

# Nucleon Reverse Engineering

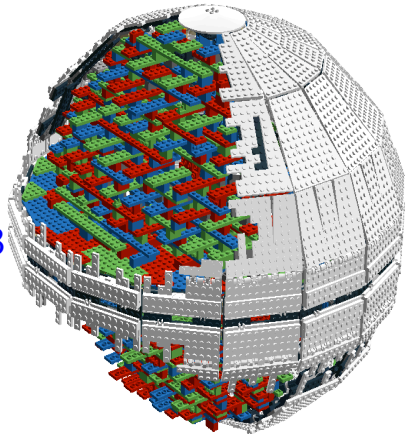
## Structuring the nucleon with quarks and gluons

Hervé MOUTARDE

Irfu/SPhN, CEA-Saclay

Ecole Joliot Curie 2013

29 Sept. - 4 Oct. 2013



*Ecole Joliot Curie*





# Motivation.

Study the nucleon structure to shed new light on non-perturbative QCD.

Introduction

Motivation

A brief history of the nucleon

Discovery  
Structure

General outline

## Reverse engineering

**Reverse engineering** is the process of discovering the technological principles of a device, object, or system through analysis of its structure, function, and operation.

Eilam and Chikofsky, *Reversing: secrets of reverse engineering*, John Wiley & Sons, 2007.



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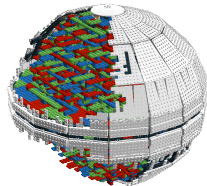
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- Interplay between **perturbative** and **non-perturbative** QCD.
- **Interacting** colored degrees of freedom confined in **colorless** hadrons.
- **Emergence** of hadron characteristics from **fundamental building blocks**.





# Proton.

Identified by Rutherford in 1919.

## Introduction

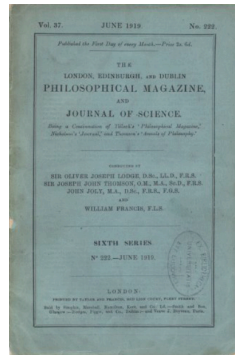
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- *"We must conclude that the nitrogen atom is disintegrated under the intense forces developed in a close collision with a swift alpha particle, and that the hydrogen atom which is liberated formed a constituent part of the nitrogen nucleus."*



Rutherford, Phil.  
Mag. **37**, 537  
(1919)

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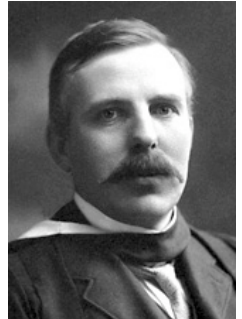
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- The nitrogen nucleus contains hydrogen nuclei, considered by Rutherford as **elementary particles**.



Rutherford,  
NP 1908

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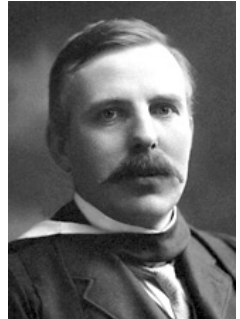
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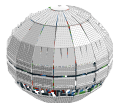
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$$M = 938.272046 (21) \text{ MeV}$$

Beringer *et al.* (Particle Data Group),  
Phys. Rev. **D86**, 010001 (2012)

# Proton.

The discovery of nuclear spin.

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A brief history of the neutron

Discovery

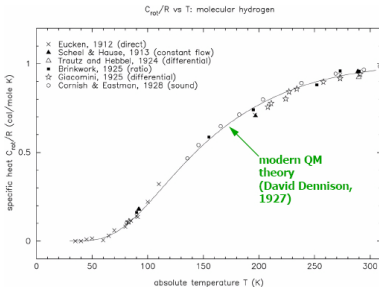
Structure

General outline

- Proton spin obtained from the measurement of the **specific heat** of molecular hydrogen!

$$E = E_{\text{elec}} + E_{\text{vib}} + E_{\text{rot}} + E_{\text{trans}}$$

Dennison, Proc. Roy. Soc. **A115**, 483 (1927)



- Two varieties of molecular hydrogen from wave function symmetry considerations.
- Slow transition between the two varieties.

Fig. from Gearhart, HQ2, 2008

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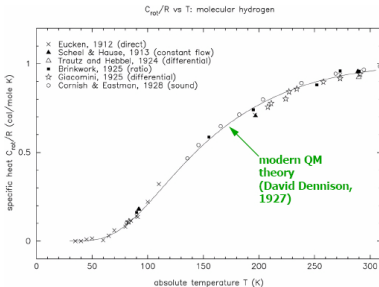
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- Proton spin obtained from the measurement of the **specific heat** of molecular hydrogen!

$$E = \underbrace{E_{\text{elec}}}_{\mathcal{O}(10000 \text{ K})} + \underbrace{E_{\text{vib}}}_{\mathcal{O}(1000 \text{ K})} + \underbrace{E_{\text{rot}}}_{\mathcal{O}(10 \text{ K})} + \underbrace{E_{\text{trans}}}_{\frac{3}{2}R}$$

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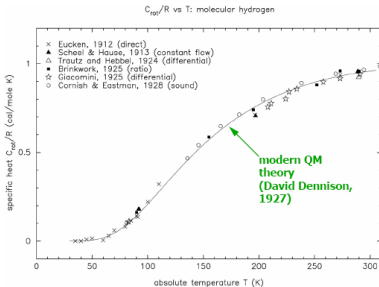
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$$E = \underbrace{E_{\text{rot}}}_{\frac{1}{2I}J(J+1)} + \underbrace{E_{\text{trans}}}_{\frac{3}{2}R} \quad \text{small } T$$

Dennison, Proc. Roy. Soc. **A115**, 483 (1927)



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*"[Added June 16, 1927.- It may be pointed out that the ratio of 3 to 1 of the antisymmetrical and symmetrical modifications of hydrogen, as regards the rotation of the molecule, is just what is to be expected from a consideration of the equilibrium at ordinary temperatures if the nuclear spin is taken equal to that of the electron, and only the complete antisymmetrical solution of the Schrödinger wave equation allowed."*

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▶ See more.

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$$J = \frac{1}{2}$$

Beringer *et al.* (Particle Data Group),  
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▶ See more.

# Neutron.

Starting point of modern nuclear physics.

## Introduction

### Motivation

A brief history of the nucleon

### Discovery

### Structure

### General outline

- 1932: **Discovery.**  
Chadwick, Nature **A129**, 312 (1932)
- 1934: **Mass measurement** ( $\gamma$  rays on deuteron yielding protons and neutrons).  
Chadwick and Goldhaber, Nature **A134**, 237 (1934)
- 1934: **Spin measurement** (from deuteron spin).  
Murphy and Johnston, Phys. Rev. **46**, 95 (1934)



Chadwick,  
NP 1935

# Nucleon and isospin.

Starting point of modern nuclear physics.

Introduction

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A brief history of the nucleon

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General outline

- 1932: **Nucleon and Isospin.**
  - Nuclei are made of protons and neutrons.
  - Strong interaction = exchange force described by isospin.

Heisenberg, Z. Phys. **77**, 1 (1932),  
Z. Phys. **78**, 156 (1932),  
Z. Phys. **80**, 587 (1932)



Heisenberg,  
NP 1932

# Nucleon magnetic moment.

First evidence for a non trivial nucleon structure.

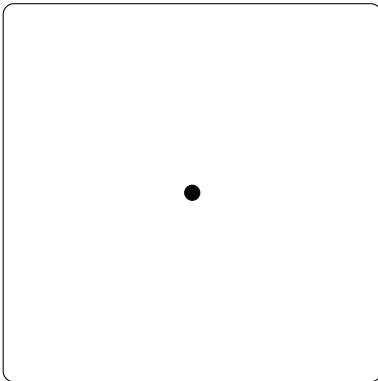
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- Before 1933:  
elementary proton.
- Pauli : *"Don't you know the Dirac theory ? It is obvious from Dirac's equation that the moment must be  $|e|/2M$ ."*

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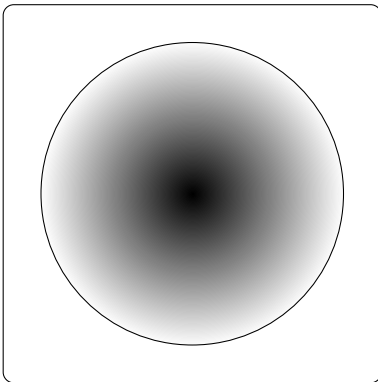
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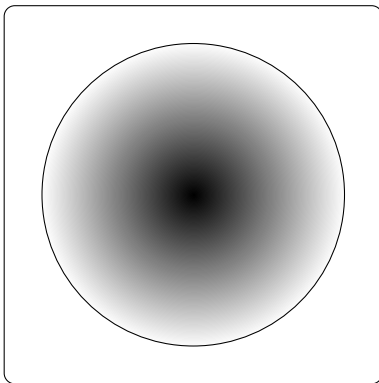
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- From  $\simeq 1933$  to  $\simeq 1960$ : composite nucleon with unknown structure.
- Proton mag. moment: 1933  
Estermann and Stern  
*Z. Phys.* **85**, 7 (1933)
- Neutron mag. moment: 1934  
Rabi *et al.*  
*Phys. Rev.* **46**, 163 (1934)



Stern,  
NP 1943



Rabi,  
NP 1944

# Nucleon form factors and charge radius.

Elastic scattering.

Introduction

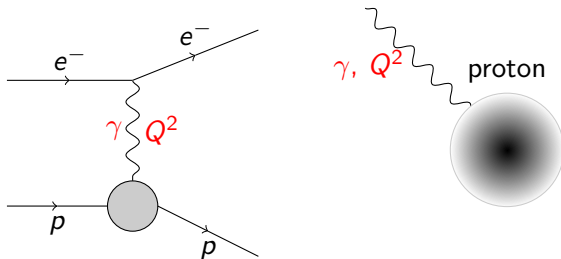
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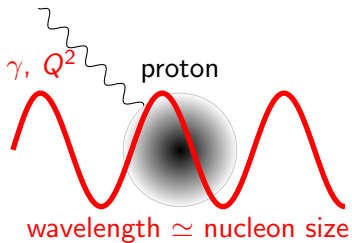
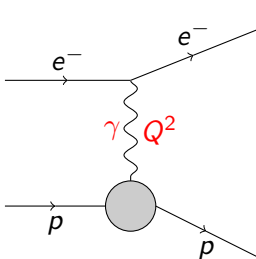
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# Nucleon form factors and charge radius.

## Elastic scattering.

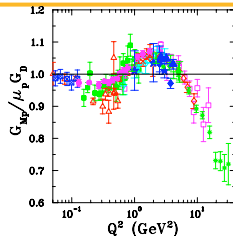
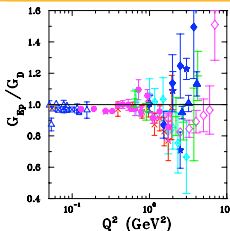
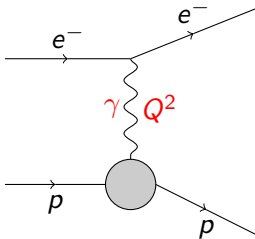
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Perdrisat *et al.*, *Prog. Part. Nucl. Phys.* **59**, 694 (2007)

- **Elastic scattering** electron / proton described by means of **form factors** depending on  $Q^2$  :
- $G_E$  electric charge distribution,
- $G_M$  magnetic moment distribution.



Hofstadter,  
NP 1961

# Some properties of the nucleon.

## Summary.

### ● proton

- $M_p = 938.27203 (8) \text{ GeV}$
- $J = \frac{1}{2}$
- $I = \frac{1}{2}$
- $\tau \gtrsim 10^{32} \text{ years}$
- $r_p = 0.875 (7) \text{ fm}$
- $\mu_p = 2.792847351 (28) \mu_N$
- $d < 0.54 \cdot 10^{-23} \text{ e cm}$

### ● neutron

- $M_n = 939.56536 (8) \text{ GeV}$
- $J = \frac{1}{2}$
- $I = \frac{1}{2}$
- Lifetime = 885.7 (8) s
- $\langle r_n^2 \rangle = -0.1161 (22) \text{ fm}^2$
- $\mu_p = -1.9130427 (5) \mu_N$
- $d < 0.29 \cdot 10^{-25} \text{ e cm}$



*Numerous indications of a non-trivial structure.*

# Quarks.

Existence in spite of unobserved free quarks.

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General outline

- Success of the **quark model** with symmetry  $SU(3)$ .

Gell-Mann, Ne'eman and Zweig (1964)

Zweig



Ne'eman



Gell-Mann,  
NP 1969



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NP 1969



- No observed free particles with fractional electric charge.  
Hodges *et al.* , Phys. Rev. Lett. **47**, 1651 (1981)

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Gell-Mann,  
NP 1969



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Hodges *et al.* , Phys. Rev. Lett. **47**, 1651 (1981)

- Experimental evidence for punctual charged spin-1/2 particles inside the nucleon.

Friedman and Kendall, Ann. Rev. Nucl. Part. Sci. **A22**, 203 (1972)

Friedman,  
NP 1990



Kendall,  
NP 1990



Taylor,  
NP 1990



# Quarks.

Six quark flavors have been observed so far.

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- Quarks and their **current masses**:

- Light flavors:

saveur	<i>u</i>	<i>d</i>	<i>s</i>
masse (MeV)	[1.5, 3.3]	[3.5, 6.]	$105_{-35}^{+25}$
charge (e)	$+\frac{2}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$

- Heavy flavors:

saveur	<i>c</i>	<i>b</i>	<i>t</i>
masse (GeV)	$1.27_{-0.11}^{+0.17}$	$4.20_{-0.07}^{+0.17}$	$171.3_{-1.6}^{+1.6}$
charge (e)	$+\frac{2}{3}$	$-\frac{1}{3}$	$+\frac{2}{3}$

- Masses spread out over 5 orders of magnitude.
- In the following focus on light flavors to discuss nucleon structure.

# Gluons.

Existence in spite of unobserved free gluons.

Introduction

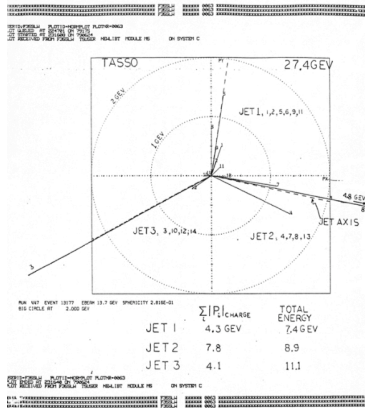
Motivation

A brief history of the nucleon

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General outline

- Indirect observation in a **3-jet event**.  
*Wiik, Neutrino '79, Bergen*
- Spin-1 confirmed by analysis of 3-jet events.  
*Brandelik et al. , Phys. Lett. B86, 243 (1979)*
- Gluon mass  $\lesssim$  a few MeVs.  
*Yndurain, Phys. Lett. B345, 524 (1995)*





# Reverse engineering.

The nucleon as a quantum relativistic system of confined particles.

Introduction

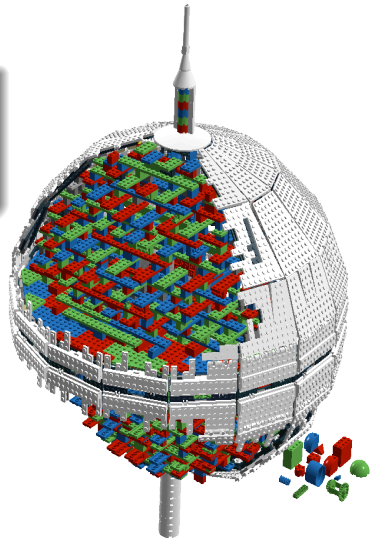
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How can we recover the well-known characteristics of the nucleon from the properties of its building blocks?



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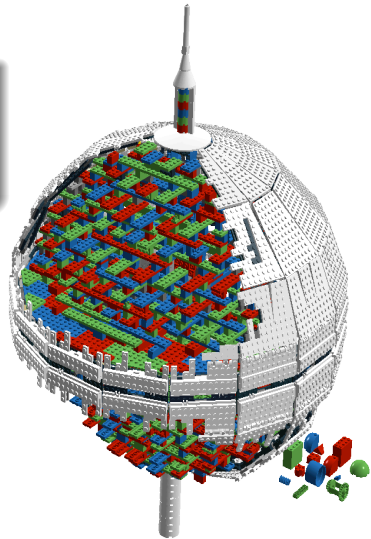
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Mass?



