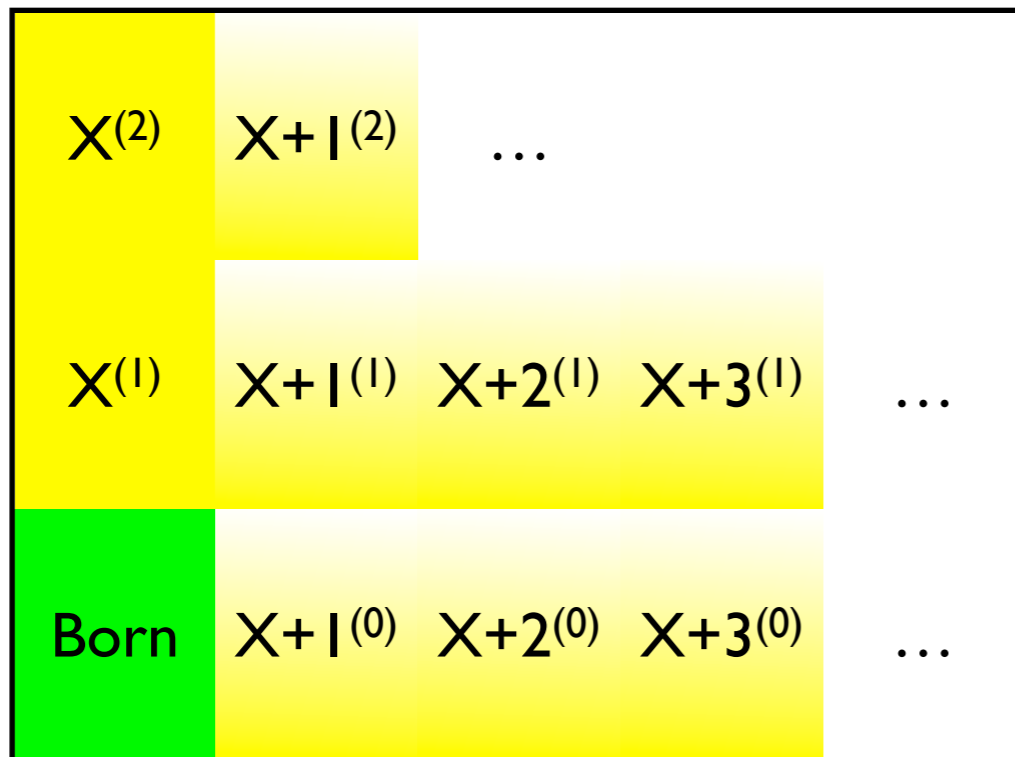
→ Monash University
Melbourne, Australia

Slicing

Examples: MLM, CKKW, CKKW-L

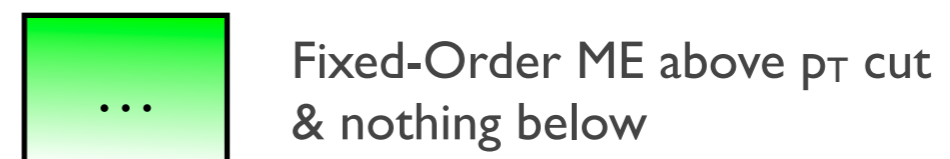
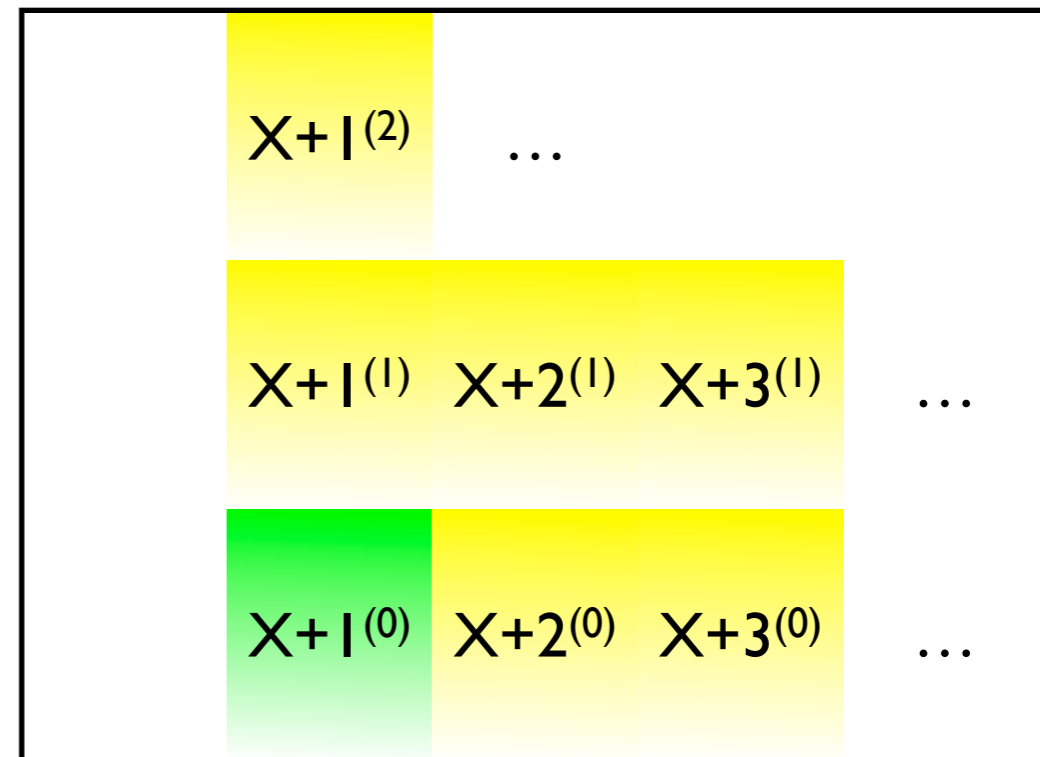
$$\mathbf{LO}_0 \times \mathbf{PS}_{(p_T > p_{T\text{cut}})} +$$