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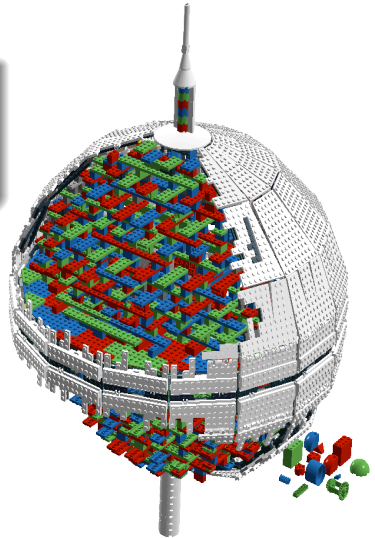
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Mass?  
Spin?



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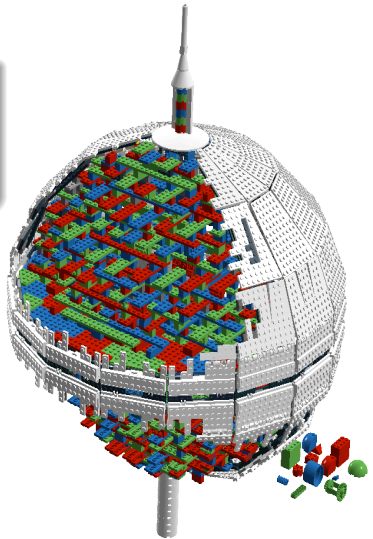
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Mass?  
Spin?  
Charge?



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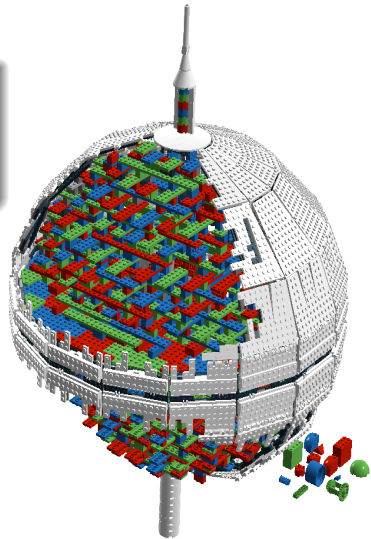
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Mass?  
Spin?  
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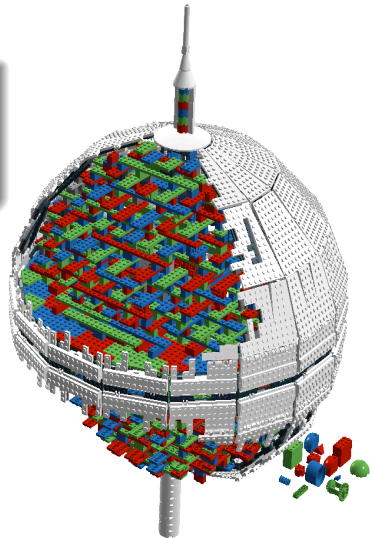
Mass?

Spin?

Charge?

...

First need to give a well defined (**quantitative**) formulation of the problem!



# General outline.

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General outline

## 1 Anatomy of the nucleon

*General physical context. What would be desirable? What is achievable?*

▶ Go to Part I.

## 2 Hard probes and partonic content

*Technical. All the words you have already heard but which were maybe left undefined.*

▶ Go to Part II.

## 3 3D imaging and beyond

*Phenomenological status of 3D imaging in the most advanced case.*

▶ Go to Part III.

## Part. I Anatomy of the nucleon

Tuesday 1 Oct. 2013  
8H30 - 10H

*General physical context. What would be desirable? What is achievable?*



# Phase space distribution function.

Microscopic description of an assembly of particles.

Anatomy of the nucleon

Phase space distributions

Aside on kinetic theory

Wigner distribution

Nucleon spatial structure

Elastic scattering

Interpretation

Nucleon charge radius

Quark Wigner distributions

Relativistic treatment

Light-cone physics

5-dimensional Wigner distribution

- Massive particles (mass  $m$ , particle density  $N$ ).
- Orders of magnitude:

$$\text{de Broglie wavelength } \lambda \ll \text{Average distance } d_0 \ll \text{Typical length scales } L$$

e.g. hydrogen in stellar atmosphere at  $T \simeq 10^4$  K:

- $N \simeq 10^{16} \text{ cm}^{-3}$ ,
- $d_0 = (4\pi/3N)^{-1/3} \simeq 3 \times 10^{-6} \text{ cm}$ ,
- $L \simeq 100 \text{ km}$ ,
- $\lambda = h/\sqrt{3mk_B T} \simeq 2 \times 10^{-9} \text{ cm}$ .
- Approx.: **continuous distribution of classical particles.**

## Distribution function $f(\vec{r}, \vec{v}, t)$

$f(\vec{r}, \vec{v}, t) d^3\vec{r}d^3\vec{v}$  is the average number of particles contained, at time  $t$ , in a volume element  $d^3\vec{r}$  about  $\vec{r}$  and velocity-space element  $d^3\vec{v}$  about  $\vec{v}$ .

# Phase space distribution function.

Macroscopic properties of an assembly of particles.

- **Macroscopic properties** are computed from the distribution function, e.g. :

- Particle density:

$$N(\vec{r}, t) = \int d^3\vec{v} f(\vec{r}, \vec{v}, t)$$

- Mass density  $\rho$  (atomic weight  $A$ ):

$$\rho(\vec{r}, t) = Am_H N(\vec{r}, t)$$

- Average velocity  $\langle \vec{v} \rangle$ :

$$\langle \vec{v} \rangle (\vec{r}, t) = \int d^3\vec{v} \vec{v} f(\vec{r}, \vec{v}, t)$$

- $f$  is a **1-particle distribution function**: the probability of finding a particle at a given point in phase space is independent of the coordinates of all other particles.
- By construction  $f(\vec{r}, \vec{v}, t)$  is **positive**.



# Wigner quasiprobability distribution.

Including quantum effects.

- Must modify definition of phase space distribution  $f(\vec{r}, \vec{v}, t)$  to satisfy **Heisenberg uncertainty principle**.

Anatomy of  
the nucleon

Phase space  
distributions

Aside on kinetic  
theory

Wigner  
distribution

Nucleon  
spatial  
structure

Elastic scattering

Interpretation

Nucleon charge  
radius

Quark Wigner  
distributions

Relativistic  
treatment

Light-cone  
physics

5-dimensional  
Wigner  
distribution

# Wigner quasiprobability distribution.

Including quantum effects.

- Must modify definition of phase space distribution  $f(\vec{r}, \vec{v}, t)$  to satisfy **Heisenberg uncertainty principle**.
- Change **kinetic momentum**  $\vec{p} = m\vec{v}$  to **canonical momentum**  $\vec{p} = \partial\mathcal{L}/\partial\vec{v}$ .

## Wigner distribution $\mathcal{W}$ (pure state)

Let  $\psi$  be the **wavefunction** of the considered system. The **Wigner distribution**  $\mathcal{W}(\vec{r}, \vec{p})$  is:

$$\mathcal{W}(\vec{r}, \vec{p}, t) = \int \frac{d^3\vec{s}}{(2\pi)^3} \psi^* \left( \vec{r} - \frac{1}{2}\vec{s}, t \right) \psi \left( \vec{r} + \frac{1}{2}\vec{s}, t \right) e^{i\vec{p} \cdot \vec{s}}$$

Wigner, Phys. Rev. **40**, 749 (1932)

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Including quantum effects.

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Phase space distributions

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Wigner distribution

Nucleon spatial structure

Elastic scattering Interpretation Nucleon charge radius

Quark Wigner distributions

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5-dimensional Wigner distribution

- Must modify definition of phase space distribution  $f(\vec{r}, \vec{v}, t)$  to satisfy **Heisenberg uncertainty principle**.
- Change **kinetic momentum**  $\vec{p} = m\vec{v}$  to **canonical momentum**  $\vec{p} = \partial\mathcal{L}/\partial\vec{v}$ .

## Wigner distribution $\mathcal{W}$ (pure state)

Let  $\psi$  be the **wavefunction** of the considered system. The **Wigner distribution**  $\mathcal{W}(\vec{r}, \vec{p})$  is:

$$\mathcal{W}(\vec{r}, \vec{p}, t) = \int \frac{d^3\vec{s}}{(2\pi)^3} \psi^* \left( \vec{r} - \frac{1}{2}\vec{s}, t \right) \psi \left( \vec{r} + \frac{1}{2}\vec{s}, t \right) e^{i\vec{p} \cdot \vec{s}}$$

Wigner, Phys. Rev. **40**, 749 (1932)

- By construction  $\mathcal{W}(\vec{r}, \vec{p}, t)$  is **real** but **not necessarily positive**.



# Wigner quasiprobability distribution (pure state). Properties.

- Recover  $\vec{r}$  and  $\vec{p}$  **probability densities**:

$$\int d^3\vec{p} \mathcal{W}(\vec{r}, \vec{p}) = \int \frac{d^3\vec{s}}{(2\pi)^3} \psi^* \left( \vec{r} - \frac{\vec{s}}{2} \right) \psi \left( \vec{r} + \frac{\vec{s}}{2} \right) \int d^3\vec{p} e^{i\vec{p} \cdot \vec{s}}$$

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# Wigner quasiprobability distribution (pure state). Properties.

- Recover  $\vec{r}$  and  $\vec{p}$  **probability densities**:

$$\begin{aligned} \int d^3\vec{p} \mathcal{W}(\vec{r}, \vec{p}) &= \int \frac{d^3\vec{s}}{(2\pi)^3} \psi^* \left( \vec{r} - \frac{\vec{s}}{2} \right) \psi \left( \vec{r} + \frac{\vec{s}}{2} \right) \int d^3\vec{p} e^{i\vec{p} \cdot \vec{s}} \\ &= \int d^3\vec{s} \psi^* \left( \vec{r} - \frac{\vec{s}}{2} \right) \psi \left( \vec{r} + \frac{\vec{s}}{2} \right) \delta^{(3)}(\vec{s}) \end{aligned}$$

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 &= \int d^3\vec{s} \psi^* \left( \vec{r} - \frac{\vec{s}}{2} \right) \psi \left( \vec{r} + \frac{\vec{s}}{2} \right) \delta^{(3)}(\vec{s}) \\
 &= \psi^*(\vec{r}) \psi(\vec{r})
 \end{aligned}$$

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# Wigner quasiprobability distribution (pure state). Properties.

- Recover  $\vec{r}$  and  $\vec{p}$  **probability densities**:

$$\int d^3\vec{p} \mathcal{W}(\vec{r}, \vec{p}) = |\psi(\vec{r})|^2$$

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- For an observable  $A$  associated to a function  $a(\vec{r}, \vec{p})$  of **phase-space coordinates**:

$$\langle A \rangle = \int d^3\vec{r} d^3\vec{p} a(\vec{r}, \vec{p}) \mathcal{W}(\vec{r}, \vec{p})$$

Moyal, Proc. Cam. Phil. Soc. **45**, 99 (1949)

# Wigner quasiprobability distribution (pure state). Properties.

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- **Quantum mechanical generalization** of distribution function  $f(\vec{r}, \vec{p})$ .

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- **Quantum mechanical generalization** of distribution function  $f(\vec{r}, \vec{p})$ .
- Need to consider **mixed states** e.g. to take spin into account.

# Density matrices.

Putting mixed states in Wigner distributions.

- Consider a system  $|\psi\rangle$  which is in state  $|k\rangle$  with probability  $p_k$  ( $1 \leq k \leq K$  and  $\sum_1^K p_k = 1$ ).
- Choose a complete set of (orthonormal) states  $|u_n\rangle$ :

$$|k\rangle = \sum_n c_n^{(k)} |u_n\rangle \quad \text{for } 1 \leq k \leq n$$

- Compute average value of observable  $A$  in state  $|k\rangle$ :

$$\langle k | A | k \rangle = \sum_{n,m} c_n^{(k)*} c_m^{(k)} A_{nm} \quad \text{with } A_{nm} = \langle u_n | A | u_m \rangle$$

- **Define** operator  $\rho$  by matrix element:

$$\rho_{nm} = \langle u_n | \rho | u_m \rangle = \sum_{k=1}^K p_k c_n^{(k)*} c_m^{(k)}$$

- By construction:

$$\langle \psi | A | \psi \rangle = \sum_{n,m} \rho_{nm} A_{nm} = \text{Tr } \rho A$$

# Density matrices.

Formal definition.

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## Density operator $\rho$

Every state can be represented by an **density operator**  $\rho$  with the following properties:

①  $\rho$  is hermitian.

②  $\text{Tr } \rho = 1$ .

③  $\rho$  is positive:

$$\langle \psi | \rho | \psi \rangle \geq 0 \quad \text{for all states } \psi$$

④ The state is pure if and only if  $\rho^2 = \rho$ .

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## Density operator $\rho$

Every state can be represented by an **density operator**  $\rho$  with the following properties:

- 1  $\rho$  is hermitian.

*The average value of an hermitian operator is real.*

- 2  $\text{Tr } \rho = 1.$

- 3  $\rho$  is positive:

$$\langle \psi | \rho | \psi \rangle \geq 0 \quad \text{for all states } \psi$$

- 4 The state is pure if and only if  $\rho^2 = \rho.$



# Density matrices.

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*The average value of the identity is 1.*

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*The average value of  $B = AA^\dagger$  is positive.*

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$$\langle \psi | \rho | \psi \rangle \geq 0 \quad \text{for all states } \psi$$

*The average value of  $B = AA^\dagger$  is positive.*

- 4 The state is pure if and only if  $\rho^2 = \rho$ .

*$\rho$  is a projection operator.*

# Wigner quasiprobability distribution.

Nonrelativistic quantum mechanical definition (mixed state).

- Reminder: definition for a pure state.

$$\mathcal{W}_{\text{pure}}(\vec{r}, \vec{p}, t) = \int \frac{d^3\vec{s}}{(2\pi)^3} \psi^* \left( \vec{r} - \frac{1}{2}\vec{s}, t \right) \psi \left( \vec{r} + \frac{1}{2}\vec{s}, t \right) e^{i\vec{p}\cdot\vec{s}}$$

## Wigner distribution $\mathcal{W}$ (mixed state)

Let  $\rho$  be the **density operator** of the considered system. The **Wigner distribution**  $\mathcal{W}(\vec{r}, \vec{p})$  is:

$$\mathcal{W}(\vec{r}, \vec{p}) = \int \frac{d^3\vec{s}}{(2\pi)^3} \left\langle \vec{r} - \frac{1}{2}\vec{s} \left| \rho \right| \vec{r} + \frac{1}{2}\vec{s} \right\rangle e^{i\vec{p}\cdot\vec{s}}$$

- Need extensions to describe:
  - Quark fields.
  - Color gauge invariance.
  - Lorentz invariance.

# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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- (Trial) Wigner distribution operator  $\hat{\mathcal{W}}$ :

$$\hat{\mathcal{W}}_{\Gamma}((t, \vec{r}), p) = \int d^4s \bar{\psi} \left( \vec{r} - \frac{1}{2}\vec{s} \right) \Gamma \psi \left( \vec{r} + \frac{1}{2}\vec{s} \right) e^{ip \cdot s}$$

where  $\Gamma = 1, \gamma_{\mu}, \gamma_{\mu}\gamma_5$  or  $\gamma_5$ .

- Choose a **constant 4-vector**  $n^{\mu}$  and a non-singular gauge (gauge potentials vanish at spacetime infinity).
- Connect quark fields at  $r \pm s/2$  with a **Wilson line**  $\mathcal{L}$  via intermediate points at  $n\infty$  to **ensure gauge invariance**.
- Sandwich between nucleon states with relativistic normalization:

$$\mathcal{W}_{\Gamma}((t, \vec{r}), p) = \frac{1}{2M} \int \frac{d^3\vec{q}}{(2\pi)^3} \left\langle N, \frac{\vec{q}}{2} \left| \hat{\mathcal{W}}_{\Gamma}((t, \vec{r}), p) \right| N, -\frac{\vec{q}}{2} \right\rangle$$

Ji, Phys. Rev. Lett. **91**, 062001 (2003)

Ji et al. , Phys. Rev. **D69**, 074014 (2004)

# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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- Relativistic normalization of 1-particle states:

$$\langle N, p | N, k \rangle = (2\pi)^3 2E_{\vec{p}} \delta^{(3)}(\vec{p} - \vec{k})$$

- Use translation operator  $\mathbb{P}$ :  $\phi(x + a) = e^{+i\mathbb{P} \cdot a} \phi(x) e^{-i\mathbb{P} \cdot a}$

$$\mathcal{W}_\Gamma((t, \vec{r}), p) = \frac{1}{2M} \int \frac{d^3\vec{q}}{(2\pi)^3} e^{-i\vec{q} \cdot \vec{r}} \left\langle N, \frac{\vec{q}}{2} \left| \hat{\mathcal{W}}_\Gamma((t, \vec{0}), p) \right| N, -\frac{\vec{q}}{2} \right\rangle$$

- To get a **non-trivial** phase-space dependence on  $\vec{r}$ , take initial and final hadrons with **different** center-of-mass momenta.

## Exercise 1.1

Recover the nonrelativistic quantum mechanical definition.

# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

## Nonrelativistic Wigner distribution for quarks in QCD.

$$\mathcal{W}_\Gamma\left((t, \vec{r}), p\right) = \frac{1}{2M} \int \frac{d^3\vec{q}}{(2\pi)^3} \left\langle N, \frac{\vec{q}}{2} \left| \hat{\mathcal{W}}_\Gamma\left((t, \vec{r}), p\right) \right| N, -\frac{\vec{q}}{2} \right\rangle$$

Ji, Phys. Rev. Lett. **91**, 062001 (2003)

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- Is it measurable?

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# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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Ji, Phys. Rev. Lett. **91**, 062001 (2003)

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- Is it measurable? **Not clear!**



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Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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Ji, Phys. Rev. Lett. **91**, 062001 (2003)

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- Is it measurable? **Not clear!**
- It is familiar?

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Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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- Is it measurable? **Not clear!**
- It is familiar? **Try with  $\Gamma = \gamma_\mu$ .**

$$\hat{\mathcal{W}}_{\gamma_\mu}((t, \vec{r}), p) = \int d^4s \bar{\psi} \left( \vec{r} - \frac{1}{2}\vec{s} \right) \gamma_\mu \psi \left( \vec{r} + \frac{1}{2}\vec{s} \right) e^{ip \cdot s}$$

# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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$$\int \frac{d^4 p}{(2\pi)^4} \hat{\mathcal{W}}_{\gamma_\mu}((t, \vec{r}), p) = \bar{\psi}(t, \vec{r}) \gamma_\mu \psi(t, \vec{r})$$

# Wigner quasiprobability distribution.

Nonrelativistic Wigner distribution for quarks in QCD (field theory).

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- Is it measurable? **Not clear!**
- It is familiar? **Yes!**

$$\hat{\mathcal{W}}_{\gamma_\mu}((t, \vec{r}), p) = \int d^4 s \bar{\psi} \left( \vec{r} - \frac{1}{2} \vec{s} \right) \gamma_\mu \psi \left( \vec{r} + \frac{1}{2} \vec{s} \right) e^{ip \cdot s}$$

$$\int \frac{d^4 p}{(2\pi)^4} \hat{\mathcal{W}}_{\gamma_\mu}((t, \vec{r}), p) = \bar{\psi}(t, \vec{r}) \gamma_\mu \psi(t, \vec{r})$$

- Matrix element of the **electromagnetic current!**

# Elastic scattering.

Kinematics and standard notations.

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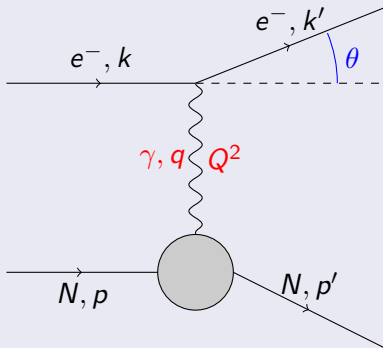
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## Kinematics of elastic scattering on the nucleon



$$q \equiv k - k',$$

$$Q^2 \equiv -q^2,$$

$$\nu \equiv \frac{p \cdot q}{M},$$

$$x_B \equiv \frac{Q^2}{2p \cdot q},$$

$$W^2 \equiv (p + q)^2,$$

$$s \equiv (p + k)^2.$$

In the target rest frame  $\theta \in [0, \pi]$  and:

$$p \equiv (M, \vec{0}), \quad k \equiv (E, \vec{k}), \quad k' \equiv (E', \vec{k}').$$

# Elastic scattering.

Kinematics, standard notations, orders of magnitude, variable ranges.

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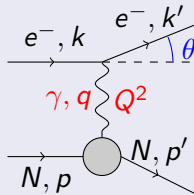
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## Kinematics of elastic scattering on the nucleon

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$$s \equiv (p + k)^2.$$

## Exercise 1.2

Give the typical energy range to probe the nucleon structure with electromagnetic elastic scattering. Justify the neglect of the electron mass and show that  $q^2 \simeq -4EE' \sin^2 \theta/2$  and  $Q^2 > 0$ . What is the value of  $x_B$ ?

# Elastic scattering.

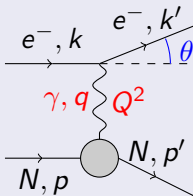
Amplitude at Born order.

- Electromagnetic current:

$$J_{\mu}^{\text{em}}(y) = \sum_{q=u,d,s,\dots} e_q \bar{q}(y) \gamma_{\mu} q(y)$$

- From invariance under translations, take  $J^{\text{em}}$  at 0.

## Kinematics of elastic scattering on the nucleon



- Amplitude  $\mathcal{M}(eN \rightarrow eN)$  at **Born order**:

$$\mathcal{M}(eN \rightarrow eN) = \bar{u}(k', \lambda') \gamma^{\mu} u(k, \lambda) \frac{e^2}{q^2} \langle N, p', h' | J_{\mu}^{\text{em}}(0) | N, p, h \rangle$$



# Unpolarized elastic scattering at Born order.

Parameterization of the hadronic matrix element: spin-0 case.

- Most general **Lorentz structure** ( $q = p' - p$ ):

$$\langle \pi, p' | J_{\mu}^{\text{em}}(0) | \pi, p \rangle = a_1 p_{\mu} + a_2 p'_{\mu} + a_3 q_{\mu}$$

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- Use **4-momentum conservation**:

$$\langle \pi, p' | J_{\mu}^{\text{em}}(0) | \pi, p \rangle = (a_1 + a_2) \frac{(p + p')_{\mu}}{2} + \left( a_3 - \frac{a_1}{2} + \frac{a_2}{2} \right) q_{\mu}$$

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- Enforce **current conservation**  $q^\mu J_\mu^{\text{e.m.}} = 0$  with  $q^2 < 0$ :

$$0 = \left( a_3 - \frac{a_1}{2} + \frac{a_2}{2} \right) q^2$$

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- **Hermiticity** of  $J_{\mu}^{\text{e.m.}}$ : there exist one **real** coefficient  $F$  such that:

$$\langle \pi, p' | J_{\mu}^{\text{e.m.}}(0) | \pi, p \rangle = F(p + p')_{\mu}$$

# Unpolarized elastic scattering at Born order.

Parameterization of the hadronic matrix element: spin-0 case.

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- $F$  is **dimensionless** ( $|\pi, p\rangle$  has mass dimension -1).
- $F$  **depends on  $q^2$  only** (elastic scattering:  $-q^2 = 2p \cdot q$ ).





# Unpolarized elastic scattering at Born order.

Parameterization of the hadronic matrix element: spin-1/2 case (1/2).

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- Most general **Lorentz structure** ( $q = p' - p$ ):

$$\langle N, p' | J_\mu^{\text{em}}(0) | N, p \rangle = \bar{u}(p') \Gamma_\mu(p', p) u(p)$$

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$$\langle N, p' | J_{\mu}^{\text{em}}(0) | N, p \rangle = \bar{u}(p') \Gamma_{\mu}(p', p) u(p)$$

- Expand  $\Gamma_{\mu}$  in **16 matrices**  $1, \gamma_{\rho}, [\gamma_{\rho}, \gamma_{\sigma}], \gamma_5 \gamma_{\rho}$  and  $\gamma_5$ :

$$\begin{aligned}
 1 & : p_{\mu}, p'_{\mu} \\
 \gamma_{\rho} & : \gamma_{\mu}, p_{\mu} \not{p}, p'_{\mu} \not{p}, p_{\mu} \not{p}', p'_{\mu} \not{p}' \\
 [\gamma_{\rho}, \gamma_{\sigma}] & : [\gamma_{\mu}, \not{p}], [\gamma_{\mu}, \not{p}'], [\not{p}, \not{p}'] p_{\mu}, [\not{p}, \not{p}'] p'_{\mu} \\
 \gamma_5 \gamma_{\rho} & : \gamma_5 \gamma_{\rho} \epsilon^{\rho\mu\nu\sigma} p_{\nu} p'_{\sigma} \\
 \gamma_5 & : \emptyset
 \end{aligned}$$

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$$1 \quad : \quad p_\mu, p'_\mu$$

$$\gamma_\rho \quad : \quad \gamma_\mu$$

$$[\gamma_\rho, \gamma_\sigma] :$$

$$\gamma_5 \gamma_\rho \quad :$$

$$\gamma_5 \quad : \quad \emptyset$$

- Use **Dirac equations** for  $u$  and  $\bar{u}$ :

$$\bar{u}(p')(\not{p}' - m) = 0 \quad \text{and} \quad (\not{p} - m)u(p) = 0$$



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Parameterization of the hadronic matrix element: spin-1/2 case (1/2).

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where  $\bar{u}(p')(\not{p}' - \not{p})u(p) = 0$  (Dirac equation) and  $\sigma_{\mu\nu} q^{\nu} q^{\mu} = 0$  (symmetry).

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- **Hermiticity**:  $b$  is real and  $c$  is purely imaginary.
- $b$  and  $c$  **depend on  $q^2$  only** ( $-q^2 = 2p \cdot q$  for elastic scattering).

$$\langle N | J_{\mu}^{\text{em}}(0) | N \rangle = \bar{u}(p') \left( F_1(Q^2) \gamma_{\mu} + F_2(Q^2) \frac{i}{2M} \sigma_{\mu\nu} q^{\nu} \right) u(p)$$

# Nucleon form factors.

Pauli-Dirac and Sachs parameterizations.

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## Pauli-Dirac parameterization

$$\langle N | J_{\mu}^{\text{em}}(0) | N \rangle = \bar{u}(p') \left( F_1(Q^2) \gamma_{\mu} + F_2(Q^2) \frac{i}{2M} \sigma_{\mu\nu} q^{\nu} \right) u(p)$$

## Sachs parameterization

$$\langle N | J_{\mu}^{\text{em}}(0) | N \rangle = \bar{u}(p') \left( \frac{G_E(Q^2) - \tau G_M(Q^2)}{1 - \tau} \frac{P_{\mu}}{M} + G_M(Q^2) \frac{i}{2M} \sigma_{\mu\nu} q^{\nu} \right) u(p)$$

with  $\tau = Q^2/(4M^2)$  and:

$$G_E(Q^2) = F_1(Q^2) + \frac{Q^2}{4M^2} F_2(Q^2),$$

$$G_M(Q^2) = F_1(Q^2) + F_2(Q^2).$$

# Nucleon form factors and elastic scattering.

Expression of the cross section in terms of form factors.

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## Mott cross section

Scattering of a relativistic electron on a point-like spinless particle:

$$\left. \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} = \frac{Q^2 \alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E}$$

## Rosenbluth cross section

Scattering of a relativistic electron on a spin-1/2 composite target:

$$\left. \frac{d\sigma}{d\Omega} = \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} \left( \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau G_M^2(Q^2) \tan^2 \frac{\theta}{2} \right)$$

with:  $\tau \equiv Q^2 / (4M^2)$

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## Rosenbluth cross section

Scattering of a relativistic electron on a spin-1/2 composite target:

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} \left( \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau G_M^2(Q^2) \tan^2 \frac{\theta}{2} \right)$$

with:

$$\tau \equiv Q^2 / (4M^2)$$

## Exercise 1.3

Establish the relation between the energies  $E$  and  $E'$  of the incoming and outgoing electrons and the scattering angle  $\theta$ . Comment on the number of independent kinematic variables.

$$E' = \frac{E}{1 + \frac{2E}{M} \sin^2 \frac{\theta}{2}}$$



# Interpretation of form factors.

Nonrelativistic scattering of a scalar particle on a spherically symmetric potential.

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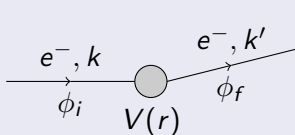
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## Nonrelativistic scattering

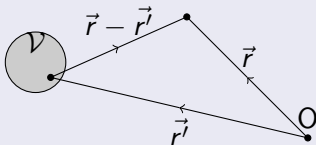


$$\frac{d\sigma}{dk' d\Omega'} \propto |\langle f | V | i \rangle|^2$$

$$\langle f | V | i \rangle = \int d^3\vec{r} e^{-i\vec{k}' \cdot \vec{r}} V(r) e^{i\vec{k} \cdot \vec{r}}$$

$$\vec{q} = \vec{k} - \vec{k}'$$

## Spherically symmetric charge distribution



$$V(r) = \frac{Ze^2}{4\pi} \int_V d^3\vec{r}' \frac{\rho(r')}{|\vec{r} - \vec{r}'|}$$

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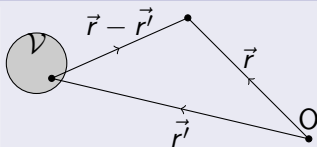
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## Spherically symmetric charge distribution



$$V(r) = \frac{Ze^2}{4\pi} \int_{\mathcal{V}} d^3\vec{r}' \frac{\rho(r')}{|\vec{r} - \vec{r}'|}$$

$$\langle f | V | i \rangle = \int d^3\vec{r} e^{-i\vec{q} \cdot \vec{r}} V(r)$$

- Compute in spherical coordinates:

$$\langle f | V | i \rangle = Ze^2 \int_{\mathcal{V}} d^3\vec{r}' e^{i\vec{q} \cdot \vec{r}'} \rho(r') \int_0^{+\infty} dR R \frac{\sin qR}{qR}$$

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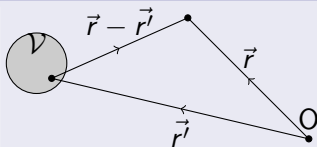
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## Spherically symmetric charge distribution



$$V(r) = \frac{Ze^2}{4\pi} \int_V d^3\vec{r}' \frac{\rho(r')}{|\vec{r} - \vec{r}'|}$$

$$\langle f | V | i \rangle = \int d^3\vec{r} e^{-i\vec{q} \cdot \vec{r}} V(r)$$

- Compute in spherical coordinates: **Diverge!**

$$\langle f | V | i \rangle = Ze^2 \int_V d^3\vec{r}' e^{i\vec{q} \cdot \vec{r}'} \rho(r') \int_0^{+\infty} dR R \frac{\sin qR}{qR}$$

# Interpretation of form factors.

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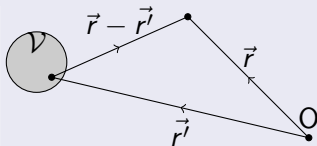
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## Spherically symmetric charge distribution



$$V(r) = \frac{Ze^2}{4\pi} \int_V d^3\vec{r}' \frac{\rho(r') e^{-\frac{|\vec{r}-\vec{r}'|}{a}}}{|\vec{r}-\vec{r}'|}$$

$$\langle f | V | i \rangle = \int d^3\vec{r} e^{-i\vec{q}\cdot\vec{r}} V(r)$$

- Compute in spherical coordinates:

$$\langle f | V | i \rangle = Ze^2 \int_V d^3\vec{r}' e^{i\vec{q}\cdot\vec{r}'} \rho(r') \int_0^{+\infty} dR R \frac{\sin qR}{qR}$$

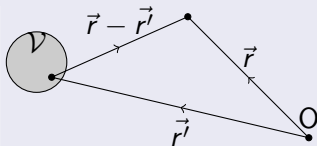
- Regularize: **Yukawa screening** ( $a \simeq 10^{-10}$  m  $\simeq 0.5$  keV<sup>-1</sup>)

$$\langle f | V | i \rangle = \frac{Ze^2}{q^2 + \frac{1}{a^2}} F(Q^2) \quad \text{with } F(Q^2) = \int_V d^3\vec{r} \rho(\vec{r}) e^{i\vec{q}\cdot\vec{r}}$$

# Interpretation of form factors.

Nonrelativistic scattering of a scalar particle on a spherically symmetric potential.

## Spherically symmetric charge distribution



$$V(r) = \frac{Ze^2}{4\pi} \int_V d^3r' \frac{\rho(r')}{|\vec{r} - \vec{r}'|}$$

$$\langle f | V | i \rangle = \int d^3\vec{r} e^{-i\vec{q} \cdot \vec{r}} V(r)$$

- Compute in spherical coordinates:

$$\langle f | V | i \rangle = Ze^2 \int_V d^3\vec{r}' e^{i\vec{q} \cdot \vec{r}'} \rho(r') \int_0^{+\infty} dR R \frac{\sin qR}{qR}$$

- Regularize: Yukawa screening ( $a \simeq 10^{-10} \text{ m} \simeq 0.5 \text{ keV}^{-1}$ )

$$\langle f | V | i \rangle = \frac{Ze^2}{q^2 + \frac{1}{a^2}} F(Q^2) \quad \text{with } F(Q^2) = \int_V d^3\vec{r} \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}}$$

$$\simeq \frac{Ze^2}{q^2} F(Q^2) \quad \text{for } Q \simeq 1. \text{ GeV}$$

# Interpretation of form factors.

## Rutherford scattering.

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### Rutherford cross section

$$\frac{d\sigma}{d\Omega} = \left( \frac{Z\alpha}{2E} \right)^2 \frac{1}{\sin^2 \frac{\theta}{2}} |F(Q^2)|^2$$

where  $F$  is the **3D Fourier transform of the target charge distribution**.