Std: veto shower above some $p_{T\text{cut}}$



$$\mathbf{LO}_1(p_{T1} > p_{T\text{cut}}) \times \mathbf{PS}_{(p_T < p_{T1})}$$

Highest n: veto shower above p_{Tn}



Illustrations from: PS, TASI Lectures, arXiv:1207.2389

Slicing

Examples: MLM, CKKW, CKKW-L

$$\mathbf{LO}_0 \times \mathbf{PS}_{(p_T > p_{Tcut})}$$

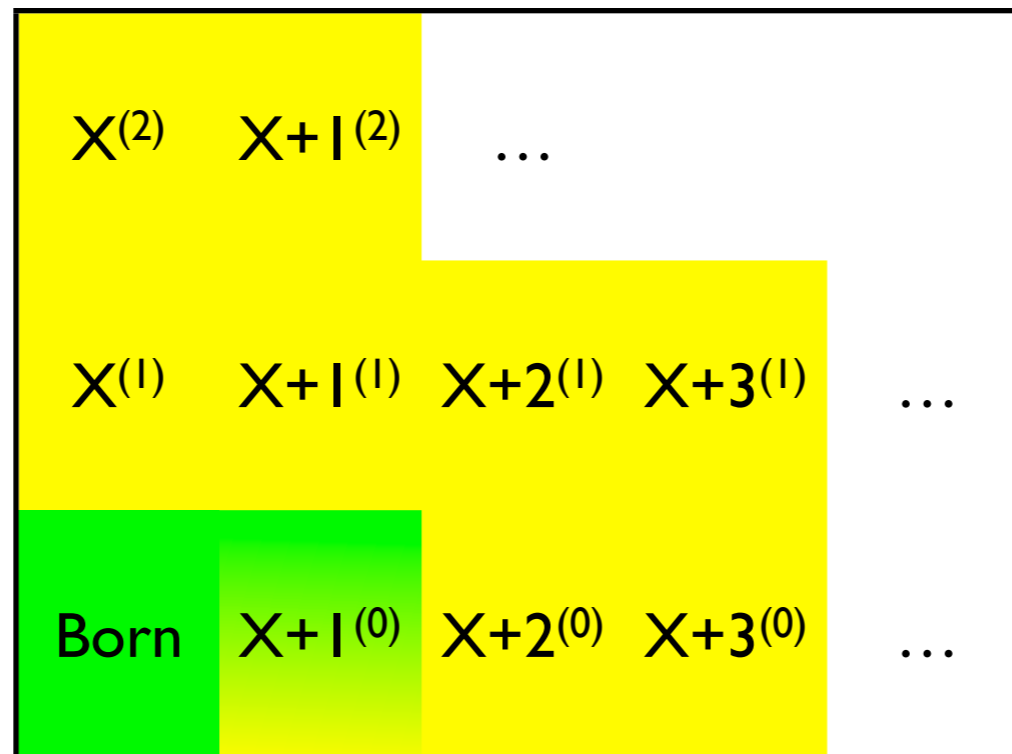
Std: veto shower above p_{Tcut}

+

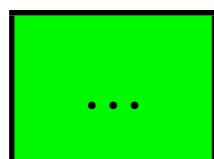
$$\mathbf{LO}_1(p_{T1} > p_{Tcut}) \times \mathbf{PS}_{(p_T < p_{T1})}$$

Highest n: veto shower above p_{Tn}

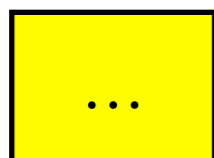
X+1 now LO correct for hard radiation and still LL correct for soft



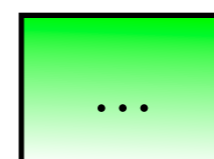
+ Generalizes to arbitrary numbers of jets (at LO)
Much work on extensions to NLO



Fixed-Order Matrix Element



Shower Approximation



Fixed-Order ME above p_T cut & nothing below



Fixed-Order ME above p_T cut & Shower Approximation below

Illustrations from: PS, TASI Lectures, arXiv:1207.2389