### Exercise 1.4

Compute the form factor  $F$  for the charge distributions :

- A single structureless charge located at  $\vec{r}_0$ .
- A uniform spherical distribution with a *sharp cut-off*  $a$ :

$$\rho(r) = \rho_0 \quad \text{if } r \leq a,$$

$$\rho(r) = 0 \quad \text{if } r > a.$$

Comment on the zero-recoil approximation.

# Interpretation of form factors.

## Rutherford scattering.

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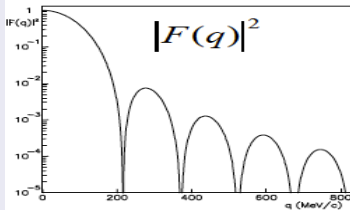
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### Rutherford cross section

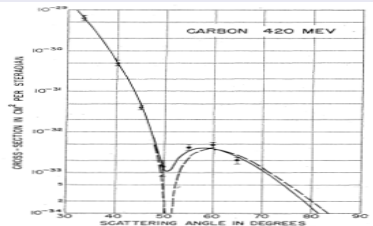
$$\frac{d\sigma}{d\Omega} = \left( \frac{Z\alpha}{2E} \right)^2 \frac{1}{\sin^2 \frac{\theta}{2}} |F(Q^2)|^2$$

where  $F$  is the **3D Fourier transform of the target charge distribution.**

### Sharp cut-off distribution



### Lead form factor



# Interpretation of form factors.

Normalization of nucleon form factors.

- Take **proton state** with momentum  $k$ :  $|p, k\rangle$ .
- Consider **charge operator**:  $Q |p, k\rangle = + |p, k\rangle$

$$Q = \int d^3\vec{r} J_0^{\text{e.m.}}(\vec{r})$$

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- Take **proton state** with momentum  $k$ :  $|p, k\rangle$ .
- Consider **charge operator**:  $\mathbb{Q} |p, k\rangle = + |p, k\rangle$

$$\mathbb{Q} = \int d^3\vec{r} J_0^{\text{e.m.}}(\vec{r})$$

- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

# Interpretation of form factors.

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- Take **proton state** with momentum  $k$ :  $|p, k\rangle$ .
- Consider **charge operator**:  $\mathbb{Q} |p, k\rangle = + |p, k\rangle$

$$\mathbb{Q} = \int d^3\vec{r} J_0^{\text{e.m.}}(\vec{r}) = e^{i\mathbb{P}\cdot(t,\vec{r})} J_0^{\text{e.m.}}(0) e^{-i\mathbb{P}\cdot(t,\vec{r})}$$

- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

$$\langle p, k' | \mathbb{Q} | p, k \rangle = \int d^3\vec{r} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} e^{i(E_{\vec{k}'} - E_{\vec{k}})t} \langle p, k' | J_0^{\text{e.m.}}(0) | p, k \rangle$$

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Normalization of nucleon form factors.

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- Consider **charge operator**:  $\mathbb{Q} |p, k\rangle = + |p, k\rangle$

$$\mathbb{Q} = \int d^3\vec{r} J_0^{\text{e.m.}}(\vec{r}) = e^{i\mathbb{P}\cdot(t, \vec{r})} J_0^{\text{e.m.}}(0) e^{-i\mathbb{P}\cdot(t, \vec{r})}$$

- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

$$\begin{aligned} \langle p, k' | \mathbb{Q} | p, k \rangle &= \int d^3\vec{r} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} e^{i(E_{\vec{k}'} - E_{\vec{k}})t} \langle p, k' | J_0^{\text{e.m.}}(0) | p, k \rangle \\ &= (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \bar{u}(k) \gamma_0 F_1(0) u(k) \end{aligned}$$

# Interpretation of form factors.

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- Take **proton state** with momentum  $k$ :  $|p, k\rangle$ .

- Consider **charge operator**:  $\mathbb{Q} |p, k\rangle = + |p, k\rangle$

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- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

$$\begin{aligned} \langle p, k' | \mathbb{Q} | p, k \rangle &= \int d^3\vec{r} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} e^{i(E_{\vec{k}'} - E_{\vec{k}})t} \langle p, k' | J_0^{\text{e.m.}}(0) | p, k \rangle \\ &= (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \bar{u}(k) \gamma_0 F_1(0) u(k) \\ &= 2E_{\vec{k}} F_1(0) (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \end{aligned}$$

# Interpretation of form factors.

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- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

$$\begin{aligned} \langle p, k' | \mathbb{Q} | p, k \rangle &= \int d^3\vec{r} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} e^{i(E_{\vec{k}'} - E_{\vec{k}})t} \langle p, k' | J_0^{\text{e.m.}}(0) | p, k \rangle \\ &= (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \bar{u}(k) \gamma_0 F_1(0) u(k) \\ &= 2E_{\vec{k}} F_1(0) (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \end{aligned}$$

- The form factor  $F_1$  at zero momentum transfer is the **electric charge**.

# Interpretation of form factors.

Normalization of nucleon form factors.

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- Then  $\langle p, k' | \mathbb{Q} | p, k \rangle = \langle k' | k \rangle = 2E_{\vec{k}} (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k})$  and

$$\begin{aligned} \langle p, k' | \mathbb{Q} | p, k \rangle &= \int d^3\vec{r} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}} e^{i(E_{\vec{k}'} - E_{\vec{k}})t} \langle p, k' | J_0^{\text{e.m.}}(0) | p, k \rangle \\ &= (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \bar{u}(k) \gamma_0 F_1(0) u(k) \\ &= 2E_{\vec{k}} F_1(0) (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) \end{aligned}$$

- The form factor  $F_1$  at zero momentum transfer is the **electric charge**.
- Similarly, the form factor  $F_2$  is normalized to the **anomalous magnetic moment**.

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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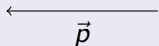
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## Breit frame

$$\overrightarrow{p}' = -\overrightarrow{p}$$




$$\overrightarrow{p}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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## Breit frame



$$\vec{p}' = -\vec{p}$$

$$\vec{p}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*



# Interpretation of form factors.

Nucleon form factors in the Breit frame.

## Breit frame



$$\vec{p}' = -\vec{p}$$

$$\vec{p}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle = \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p)$$

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \\ \xrightarrow{\hspace{1.5cm}} \\ q = p - p' \\ \xleftarrow{\hspace{1.5cm}} \\ \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle = \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p)$$

$$\text{(Gordon id.)} = \bar{u}(p') \left( (F_1 + F_2) \gamma_0 - F_2 \frac{(p + p')_0}{2M} \right) u(p)$$

# Interpretation of form factors.

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \\ \xrightarrow{\hspace{2cm}} \\ q = p - p' \\ \xleftarrow{\hspace{2cm}} \\ \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\begin{aligned} \langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle &= \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p) \\ &= \bar{u}(p') \left( (F_1 + F_2) \gamma_0 - F_2 \frac{(p + p')_0}{2M} \right) u(p) \end{aligned}$$

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \\ \xrightarrow{\hspace{2cm}} \\ q = p - p' \\ \xleftarrow{\hspace{2cm}} \\ \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

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- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\begin{aligned} \langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle &= \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p) \\ &= \bar{u}(p') \left( (F_1 + F_2) \gamma_0 - F_2 \frac{(p + p')_0}{2M} \right) u(p) \\ \text{(Breit fr.)} &= 2M \delta_{hh'} \left[ F_1 + F_2 \left( 1 - \frac{E_p^2}{M^2} \right) \right] \end{aligned}$$

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \\ \xrightarrow{\hspace{2cm}} \\ q = p - p' \\ \xleftarrow{\hspace{2cm}} \\ \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\begin{aligned} \langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle &= \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p) \\ &= \bar{u}(p') \left( (F_1 + F_2) \gamma_0 - F_2 \frac{(p + p')_0}{2M} \right) u(p) \\ &= 2M \delta_{hh'} \left[ F_1 + F_2 \left( 1 - \frac{E_p^2}{M^2} \right) \right] \end{aligned}$$

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \\ \xrightarrow{\hspace{2cm}} \\ q = p - p' \\ \xleftarrow{\hspace{2cm}} \\ \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

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$$(q^2 = -4|\vec{p}|^2) \quad = \quad 2M \delta_{hh'} \left[ F_1 + F_2 \left( 1 - \frac{E_p^2}{M^2} \right) \right]$$

# Interpretation of form factors.

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## Breit frame



$$\begin{array}{c} \vec{p}' = -\vec{p} \rightarrow \\ q = p - p' \\ \leftarrow \vec{p} \end{array}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

- Evaluate matrix element of  $J^{e.m.}$  in the Breit frame:

$$\begin{aligned} \langle N(-\vec{p}) | J_0^{e.m.} | N(\vec{p}) \rangle &= \bar{u}(p') \left( F_1 \gamma_0 + F_2 \frac{i}{2M} \sigma_{0\nu} q^\nu \right) u(p) \\ &= \bar{u}(p') \left( (F_1 + F_2) \gamma_0 - F_2 \frac{(p + p')_0}{2M} \right) u(p) \\ &= 2M \delta_{hh'} G_E \end{aligned}$$

# Interpretation of form factors.

Nucleon form factors in the Breit frame.

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## Breit frame



$$\vec{p}' = -\vec{p}$$

$$q = p - p'$$

$$\vec{p}$$

Frame in which the **outgoing** nucleon has a 3-momentum opposite to that of the **incoming** nucleon.

*"Brick wall condition"*

## Nucleon form factors in the Breit frame

- $G_E$  is the 3D Fourier transform of the **charge density**.
- $G_M$  is the 3D Fourier transform of the **magnetization density**.



# Interpretation of form factors.

Quark contributions to form factors.

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- Assume **isospin symmetry**.
- Note  $G^{(p)}$  and  $G^{(n)}$  the Sachs form factors of the proton and neutron.

- Define  $G^{(u)}$  and  $G^{(d)}$  such that:

$$G^{(p)} \equiv \frac{2}{3}G^{(u)} - \frac{1}{3}G^{(d)}$$

$$G^{(n)} \equiv \frac{2}{3}G^{(d)} - \frac{1}{3}G^{(u)}$$

- Get non-trivial **spatial information** on the **quark structure** of the nucleon!

# Nucleon charge radius.

Evaluation from elastic scattering in the Breit frame.

- Form factors are **3D Fourier transforms** of distributions in the Breit frame.

- For a **spherically symmetric** charge distribution  $\rho$ :

$$\begin{aligned}
 F(Q^2) &= \int_0^{+\infty} dr \rho(r) 4\pi r^2 \frac{\sin qr}{qr} \\
 &= \int_0^{+\infty} dr \rho(r) 4\pi r \frac{1}{q} \left( qr - \frac{q^3 r^3}{6} + \dots \right) \\
 &\simeq \int_0^{+\infty} dr 4\pi r^2 \rho(r) - \frac{q^2}{6} \int_0^{+\infty} dr 4\pi r^2 r^2 \rho(r) + \dots \\
 &= 1 - \frac{q^2}{6} \langle r^2 \rangle + \dots
 \end{aligned}$$

- Define a charge radius** by:

$$\langle r^2 \rangle \equiv -6 \left. \frac{dF}{dq^2} \right|_{q^2=0}$$

# Nucleon charge radius.

Extraction of the charge radius from elastic scattering measurements.

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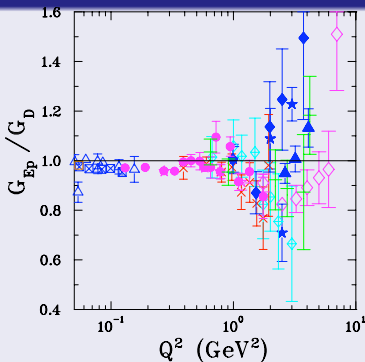
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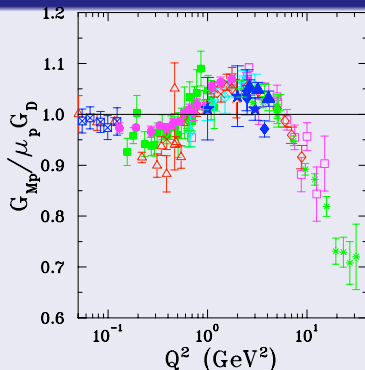
5-dimensional Wigner distribution

## Measurements



△ Han63  
◆ Lit70  
● Pri71  
× Ber71  
◇ Bar73  
☆ Han73

⊠ Bor75  
□ Sim80  
◇ And94  
★ Wal94  
+ Chr04  
▲ Qat05



△ Han63  
■ Jan66  
□ Cow68  
◆ Lit70  
● Pri71  
× Ber71  
☆ Han73

◇ Bar73  
⊠ Bor75  
\* Sil93  
★ And94  
◆ Wal94  
+ Chr04  
▲ Qat05

Perdrisat *et al.*, Prog. Part. Nucl. Phys. **59**, 694 (2007)

# Nucleon charge radius.

Extraction of the charge radius from elastic scattering measurements.

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## Exercise 1.5

Consider  $\rho(r) = Ce^{-mr}$  where  $m > 0$  and  $C$  is such that the total charge is normalized to 1. Compute:

- the corresponding form factor,
- the charge radius,
- all higher moments  $\langle r^n \rangle = \int dr r^n \rho(r)$ ,
- all ratios  $\langle r^{2n} \rangle / \langle r^2 \rangle^n$ .

How do these ratios behave when  $n$  is large ?

- Taylor expand  $G_E$ :  

$$G_E(Q^2) = 1 - Q^2 \langle r^2 \rangle / 6 + Q^4 \langle r^4 \rangle / 120 - \dots$$
- **Higher moments** are increasing with order, hence giving a **large contribution** to  $G_E(Q^2)$ .
- No reason for the  $\langle r^2 \rangle$  term to dominate!

# Nucleon charge radius.

Extraction of the charge radius from elastic scattering measurements.

Anatomy of the nucleon

Phase space distributions

Aside on kinetic theory  
Wigner distribution

Nucleon spatial structure

Elastic scattering Interpretation

Nucleon charge radius

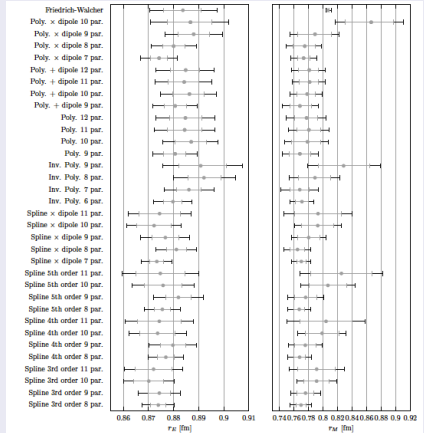
Quark Wigner distributions

Relativistic treatment

Light-cone physics

5-dimensional Wigner distribution

## Extrapolations...



Bernauer *et al.*, arXiv:1307.6227

# Nucleon charge radius: an unexpected result.

Can the proton really be 0.000000000000003 mm smaller than expected?

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5-dimensional Wigner distribution



Pohl *et al.*, Nature **A466**, 213 (2010)

● 5.0  $\sigma$  discrepancy with CODATA value ↓

# Nucleon charge radius: an unexpected result.

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The screenshot shows the CODATA website with the following content:

- Header: CODATA, The Committee on Data for Science and Technology
- Navigation: home, newsletter, discussion list, data science journal, members area
- Logo: CODATA
- Text: Monday, October 04, 2010
- Search: search this site
- Home
- About
- CODATA Membership
- Resources
- Task and Working Groups
- Archives
- CODATA in the Press
- CODATA DATA SCIENCE Journal
- CODATA 22 logo
- 22nd CODATA International Conference, 24-27th October 2010, Cape Town - South Africa
- "Scientific Information for Society: Scientific Data and Sustainable Development"
- NEW! Paul F. Uhler, Director of the Board on Research Data and Information, recipient of the 2010 CODATA Prize
- Launch of the Polar Information Commons website
- Establishing the Framework for the Long-term Stewardship of Polar Data and Information
- PIC Launch in Oslo, Tuesday 8th June 2010
- NEW!



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228v1 [physics.atom-ph] 29 Dec 2007

## CODATA Recommended Values of the Fundamental Physical Constants: 2006\*

Peter J. Mohr<sup>1</sup>, Barry N. Taylor<sup>2</sup>, and David B. Newell<sup>3</sup>,  
National Institute of Standards and Technology, Gaithersburg, Maryland 20899-8420, USA  
(Dated: February 2, 2008)

This paper gives the 2006 self-consistent set of values of the basic constants and conversion factors of physics and chemistry recommended by the Committee on Data for Science and Technology (CODATA) for international use. Further, it describes in detail the adjustment of the values of the constants, including the selection of the final set of input data based on the results of least-squares analyses. The 2006 adjustment takes into account the data considered in the 2002 adjustment as well as the data that became available between 31 December 2002, the closing date of that adjustment, and 31 December 2006, the closing date of the new adjustment. The new data have led to a significant reduction in the uncertainties of many recommended values. The 2006 set replaces the previously recommended 2002 CODATA set and may also be found on the World Wide Web at [physics.nist.gov/constants](http://physics.nist.gov/constants).

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\* This report was prepared by the authors under the auspices of the CODATA Task Group on Fundamental Constants. The members





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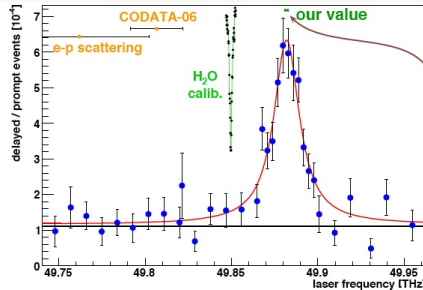
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## The resonance: discrepancy, sys., stat.

Discrepancy:  
 $5.0\sigma \leftrightarrow \sim 75 \text{ GHz} \leftrightarrow \delta\nu/\nu = 1.5 \times 10^{-3}$



Systematics: 300 MHz  
Statistics: 700 MHz

Laser frequency known with 300 MHz uncertainty

ETH

A. Antognini, CERN 10.08.2010 – p.21

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## Contributions to the $\mu p$ Lamb shift

#	Contribution	Value	Unc.
3	Relativistic one loop VP	205.0282	
4	NR two-loop electron VP	1.5081	
5	Polarization insertion in two Coulomb lines	0.1509	
6	NR three-loop electron VP	0.00529	
7	Polarisation insertion in two and three Coulomb lines (corrected)	0.00223	
8	Three-loop VP (total, uncorrected)		
9	Wichmann-Kroll	-0.00103	
10	Light by light electron loop ((Virtual Delbrück)	0.00135	0.00135
11	Radiative photon and electron polarization in the Coulomb line $\alpha^2(Z\alpha)^4$	-0.00500	0.0010
12	Electron loop in the radiative photon of order $\alpha^2(Z\alpha)^4$	-0.00150	
13	Mixed electron and muon loops	0.00007	
14	Hadronic polarization $\alpha(Z\alpha)^4 m_r$	0.01077	0.00038
15	Hadronic polarization $\alpha(Z\alpha)^5 m_r$	0.000047	
16	Hadronic polarization in the radiative photon $\alpha^2(Z\alpha)^4 m_r$	-0.000015	
17	Recoil contribution	0.05750	
18	Recoil finite size	0.01300	0.001
19	Recoil correction to VP	-0.00410	
20	Radiative corrections of order $\alpha^n(Z\alpha)^k m_r$	-0.66770	
21	Muon Lamb shift 4th order	-0.00169	
22	Recoil corrections of order $\alpha(Z\alpha)^5 \frac{m_r}{M} m_r$	-0.04497	
23	Recoil of order $\alpha^6$	0.00030	
24	Radiative recoil corrections of order $\alpha(Z\alpha)^n \frac{m_r}{M} m_r$	-0.00960	
25	Nuclear structure correction of order $(Z\alpha)^5$ (Proton polarizability)	0.015	0.004
26	Polarization operator induced correction to nuclear polarizability $\alpha(Z\alpha)^5 m_r$	0.00019	
27	Radiative photon induced correction to nuclear polarizability $\alpha(Z\alpha)^5 m_r$	-0.00001	
	Sum	206.0573	0.0045



A. Antognini, CERN 10.08.2010 – p.25

▶ See more.





# Nucleon charge radius: fully relativistic treatment.

## Localization of a quantum relativistic system.

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- From **uncertainty principle**: minimal spread in momentum / energy in a **confined** system.



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- If the energy levels of the confined system are high enough, **pair creation** is possible.



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- From **uncertainty principle**: minimal spread in momentum / energy in a **confined** system.
- If the energy levels of the confined system are high enough, **pair creation** is possible.
- Pair creation may **prevent the localization** of a particle with a high resolution.
- Discussions about nucleon radius refers to a **specific prescription**.

# Nucleon charge radius: fully relativistic treatment.

Quantum relativistic localization ([Burkardt, Phys. Rev. D62, 071503 \(2000\)](#)).

- **Wave packet** for spinless mass  $m$  particle localized at  $\vec{R}$ :

$$|\vec{R}\rangle = \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} e^{i\vec{p}\cdot\vec{R}} \psi(\vec{p}) |\vec{p}\rangle \quad \text{with } E_p = \sqrt{\vec{p}^2 + m^2}$$

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$$\langle p' | J_\mu^{\text{e.m.}}(0) | p \rangle = (p_\mu + p'_\mu) F(q^2)$$

- Fourier transform of **charge distribution**:

$$\int d^3\vec{r} e^{i\vec{q}\cdot\vec{r}} \langle \vec{R} | \rho(\vec{r}) | \vec{R} \rangle = \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{\psi^*(\vec{p} + \vec{q}) \psi(\vec{p})}{\sqrt{E_p E_{p+q}}} \langle \vec{p}' | \rho(\vec{0}) | \vec{p} \rangle$$

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- 3D Fourier transform of charge distribution:

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- Three types of contributions

**Form factor sensitivity** form factor's shape: cannot take  $F$  out of the integral.

$$q^0 = \sqrt{(\vec{p} + \vec{q})^2 + M^2} - \sqrt{\vec{p}^2 + M^2}$$

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**Wave packet** Sensitivity to **spatial distribution** of the wave packet.

**Relativistic effects** Nonrelativistic limit  $\vec{p}^2 \ll m^2$ :

$$E_p \simeq m + \frac{\vec{p}^2}{2m} \quad \text{and} \quad \frac{E_p + E_{p+q}}{2\sqrt{E_p E_{p+q}}} \simeq 1$$



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**Wave packet** Sensitivity to **spatial distribution** of the wave packet.

**Relativistic effects** Nonrelativistic limit  $\vec{p}^2 \ll m^2$ :

- 3D Fourier transform of charge distribution is  $F$  when:
  - Wave packet is very broad in momentum space.
  - Nonrelativistic limit.

# Nucleon charge radius: fully relativistic treatment.

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- Expand 3D Fourier transform of charge distribution:

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$$\simeq 1 + \frac{\langle r^2 \rangle}{6} \vec{q}^2 - \frac{\langle r^2 \rangle}{6} \int \frac{d^3\vec{p}}{(2\pi)^3} |\psi(\vec{p})|^2 \frac{(\vec{q}\cdot\vec{p})^2}{E_p^2}$$

$$+ \int \frac{d^3\vec{p}}{(2\pi)^3} |\vec{q}\cdot\nabla\psi(\vec{p})|^2 - \frac{1}{8} \int \frac{d^3\vec{p}}{(2\pi)^3} |\psi(\vec{p})|^2 \frac{(\vec{q}\cdot\vec{p})^2}{E_p^4}$$

- Relativistic corrections** appear with terms  $\propto (\vec{q}\cdot\vec{p})^2/E_p^2$  or  $\vec{q}^2/E_p^2$ .
- In a reference frame where  $E_p$  is large and  $\vec{q}^2$  and  $\vec{p}\cdot\vec{q}$  are finite, these **corrections remain small**.

# Nucleon charge radius: fully relativistic treatment.

Quantum relativistic localization in an infinite momentum frame.

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- Reference frame with a **fast moving** particle along  $z$  axis:

$$p_\mu \simeq \left( P + \frac{m^2}{2P}, 0_\perp, P \right) \text{ for large } P$$

- In the **Bjorken frame** the 4-momentum of the exchanged photon is:

$$q_\mu = \left( \frac{Q^2}{2x_B P}, q_\perp, 0 \right)$$

- With this choice are kept **finite** when  $P \rightarrow \infty$ :

$$p \cdot q = \frac{Q^2}{2x_B} + \frac{m^2 Q^2}{4x_B P^2} \text{ and } q^2 = \left( \frac{Q^2}{2x_B P} \right)^2 - q_\perp^2$$

- In that frame the wave packet is completely **delocalized** in  $z$  direction and **sharply peaked** in transverse directions.
- Consistent relativistic def.: form factor  $\equiv$  **2D Fourier transform** of charge distribution in **transverse plane**.

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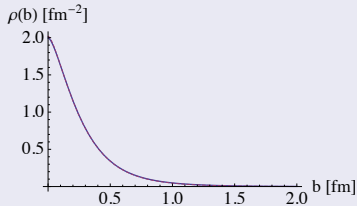
Elastic scattering  
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Nucleon charge radius

Quark Wigner distributions

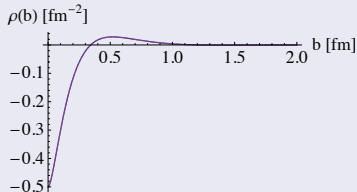
Relativistic treatment

Light-cone physics  
5-dimensional Wigner distribution

## Proton and neutron transverse charge densities



● proton

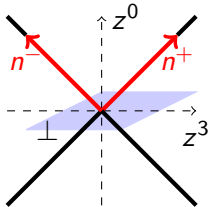


● neutron

Miller, Ann. Rev. Nucl. Part. Sci. **A60**, 1 (2010)

# Light-cone coordinates.

Choice of a privileged axis along which particles have a large momentum.



- $z$  axis defined by propagation of **fast moving** particles.
- Write  $v^\mu = (v^+, \vec{v}_\perp, v^-)$  for a 4-vector  $v^\mu$  with:

$$v^+ = \frac{v^0 + v^3}{\sqrt{2}} \quad \text{and} \quad v^- = \frac{v^0 - v^3}{\sqrt{2}}$$

- Product of two 4-vectors  $v$  and  $w$ :

$$v \cdot w = v^+ w^- + v^- w^+ - \vec{v}_\perp \cdot \vec{w}_\perp$$

- Take two **light-like** 4-vectors  $n_+ = (1, 0, 0, 1)$  and  $n_- = (1, 0, 0, -1)$  such that:

$$n_+ \cdot n_- = 1 \quad \text{and} \quad v^\pm = v \cdot n_\mp \quad \text{for any 4-vector } v^\mu$$

- For a particle moving at the speed of light in the  $+z$  direction ( $x^3 \simeq x^0$ ):  $z^- \simeq 0$  and  $z^+ \simeq \sqrt{2}x^0$ .
- Interpret  $x^+$  as **light-cone time**.

# Light-cone Poincaré algebra.

Nonrelativistic properties of Quantum Field Theories on the light-cone.

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- The Poincaré group is defined by:
  - 4 **translation** generators  $P^\mu$
  - 3 **spatial rotation** generators  $J^i$
  - 3 **boost** generators  $K^i$
- The 6 light-cone generators  $J^3, P^1, P^2, P^+, (K^1 + J^2)/\sqrt{2}$ , and  $(K^2 - J^1)/\sqrt{2}$  leave **invariant** the surfaces of constant  $x^+$ .
- $P^-$  generates translations in  $x^+$  directions: **Hamiltonian**.
- The sub-algebra generated by these 7 generators is **isomorphic** to the algebra of **Galilean transformations** of 2D quantum mechanics:

$P^+$	$\leftrightarrow$	Mass
$P^-$	$\leftrightarrow$	Hamiltonian
$J^3$	$\leftrightarrow$	Rotations in transverse plane
$P^\perp$	$\leftrightarrow$	Translations in transverse plane

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Full relativistic treatment.

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Wigner operator for quarks at fixed light-cone time  $y^+ = 0$

$$\hat{\mathcal{W}}_{\Gamma}^q(\vec{b}_{\perp}, \vec{k}_{\perp}, x) = \frac{1}{2} \int \frac{dz^- d^2 z_{\perp}}{(2\pi)^3} e^{i(xP^+ z^- - \vec{k}_{\perp} \cdot \vec{z}_{\perp})} \bar{q}\left(y - \frac{z}{2}\right) \Gamma \mathcal{L} q\left(y + \frac{z}{2}\right) \Big|_{z^+ = 0}$$

where:

Lorcé and Pasquini, Phys. Rev. **D84**, 014015 (2011)

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 $P = (p + p')/2$ ,

Lorcé and Pasquini, Phys. Rev. **D84**, 014015 (2011)

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Lorcé and Pasquini, Phys. Rev. **D84**, 014015 (2011)

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Lorcé and Pasquini, Phys. Rev. **D84**, 014015 (2011)

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Lorcé and Pasquini, Phys. Rev. **D84**, 014015 (2011)

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Transverse plane imaging.

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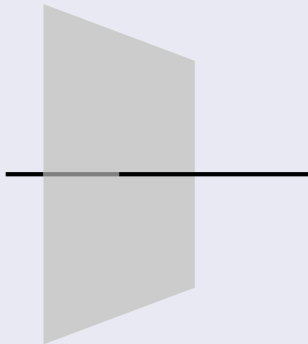
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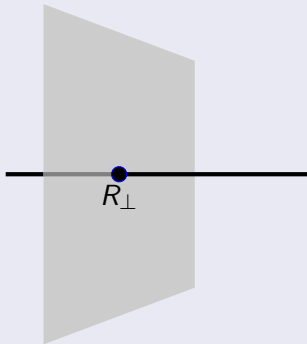
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- Transverse center of momentum  $R_\perp = \sum_i x_i r_{\perp i}$ ,

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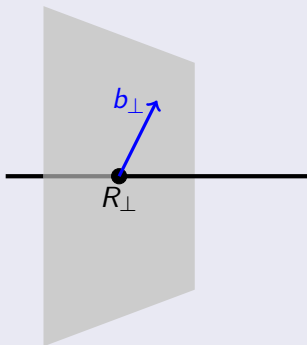
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- Transverse center of momentum  $R_\perp = \sum_i x_i r_{\perp i}$ ,
- Impact parameter  $b_\perp$ ,



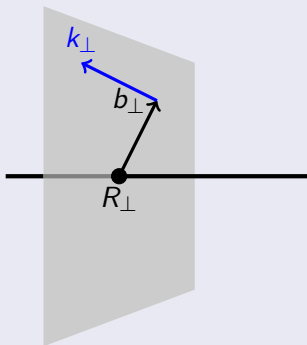
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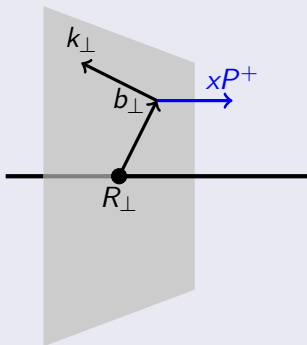
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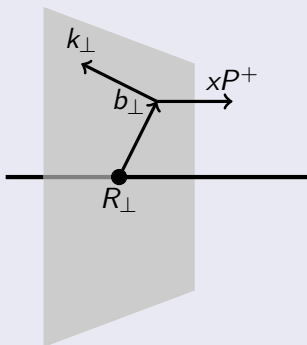
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# Quark Wigner distribution.

Wigner distributions as matrix elements of localized nucleon states.

- Take a nucleon state  $|p^+, \vec{p}_\perp, \vec{S}\rangle$  where  $\vec{S}$  is the **polarization** of the nucleon.

## Wigner distribution (quantum relativistic framework)

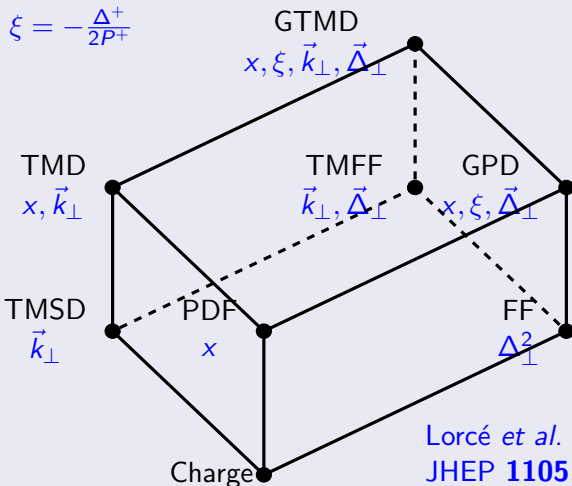
$$\mathcal{W}_\Gamma^q(\vec{b}_\perp, \vec{k}_\perp, x, \vec{S}) \equiv \int \frac{d^2\Delta_\perp}{(2\pi)^2} \left\langle p^+, \frac{\Delta_\perp}{2}, \vec{S} \left| \hat{\mathcal{W}}_\Gamma^q(\vec{b}_\perp, \vec{k}_\perp, x) \right| p^+, -\frac{\Delta_\perp}{2}, \vec{S} \right\rangle$$

- Wigner distributions are **2D Fourier transforms** of more general objects: Generalized Transverse Momentum Dependent parton distributions (GTMD).
- Leading twist: 16 GTMDs (complex-valued functions).  
Meissner *et al.* , JHEP **0908**, 056 (2009),  
JHEP **0808**, 038 (2008)
- Thus there are 16 Wigner distributions which are real-valued functions (leading twist).

# The whole family of quark distributions.

An experimental channel allowing Wigner distribution measurements has not been identified yet.

## Relations between quark distributions



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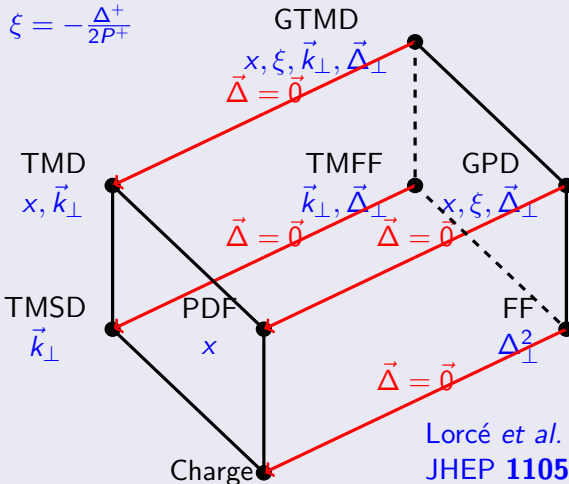
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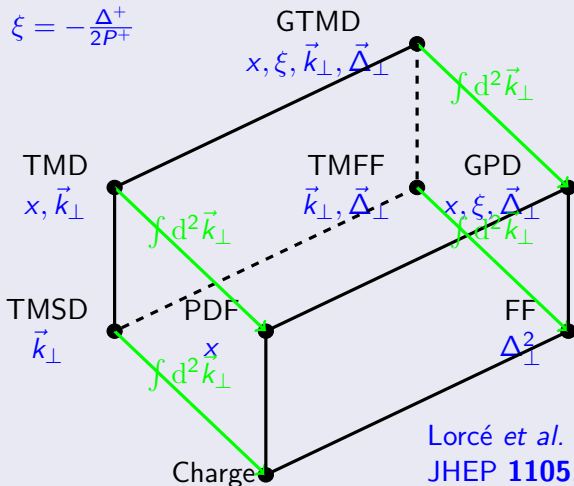
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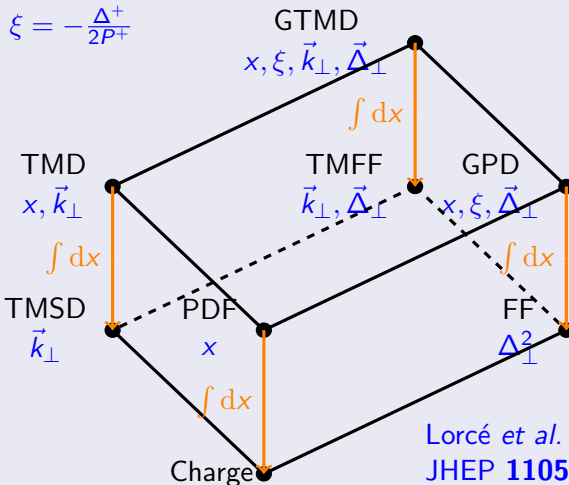
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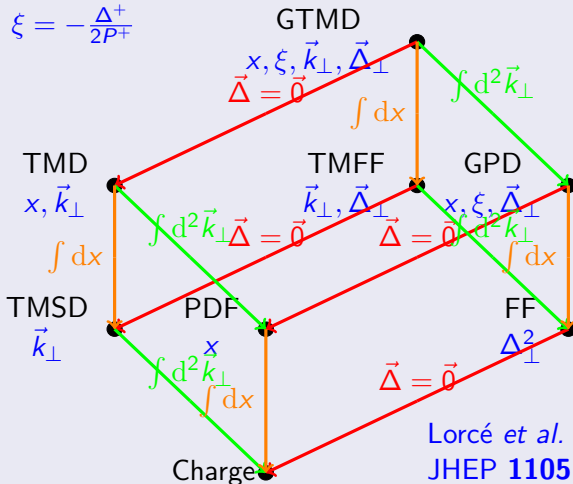
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Lorcé *et al.*  
 JHEP **1105**, 041 (2011)

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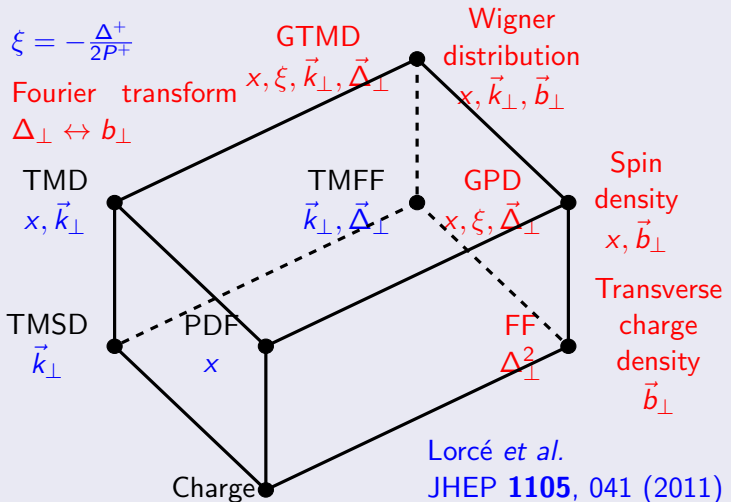
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## Relations between quark distributions

$$\xi = -\frac{\Delta^+}{2P^+}$$

Experimental channel

TMD  
 $x, \vec{k}_\perp$

SIDIS  
DY

GPD

$x, \xi, \vec{\Delta}_\perp$

DVCS  
DVMP

PDF  
 $x$

DIS  
DY

FF  
 $\Delta_\perp^2$

ep  
 $p\bar{p}$   
scattering

Charge

Lorcé *et al.*  
JHEP **1105**, 041 (2011)

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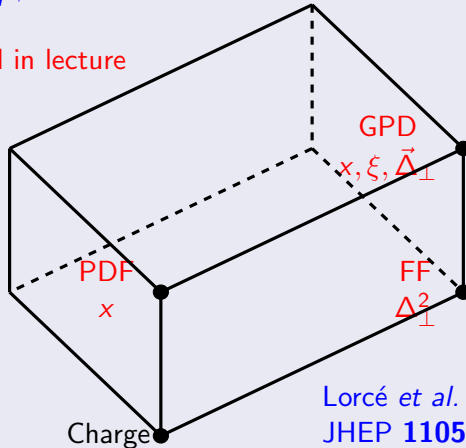
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$$\xi = -\frac{\Delta^+}{2P^+}$$

Covered in lecture



Lorcé *et al.*  
JHEP **1105**, 041 (2011)

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Energy  
momentum  
tensor

Belinfante tensor

Form factors

Momentum sum  
rule

Angular  
momentum sum  
rule

Deep Inelastic  
Scattering

Kinematics

Structure  
functions

Compton tensor

Operator

Product  
Expansion

Principle

Scaling

Definition of  
PDFs

## Part. II

### Hard probes and partonic content

Wednesday 2 Oct. 2013  
10H30 - 11H30

*Technical. All the words you have already heard but which were maybe left undefined.*

# What have we learned so far?

Hard probes

- The properties (**spin, charge, mass, ...**) of the nucleon have been known for more than 80 years.
- There is no doubt today about the number of quarks and gluons, their charges and spins. Masses are getting known with an increasing accuracy.
- How can we **explain the characteristics** of the observed states in terms of those of QCD fundamental degrees of freedom?
- Heisenberg uncertainty principle and pair creation require **well-defined prescriptions** to localize quarks and gluons inside the nucleon.
- **Wigner distributions** are the suitable relativistic quantum mechanical generalizations of the 1-particle phase distribution of kinetic gaz theory.

Reminder

Energy momentum tensor

Belinfante tensor

Form factors

Momentum sum rule

Angular momentum sum rule

Deep Inelastic Scattering

Kinematics

Structure functions

Compton tensor

Operator

Product

Expansion

Principle

Scaling

Definition of PDFs

# What have we learned so far?

Hard probes

Reminder

Energy  
momentum  
tensor

Belinfante tensor  
Form factors  
Momentum sum  
rule  
Angular  
momentum sum  
rule

Deep Inelastic  
Scattering

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Structure  
functions  
Compton tensor

Operator  
Product  
Expansion

Principle  
Scaling  
Definition of  
PDFs

- Integrated Wigner distributions can be interpreted as quark space density in the **transverse plane** in an **infinite momentum frame**.
- No process has been identified so far to measure Wigner distributions, but some functions derived from Wigner distributions are **accessed experimentally**, e.g. :
  - Form Factors (FF),
  - Parton Distribution Functions (PDF),
  - Generalized Parton Distributions (GPD),
  - Transverse Momentum Dependent parton distributions (TMD).
- Wigner distributions provide information about quark localization and charge distribution (through form factors). Can we get more?

# Spin and angular momentum densities.

Lorentz invariance in field theory (following [Leader and Lorcé, arXiv:1309.4235](#)).

Hard probes

- Consider an **infinitesimal** Lorentz transformation:

$$x^\mu \mapsto x'^\mu = \Lambda^\mu{}_\nu x^\nu = (\delta^\mu{}_\nu + \omega^\mu{}_\nu) x^\nu \text{ with } \omega_{\mu\nu} = -\omega_{\nu\mu}$$

- A family of fields  $(\phi_n(x))_n$  transforms as:

$$\phi_n(x) \mapsto \phi'_n(x) = \phi_n(x) - \frac{i}{2} \omega_{\mu\nu} (\Sigma^{\mu\nu})_n{}^m \phi_m(x)$$

where  $(\Sigma^{\mu\nu})_n{}^m$  depends on the **spin** of the considered particle:

**Scalar**  $(\Sigma^{\mu\nu})_n{}^m = 0$

**Dirac**  $(\Sigma^{\mu\nu})_n{}^m = (\sigma^{\mu\nu})_n{}^m / 2$

**Vector**  $(\Sigma^{\mu\nu})_n{}^m = i(\delta_n^\mu \eta^{\nu m} - \delta_n^\nu \eta^{\mu m})$

where  $\sigma_{\mu\nu} = i/2[\gamma_\mu, \gamma_\nu]$  and  $\eta_{\mu\nu}$  is the metric tensor.

- $(\Sigma^{\mu\nu})_n{}^m$  is **antisymmetric** w.r.t.  $\mu \leftrightarrow \nu$ .

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# Spin and angular momentum densities.

Lorentz invariance in field theory (following [Leader and Lorcé, arXiv:1309.4235](#)).

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- Consider 6 **antisymmetric** generators  $M^{\mu\nu}$  of Lorentz transformations:

$$i[M^{\mu\nu}, \phi_n] = (x^\mu \delta^\nu - x^\nu \delta^\mu) \phi_n - i(\Sigma^{\mu\nu})_n^m \phi_m$$

- The operators associated to the **spatial components** of  $M^{\mu\nu}$  generate rotations about **x**, **y** and **z** axis:

$$\Lambda^{\mu\nu} = \left( \begin{array}{c|ccc} 1 & \omega_{01} & \omega_{02} & \omega_{03} \\ \hline -\omega_{01} & 1 & -\omega_{12} & \omega_{13} \\ -\omega_{02} & \omega_{21} & 1 & -\omega_{23} \\ -\omega_{03} & -\omega_{13} & \omega_{23} & 1 \end{array} \right) \rightarrow \begin{array}{l} M^{yz} \\ M^{zx} \\ M^{xy} \end{array}$$

- Three conserved **angular momentum operators**  $J^i$ , ( $i = 1, 2, 3$ ):

$$J^i = \frac{1}{2} \epsilon_{ijk} M^{jk}$$

- Operators  $M^{0i}$  generate **boosts** along **x**, **y** and **z** axis.

# Spin and angular momentum densities.

Lorentz invariance in field theory (following [Leader and Lorcé, arXiv:1309.4235](#)).

- In case of a **Dirac particle**:

$$[\vec{J}, \psi] = - \left( \vec{r} \times \frac{\vec{\nabla}}{i} \right) \psi - \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix} \psi$$

- From Noether's theorem, existence of a conserved **canonical angular momentum density**:

$$M^{\mu\nu\rho}(x) = \underbrace{x^\nu T^{\mu\rho}(x) - x^\rho T^{\mu\nu}(x)}_{\substack{\text{Orbital Angular} \\ \text{Momentum (OAM)}}} - i \underbrace{\frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_n)} (\Sigma^{\nu\rho})_n^m \phi_m(x)}_{\text{Spin}}$$

- Fields **with spin**  $\Sigma^{\mu\nu}$ :  $T^{\mu\nu}$  **not symmetric** w.r.t.  $\mu \leftrightarrow \nu$ .

## Exercise II.1

Show that the energy-momentum tensor of a scalar field theory is symmetric.

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# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

- Einstein field equations of **General relativity**:

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi G T_{\mu\nu}$$

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# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

Hard probes

- Einstein field equations of **General relativity**:

$$\underbrace{R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}}_{\substack{\text{symmetric} \\ \text{by assumption}}} = 8\pi G T_{\mu\nu}$$

- The metric is **supposed** symmetric w.r.t.  $\mu \leftrightarrow \nu$  and covariantly constant  $\nabla_\lambda g_{\mu\nu} = 0$ .

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# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

Hard probes

- Einstein field equations of **General relativity**:

$$\underbrace{R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}}_{\text{symmetric by assumption}} = \underbrace{8\pi G T_{\mu\nu}}_{\text{Necessarily symmetric}}$$

- The metric is **supposed** symmetric w.r.t.  $\mu \leftrightarrow \nu$  and covariantly constant  $\nabla_{\lambda}g_{\mu\nu} = 0$ .

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# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

Hard probes

- Einstein field equations of **General relativity**:

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi\mathcal{G}T_{\mu\nu}$$

- The metric is **supposed** symmetric w.r.t.  $\mu \leftrightarrow \nu$  and covariantly constant  $\nabla_\lambda g_{\mu\nu} = 0$ .
- Define the **Belinfante** energy-momentum density:

$$T_{\text{Bel.}}^{\mu\nu} \equiv \frac{1}{2}(T^{\mu\nu} + T^{\nu\mu}) + \frac{1}{2}\partial_\lambda(M_{\text{spin}}^{\mu\nu\lambda} + M_{\text{spin}}^{\nu\mu\lambda})$$

Belinfante, *Physica* **6**, 887 (1939)

Rosenfeld, *Mem. Acad. Roy. Belg.* **18**, 6 (1940)

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# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

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Belinfante, *Physica* **6**, 887 (1939)

Rosenfeld, *Mem. Acad. Roy. Belg.* **18**, 6 (1940)

- $T_{\text{Bel.}}^{\mu\nu}$  symmetric w.r.t.  $\mu \leftrightarrow \nu$

# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

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- Einstein field equations of **General relativity**:

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Belinfante, *Physica* **6**, 887 (1939)

Rosenfeld, *Mem. Acad. Roy. Belg.* **18**, 6 (1940)

- $T_{\text{Bel.}}^{\mu\nu}$  **symmetric** w.r.t.  $\mu \leftrightarrow \nu$ , **conserved**  $\partial_\mu T_{\text{Bel.}}^{\mu\nu} = 0$   
(From the conservation of **total** angular momentum, get  $T^{\rho\nu} - T^{\nu\rho} = \partial_\mu M_{\text{spin}}^{\mu\nu\rho}$ ).



# Spin and angular momentum densities.

Canonical vs Belinfante tensors (following [Leader and Lorcé, arXiv:1309.4235](#)).

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Rosenfeld, *Mem. Acad. Roy. Belg.* **18**, 6 (1940)

- $T_{\text{Bel.}}^{\mu\nu}$  **symmetric** w.r.t.  $\mu \leftrightarrow \nu$ , **conserved**  $\partial_\mu T_{\text{Bel.}}^{\mu\nu} = 0$   
(From the conservation of **total** angular momentum, get  $T^{\rho\nu} - T^{\nu\rho} = \partial_\mu M_{\text{spin}}^{\mu\nu\rho}$ ).
- $T_{\text{Bel.}}^{\mu\nu}$  is also **gauge invariant**.

# Energy momentum form factors.

Form factor decomposition from symmetry considerations.

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- In all the following, use the **Belinfante expression** of the QCD energy momentum tensor:

$$T^{\mu\nu} = \sum_q \bar{q} \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q - F_a^{\mu\lambda} F^a{}_{\lambda}{}^{\nu} - \eta^{\mu\nu} \mathcal{L}_{\text{QCD}}$$

where  $a^{(\mu} b^{\nu)} = (a^\mu b^\nu + a^\nu b^\mu)/2$ .

- Consider two nucleon states  $|N, p\rangle$  and  $|N, p'\rangle$ . Define:

$$P = \frac{p + p'}{2} \text{ and } \Delta = p' - p$$

- Write the most general structure for the nucleon matrix element  $\langle N, P + \Delta/2 | T^{\mu\nu} | N, P - \Delta/2 \rangle$  fulfilling:
  - **Lorentz** transformation as a second rank tensor,
  - Invariance under **time reversal**,
  - Invariance under **parity transformation**,
  - **Hermiticity**.

# Energy momentum form factors.

The energy momentum tensor is parameterized in terms of 3 form factors.

- Introduce three **energy momentum form factors**:

$$\left\langle N, P + \frac{\Delta}{2} \left| T^{\mu\nu} \right| N, P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \left[ A(t) \gamma^{(\mu} P^{\nu)} + B(t) P^{(\mu} i \sigma^{\nu)\lambda} \frac{\Delta_\lambda}{2M} + \frac{C(t)}{M} (\Delta^\mu \Delta^\nu - \Delta^2 \eta^{\mu\nu}) \right] u \left( P - \frac{\Delta}{2} \right)$$

with  $t = \Delta^2$ .

Ji, Phys. Rev. Lett. **78**, 610 (1997)

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# Energy momentum form factors.

The energy momentum tensor is parameterized in terms of 3 form factors.

Hard probes

- Introduce three **energy momentum form factors**:

$$\left\langle N, P + \frac{\Delta}{2} \left| T^{\mu\nu} \right| N, P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \left[ A(t) \gamma^{(\mu} P^{\nu)} + B(t) P^{(\mu} i \sigma^{\nu)\lambda} \frac{\Delta_\lambda}{2M} + \frac{C(t)}{M} (\Delta^\mu \Delta^\nu - \Delta^2 \eta^{\mu\nu}) \right] u \left( P - \frac{\Delta}{2} \right)$$

with  $t = \Delta^2$ .

Ji, Phys. Rev. Lett. **78**, 610 (1997)

- Apply **Gordon identity**  $2M\gamma^\mu = (2P^\mu + i\sigma^{\mu\nu}\Delta_\nu)$

$$\left\langle N, P + \frac{\Delta}{2} \left| T^{\mu\nu} \right| N, P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \left[ A(t) \frac{P^\mu P^\nu}{M} + (A(t) + B(t)) P^{(\mu} i \sigma^{\nu)\lambda} \frac{\Delta_\lambda}{2M} + \frac{C(t)}{M} (\Delta^\mu \Delta^\nu - \Delta^2 \eta^{\mu\nu}) \right] u \left( P - \frac{\Delta}{2} \right)$$

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# Energy momentum form factors.

Momentum sum rule (1/2).

Hard probes

- Compute average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\langle N, P | \mathbb{P}^\nu | N, P \rangle = \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle$$

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Momentum sum rule (1/2).

Hard probes

- Compute average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\begin{aligned}
 \langle N, P | \mathbb{P}^\nu | N, P \rangle &= \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} T^{0\nu}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle
 \end{aligned}$$

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Momentum sum rule (1/2).

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$$\begin{aligned}\langle N, P | \mathbb{P}^\nu | N, P \rangle &= \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle \\ &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} T^{0\nu}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\ &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle\end{aligned}$$

# Energy momentum form factors.

Momentum sum rule (1/2).

Hard probes

- Compute average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\begin{aligned}
 \langle N, P | \mathbb{P}^\nu | N, P \rangle &= \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} T^{0\nu}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left\langle P + \frac{\Delta}{2} \left| T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle
 \end{aligned}$$

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# Energy momentum form factors.

Momentum sum rule (1/2).

Hard probes

- Compute average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\begin{aligned}
 \langle N, P | \mathbb{P}^\nu | N, P \rangle &= \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} T^{0\nu}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left\langle P + \frac{\Delta}{2} \left| T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= (2\pi)^3 A(0) P^\nu (2P^0) \delta^{(3)}(\vec{0})
 \end{aligned}$$

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# Energy momentum form factors.

## Momentum sum rule (1/2).

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- Compute average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\begin{aligned}
 \langle N, P | \mathbb{P}^\nu | N, P \rangle &= \langle P | \int d^3\vec{r} T^{0\nu}(\vec{r}) | P \rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} T^{0\nu}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left\langle P + \frac{\Delta}{2} \left| T^{0\nu}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= A(0) P^\nu (2P^0) (2\pi)^3 \delta^{(3)}(\vec{0}) \\
 &= A(0) P^\nu \langle P | P \rangle
 \end{aligned}$$



# Energy momentum form factors.

## Momentum sum rule (2/2).

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- Average of 4-momentum operator between nucleon states of momentum  $P$ :

$$\frac{\langle N, P | \mathbb{P}^\nu | N, P \rangle}{\langle P | P \rangle} = A(0)P^\nu$$

- Energy momentum **conservation**:  $A(0) = 1$ .
- **Sum rule** for quark and gluon contributions  $A_q$  and  $A_g$ :

$$A_q(0) + A_g(0) = 1$$



# Energy momentum form factors.

Angular momentum sum rule (1/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\langle P | J^3 | P \rangle = \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle$$

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# Energy momentum form factors.

Angular momentum sum rule (1/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}\langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\ &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle\end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (1/4).

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 \langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle
 \end{aligned}$$

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$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} \frac{1}{-i} \frac{\partial}{\partial \Delta^i} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle
 \end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (1/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} \frac{1}{-i} \frac{\partial}{\partial \Delta^i} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left[ i \frac{\partial}{\partial \Delta^i} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \right] \left\langle P + \frac{\Delta}{2} \left| T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle
 \end{aligned}$$



# Energy momentum form factors.

Angular momentum sum rule (1/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} \frac{1}{-i} \frac{\partial}{\partial \Delta^i} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left[ i \frac{\partial}{\partial \Delta^i} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \right] \left\langle P + \frac{\Delta}{2} \left| T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0)} i \sigma^{j\lambda} \frac{\Delta_\lambda}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right]
 \end{aligned}$$

# Energy momentum form factors.

Angular momentum sum rule (1/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \left\langle P \left| \int d^3\vec{r} [r^1 T^{02}(\vec{r}) - r^2 T^{01}(\vec{r})] \right| P \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i T^{0j}(\vec{r}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} r^i e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left\langle P + \frac{\Delta}{2} \left| \int d^3\vec{r} \frac{1}{-i} \frac{\partial}{\partial \Delta^i} e^{-i\vec{r} \cdot \vec{\Delta}} T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} \left[ i \frac{\partial}{\partial \Delta^i} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \right] \left\langle P + \frac{\Delta}{2} \left| T^{0j}(\vec{0}) \right| P - \frac{\Delta}{2} \right\rangle \\
 &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^{j)\lambda} \frac{\Delta_\lambda}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right]
 \end{aligned}$$

# Energy momentum form factors.

Angular momentum sum rule (2/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}\langle P | J^3 | P \rangle &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\ &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^j)^{\lambda} \frac{\Delta_\lambda}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right] \\ &= \epsilon_{ij3} (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{[P^0 \sigma^{ji} + P^j \sigma^{0i}]}{2M} u(P)\end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (2/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^j)^{\lambda} \frac{\Delta_{\lambda}}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right] \\
 &= \epsilon_{ij3} (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{[P^0 \sigma^{ji} + P^j \sigma^{0i}]}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{-2M \sigma^{12}}{2M} u(P)
 \end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (2/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^j)^{\lambda} \frac{\Delta_{\lambda}}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right] \\
 &= \epsilon_{ij3} (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{[P^0 \sigma^{ji} + P^j \sigma^{0i}]}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{-2M \sigma^{12}}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \frac{1}{2} \bar{u}(P) u(P)
 \end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (2/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \left. \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^j)^{\lambda} \frac{\Delta_{\lambda}}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \right] \\
 &= \epsilon_{ij3} (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{[P^0 \sigma^{ji} + P^j \sigma^{0i}]}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{-2M \sigma^{12}}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \frac{1}{2} \bar{u}(P) u(P) \\
 &= \frac{1}{2} [A(0) + B(0)] (2\pi)^3 \delta^{(3)}(\vec{0}) 2M
 \end{aligned}$$

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# Energy momentum form factors.

Angular momentum sum rule (2/4).

- Average of  $J^3$  between nucleon states in **rest frame**:

$$\begin{aligned}
 \langle P | J^3 | P \rangle &= \epsilon_{ij3} \lim_{\Delta \rightarrow 0} (2\pi)^3 \delta^{(3)}(\vec{\Delta}) \left( -i \frac{\partial}{\partial \Delta^i} \right) \left[ (A(t) + B(t)) \right. \\
 &\quad \times \bar{u} \left( P + \frac{\Delta}{2} \right) P^{(0} i \sigma^j)^\lambda \frac{\Delta^\lambda}{2M} u \left( P - \frac{\Delta}{2} \right) + \mathcal{O}(\Delta^0) + \mathcal{O}(\Delta^2) \left. \right] \\
 &= \epsilon_{ij3} (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{[P^0 \sigma^{ji} + P^j \sigma^{0i}]}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \bar{u}(P) \frac{-2M \sigma^{12}}{2M} u(P) \\
 &= (2\pi)^3 \delta^{(3)}(\vec{0}) [A(0) + B(0)] \frac{1}{2} \bar{u}(P) u(P) \\
 &= \frac{1}{2} [A(0) + B(0)] (2\pi)^3 \delta^{(3)}(\vec{0}) 2M \\
 &= \frac{1}{2} [A(0) + B(0)] \langle P | P \rangle
 \end{aligned}$$

# Energy momentum form factors.

Angular momentum sum rule (3/4).

Hard probes

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- Average of  $J^3$  between nucleon states in **rest frame**:

$$\frac{\langle P | J^3 | P \rangle}{\langle P | P \rangle} = \frac{1}{2} [A(0) + B(0)]$$

- **Angular momentum** conservation:  $A(0) + B(0) = 1$ .
- From **energy** conservation get  $B(0) = 0$ .
- **Sum rules** for quarks and gluons:

$$J_q = \frac{1}{2} [A_q(0) + B_q(0)] \quad (\text{idem } J_g) \quad \text{and} \quad \frac{1}{2} = J_q + J_g$$

- Decomposition into **spin and orbital parts**:

$$\underbrace{\frac{1}{2}}_{\text{nucleon spin}} = \sum_q \underbrace{\left( \frac{1}{2} \Delta q \right)}_{\text{Quark spin contribution}} + \underbrace{L_q}_{\text{Quark OAM contribution}} + \underbrace{J_g}_{\text{Gluon contribution}}$$

$$\frac{1}{2} [A_q(0) + B_q(0)]$$

See Ji sum rule.





# Energy momentum form factors.

Angular momentum sum rule (4/4).

- Question: How to access **experimentally** the energy momentum form factors?

Hard probes

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Energy  
momentum  
tensor

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rule

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# Energy momentum form factors.

Angular momentum sum rule (4/4).

- Question: How to access **experimentally** the energy momentum form factors?
- Spin 2 probe: *graviton*?! **Hopeless!**

Hard probes

Reminder

Energy  
momentum  
tensor

Belinfante tensor  
Form factors  
Momentum sum  
rule

Angular  
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# Energy momentum form factors.

Angular momentum sum rule (4/4).

Hard probes

- Question: How to access **experimentally** the energy momentum form factors?
- Spin 2 probe: *graviton*?! **Hopeless!**
- Consider a **light-like** vector  $n$ :

$$\left\langle P + \frac{\Delta}{2} \left| T_q^{\mu\nu}(0) \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu =$$

$$\left\langle P + \frac{\Delta}{2} \left| \bar{q} \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q - \eta^{\mu\nu} \mathcal{L}_{\text{QCD}} \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu$$

Reminder

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# Energy momentum form factors.

Angular momentum sum rule (4/4).

Hard probes

- Question: How to access **experimentally** the energy momentum form factors?
- Spin 2 probe: *graviton*?! **Hopeless!**
- Consider a **light-like** vector  $n$ :

$$\left\langle P + \frac{\Delta}{2} \left| T_q^{\mu\nu}(0) \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu =$$

$$\left\langle P + \frac{\Delta}{2} \left| \bar{q} \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q - \eta^{\mu\nu} \mathcal{L}_{\text{QCD}} \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu$$

- Terms symmetric w.r.t.  $\mu \leftrightarrow \nu$  vanish after contraction with  $n_\mu n_\nu$  (notation  $\Delta^+ \equiv -2\xi P^+$ ):

$$\frac{1}{P^+2} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q(0) \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu = \bar{u} \left( P + \frac{\Delta}{2} \right) \\ \times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i \frac{\sigma^{+\lambda} \Delta_\lambda}{2MP^+} \right] u \left( P - \frac{\Delta}{2} \right)$$

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# Energy momentum form factors.

Angular momentum sum rule (4/4).

Hard probes

- Question: How to access **experimentally** the energy momentum form factors?

Reminder

Energy momentum tensor

- Spin 2 probe: *graviton*?! **Hopeless!**
- Consider a **light-like** vector  $n$ :

$$\left\langle P + \frac{\Delta}{2} \left| T_q^{\mu\nu}(0) \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu =$$

$$\left\langle P + \frac{\Delta}{2} \left| \bar{q} \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q - \eta^{\mu\nu} \mathcal{L}_{\text{QCD}} \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu$$

Angular momentum sum rule

- Terms symmetric w.r.t.  $\mu \leftrightarrow \nu$  vanish after contraction with  $n_\mu n_\nu$  (notation  $\Delta^+ \equiv -2\xi P^+$ ):

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$$\frac{1}{P^+2} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(\mu} i \overleftrightarrow{D}^{\nu)} q(0) \right| P - \frac{\Delta}{2} \right\rangle n_\mu n_\nu = \bar{u} \left( P + \frac{\Delta}{2} \right)$$

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$$\times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i \frac{\sigma^{+\lambda} \Delta_\lambda}{2MP^+} \right] u \left( P - \frac{\Delta}{2} \right)$$

- **Experimental access to this matrix element?** [See Ji sum rule.](#)

# Inelastic scattering.

Kinematics and standard notations.

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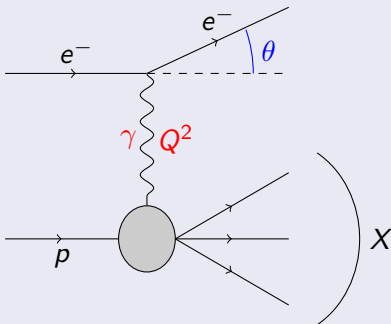
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## Kinematics of inelastic scattering on the nucleon



In the target rest frame  $\theta \in [0, \pi]$   
and:

$$p \equiv (M, \vec{0}), \quad k \equiv (E, \vec{k}), \quad k' \equiv (E', \vec{k}').$$

$$q \equiv k - k',$$

$$Q^2 \equiv -q^2,$$

$$\nu \equiv \frac{p \cdot q}{M},$$

$$y \equiv \frac{p \cdot q}{p \cdot k},$$

$$x_B \equiv \frac{Q^2}{2p \cdot q},$$

$$\omega \equiv \frac{1}{x_B},$$

$$W^2 \equiv (p + q)^2,$$

$$s \equiv (p + k)^2.$$

# Inelastic scattering.

Kinematics, standard notations, orders of magnitude, variable ranges.

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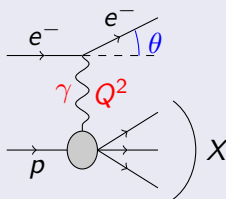
## Kinematics of inelastic scattering on the nucleon

$$q \equiv k - k',$$

$$Q^2 \equiv -q^2,$$

$$\nu \equiv \frac{p \cdot q}{M},$$

$$y \equiv \frac{p \cdot q}{p \cdot k},$$



$$x_B \equiv \frac{Q^2}{2p \cdot q},$$

$$\omega \equiv \frac{1}{x_B},$$

$$W^2 \equiv (p + q)^2,$$

$$s \equiv (p + k)^2.$$

## Exercise II.2

Show that  $0 \leq x_B \leq 1$  and that  $x_B = 1$  corresponds to the case of elastic scattering. Explain why  $\nu$  and  $y$  are respectively called *energy loss* and *fractional energy loss*. Prove that  $\nu \geq 0$  and  $0 \leq y \leq 1$ .

# Deep Inelastic scattering.

Amplitude at Born order.

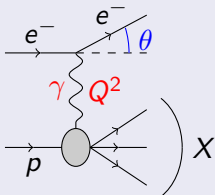
## Deep Inelastic Scattering in the Bjorken limit

**Deep inelastic scattering** is the scattering process with the following kinematic restrictions:

- $Q^2 \gg M^2$  (Deep),
- $W^2 \gg M^2$  (Inelastic).

Consider DIS in the **Bjorken limit**: finite  $x_B$  and  $Q^2 \rightarrow \infty$ .

## Amplitude $\mathcal{M}(eN \rightarrow eX)$ at Born order



$$\mathcal{M}(eN \rightarrow eX) = \bar{u}(k') \gamma^\mu u(k) \frac{e^2}{q^2} \langle X | J_\mu^{\text{e.m.}}(0) | N, p \rangle$$



# Deep Inelastic scattering.

The amplitude at Born order depends on the Fourier transform of a commutator of electromagnetic currents between nucleon states.

- **Unpolarized** deep inelastic scattering cross section:

$$E' \frac{d\sigma_{eN}}{d^3\vec{k}'} = \frac{1}{32\pi^3(s - M^2)} \frac{e^2}{q^4} 4\pi L^{\mu\nu} W_{\mu\nu}$$

where  $L^{\mu\nu} = 2(k^\mu k'^\nu + k^\nu k'^\mu - \eta^{\mu\nu} k \cdot k')$  is the **leptonic** tensor and  $W_{\mu\nu}$  is the **hadronic** tensor:

$$\equiv \frac{1}{4\pi} \int dX (2\pi)^4 \delta^{(4)}(p + q - p_X) \langle p | J_\mu^{\text{e.m.}}(0) | p_X \rangle \langle p_X | J_\nu^{\text{e.m.}}(0) | p \rangle$$

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# Deep Inelastic scattering.

The amplitude at Born order depends on the Fourier transform of a commutator of electromagnetic currents between nucleon states.

- **Unpolarized** deep inelastic scattering cross section:

$$E' \frac{d\sigma_{eN}}{d^3\vec{k}'} = \frac{1}{32\pi^3(s - M^2)} \frac{e^2}{q^4} 4\pi L^{\mu\nu} W_{\mu\nu}$$

where  $L^{\mu\nu} = 2(k^\mu k'^\nu + k^\nu k'^\mu - \eta^{\mu\nu} k \cdot k')$  is the **leptonic** tensor and  $W_{\mu\nu}$  is the **hadronic** tensor:

$$\begin{aligned} &\equiv \frac{1}{4\pi} \int dX (2\pi)^4 \delta^{(4)}(p + q - p_X) \langle p | J_\mu^{\text{e.m.}}(0) | p_X \rangle \langle p_X | J_\nu^{\text{e.m.}}(0) | p \rangle \\ &= \frac{1}{4\pi} \int dX \int d^4y e^{i(p+q-p_X) \cdot y} \langle p | J_\mu^{\text{e.m.}}(0) | p_X \rangle \langle p_X | J_\nu^{\text{e.m.}}(0) | p \rangle \end{aligned}$$

# Deep Inelastic scattering.

The amplitude at Born order depends on the Fourier transform of a commutator of electromagnetic currents between nucleon states.

- **Unpolarized** deep inelastic scattering cross section:

$$E' \frac{d\sigma_{eN}}{d^3\vec{k}'} = \frac{1}{32\pi^3(s - M^2)} \frac{e^2}{q^4} 4\pi L^{\mu\nu} W_{\mu\nu}$$

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# Deep Inelastic scattering.

Aside: the Bjorken limit is the light-cone limit.

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- **Spacetime region** probed by the Bjorken limit?

$$W_{\mu\nu} = \frac{1}{4\pi} \int d^4y e^{iq \cdot y} \langle N, p | [J_\mu^{\text{e.m.}}(y), J_\nu^{\text{e.m.}}(0)] | N, p \rangle$$

- From **causality**,  $y^2 \geq 0$ .
- In the lab. frame  $\nu = q^0$  and  $|\vec{q}| = \nu \sqrt{1 - q^2/\nu^2}$
- At fixed  $x_B = -q^2/(2M\nu)$  and large  $q^2, \nu$ :

$$q \cdot y = \nu \left[ y^0 - \frac{\vec{q} \cdot \vec{y}}{|\vec{q}|} - M x_B \frac{\vec{q} \cdot \vec{y}}{|\vec{q}|} + \mathcal{O}\left(\frac{1}{\nu}\right) \right]$$

- $q \cdot y$  is **kept finite** with:

$$y^0 - \frac{\vec{q} \cdot \vec{y}}{|\vec{q}|} = \mathcal{O}\left(\frac{1}{\nu}\right) \quad \text{and} \quad \frac{\vec{q} \cdot \vec{y}}{|\vec{q}|} = \mathcal{O}\left(\frac{1}{x_B}\right)$$

- From  $(\vec{q} \cdot \vec{y}/|\vec{q}|)^2 \leq \vec{y}^2$ , obtain:

$$0 \leq y^2 \leq \frac{\text{Const.}}{-q^2} \Rightarrow \text{Light cone physics!}$$

# Deep Inelastic scattering.

The information about hadron structure accessible through DIS is contained in 2 structure functions.

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- In DIS all the information about the hadron structure is contained in the **hadronic** tensor  $W_{\mu\nu}$ :

$$W_{\mu\nu} = \frac{1}{4\pi} \int d^4y e^{iq \cdot y} \langle N, p | [J_{\mu}^{\text{e.m.}}(y), J_{\nu}^{\text{e.m.}}(0)] | N, p \rangle$$

- Parameterize the hadronic tensor taking into account:
  - Parity invariance,
  - Time reversal invariance,
  - Hermiticity,
  - Current conservation.

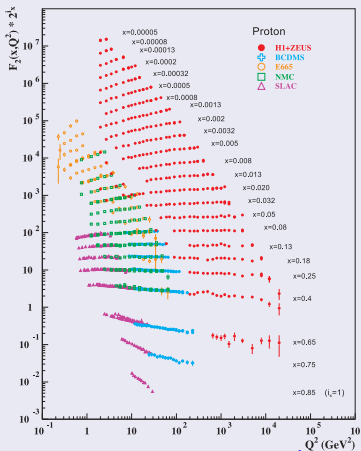
$$W_{\mu\nu} = -F_1(x_B, Q^2) \left( \eta_{\mu\nu} - \frac{q_{\mu} q_{\nu}}{q^2} \right) + \frac{F_2(x_B, Q^2)}{M_N} \left( p_{\mu} - q_{\mu} \frac{p \cdot q}{q^2} \right) \left( p_{\nu} - q_{\nu} \frac{p \cdot q}{q^2} \right)$$

- Experimentally  $F_1$  and  $F_2$  have a **weak dependence** on  $Q^2$  in the valence region: **Bjorken scaling**.

# Deep Inelastic scattering.

## Measurements of $F_2$ and scaling violations.

### World measurements of $F_2$



Beringer *et al.* (Particle Data Group),  
 Phys. Rev. **D86**, 010001 (2012)

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# Deep Inelastic scattering.

## Compton tensor.

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- In DIS all the information about the hadron structure is contained in the **hadronic** tensor  $W_{\mu\nu}$ :

$$W_{\mu\nu} = \frac{1}{4\pi} \int d^4y e^{iq \cdot y} \langle N, p | [J_\mu^{\text{e.m.}}(y), J_\nu^{\text{e.m.}}(0)] | N, p \rangle$$

- Define the **Compton tensor**  $\mathcal{T}_{\mu\nu}$  by:

$$\mathcal{T}_{\mu\nu} = \frac{i}{4\pi} \int d^4y e^{iq \cdot y} \langle N, p | T \left( J_\mu^{\text{e.m.}}(y) J_\nu^{\text{e.m.}}(0) \right) | N, p \rangle$$

- **Unitarity** of S-matrix ( $S^\dagger S = 1$ ) with  $S = 1 + iT$ :

$$-i(T - T^\dagger) = T^\dagger T \quad (\text{Optical theorem})$$

- Sandwich between **2-particle states**  $|p_1, p_2\rangle$ :

$$\langle p_1, p_2 | -i(T - T^\dagger) | p_1, p_2 \rangle = \sum_X \langle p_1, p_2 | T^\dagger | X \rangle \langle X | T | p_1, p_2 \rangle$$

- Hadronic and Compton tensors are related:

$$W_{\mu\nu} = 2\mathcal{S} \mathcal{T}_{\mu\nu}$$

# Deep Inelastic scattering.

Analytic properties of the Compton tensor and its structure functions.

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- **Same symmetry properties** for  $\mathcal{T}_{\mu\nu}$  and  $W_{\mu\nu}$ .
- Parameterize  $\mathcal{T}_{\mu\nu}$  as:

$$\mathcal{T}_{\mu\nu} = -T_1(x_B, Q^2) \left( \eta_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) + \frac{T_2(x_B, Q^2)}{M\nu} \left( p_\mu - q_\mu \frac{p \cdot q}{q^2} \right) \left( p_\nu - q_\nu \frac{p \cdot q}{q^2} \right)$$

- $\mathcal{T}_{\mu\nu}$  enjoys analytic properties: *The scattering amplitudes are the real boundary values of **analytic functions of the Mandelstam variables**  $s, t, u$  regarded as complex variables, with only such **singularities** as are demanded by the unitarity equations.*

*Collins, An Introduction to Regge Theory and High-Energy Physics, CUP 1977*

- **Discontinuity** for  $1/x_B(=\omega) > 1$ :

$$F_i(\omega) = 2\Im T_i(\omega + i0^+) \text{ for } i = 1, 2$$

# Operator Product Expansion (OPE).

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- Products of fields at same position are generally **singular**.

▶ See more.

- The product of two local fields  $A(y)$  and  $B(0)$  may be expressed in terms of **local operators**  $O_i$  such that:

$$\lim_{y \rightarrow 0} A(y)B(0) = \sum_i c_i(y)O_i(0)$$

▶ See more.

Wilson, Phys. Rev. **179**, 1499 (1969)

- The OPE has the following properties:
  - It is an **equality between operators**: for all  $|\alpha\rangle$  and  $|\beta\rangle$

$$\lim_{y \rightarrow 0} \langle \alpha | A(y)B(0) | \beta \rangle = \sum_i c_i(y) \langle \alpha | O_i(0) | \beta \rangle$$

- Each operator  $O_i$  has the **quantum numbers** of  $AB$ .
- The **singular behavior** is contained in the **Wilson coefficients**  $c_i$  ( $\dim = \text{mass dimension}$ ):

$$c_i(y) \propto |y|^{\dim(O_i) - \dim(A) - \dim(B)} \quad (\text{up to logs})$$

# Operator Product Expansion.

Momentum representation and light-cone expansion.

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- Switch to **momentum representation**:

$$\int d^4y e^{iq \cdot y} A(y) B(0) = \sum_{i,n} \int d^4y e^{iq \cdot y} c_{n,i}(q^2) O_{n,i}(0) \text{ when } q \rightarrow \infty$$

- The **Bjorken regime** corresponds to small  $y^2$  (and not small  $y^0$ ,  $y^1$ ,  $y^2$  and  $y^3$ ).

$$A(y) B(0) = \sum_i c_{n,i}(y^2) y_{\mu_1} \cdots y_{\mu_n} O_{n,i}^{\mu_1 \cdots \mu_n}(0) \text{ when } y^2 \rightarrow 0$$

# Operator Product Expansion on the light-cone.

Fourier transforming light-cone OPE is expanding in powers of  $1/x_B$ .

- Sandwich light-cone OPE between nucleon states  $|p\rangle$ :

$$\langle p | \int d^4 y e^{iq \cdot y} A(y) B(0) | p \rangle$$

$$= \sum_{i,n} \langle p | O_{n,i}^{\mu_1 \dots \mu_n}(0) | p \rangle \int d^4 y e^{iq \cdot y} y_{\mu_1} \dots y_{\mu_n} c_{n,i}(y^2)$$

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$$= \sum_{i,n} \langle p | O_{n,i}(0) | p \rangle \left( \frac{1}{-i} p_\mu \frac{\partial}{\partial q_\mu} \right)^n \int d^4 y e^{iq \cdot y} c_{n,i}(y^2)$$

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$$= \sum_{i,n} \langle p | O_{n,i}(0) | p \rangle (2ip \cdot q)^n \frac{d^n}{d(Q^2)^n} c_{n,i}(Q^2)$$

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Hard probes

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$$= \sum_{i,n} \langle p | O_{n,i}(0) | p \rangle (2ip \cdot q)^n \frac{d^n}{d(Q^2)^n} c_{n,i}(Q^2)$$

$$= \sum_n \frac{1}{x_B^n} \sum_i \langle p | O_{n,i}(0) | p \rangle i^n Q^{2n} \frac{d^n}{d(Q^2)^n} c_{n,i}(Q^2)$$

# Operator Product Expansion on the light-cone.

Definition of twist. Scaling and leading twist.

Hard probes

- **Scaling behavior** of Wilson coefficients:

$$c_{n,i}(y^2) \propto \left(\frac{1}{y^2}\right)^{\frac{6+n-\dim(O_{n,i})}{2}}$$

because  $\dim(J_{\mu}^{\text{e.m.}} J_{\nu}^{\text{e.m.}}) = 6$ .

- **Fourier transform:**

$$c_{n,i}(Q^2) \propto \left(Q^2\right)^{\frac{6+n-\dim(O_{n,i})-4}{2}}$$

- Relevant variable: **twist**  $\tau = \dim(O_{n,i}) - n$

Gross and Treiman, Phys. Rev. **D4**, 1059 (1971)

- At **leading twist**  $\tau = 2$  light-cone expansion of Compton tensor does not depend on  $Q^2$  any more (up to logs).
- Recover **Bjorken scaling** from field theory!

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# Operator Product Expansion and scaling violations.

Logarithmic corrections to Bjorken scaling can be computed with the OPE.

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- **Factorization** between **short distance, process dependent** properties in Wilson coefficients and **large distance, universal** properties in operators.
- This result can be obtained by carefully controlling the **mass singularities** in Green functions.
- The limits of **vanishing masses** and **large momentum transfer**  $Q$  give rise to the same effects.
- The Operators  $O_i$  should be renormalized as products of local fields, and depends on a **renormalization scale**  $\mu$ .
- Since structure functions are observable, the Wilson coefficients  $c_i$  also depend on this scale  $\mu$  so as to compensate the  $\mu$ -dependence of the operators  $O_i$ .
- These corrections can be computed in **perturbative QCD**, contribute with  $\log Q^2/\mu^2$  terms, and are described by DGLAP equations.

# Operator Product Expansion and scaling violations.

Extractions of PDFs from experimental data.

Hard probes

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Energy momentum tensor

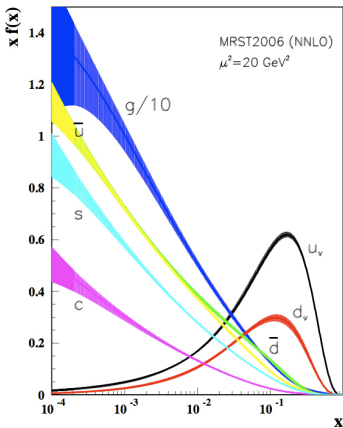
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- ① Choose a functional form for PDFs at a **low scale**  $\mu_0$  with **free parameters**.
- ② Use DGLAP equation to **evolve** the PDFs from the low scale  $\mu_0$  to a scale  $\mu \simeq Q$  where data are available.
- ③ At this scale  $Q$  compute structure functions and compare to the data.
- ④ Repeat for all data sets.
- ⑤ **Adjust free parameters**, typically by  $\chi^2$ -fitting.

# Operator Product Expansion on the light-cone.

What are the leading twist operators?

Hard probes

Reminder

Energy momentum tensor

Belinfante tensor

Form factors

Momentum sum rule

Angular momentum sum rule

Deep Inelastic Scattering

Kinematics

Structure functions

Compton tensor

Operator Product Expansion

Principle

Scaling

Definition of PDFs

- Reminder: In OPE take **all** operators with the **same quantum numbers** as original fields.
- In QCD: six **towers** of **twist-2** operators forming totally symmetric representations of the Lorentz group:

$$O_q^{\mu\mu_1\dots\mu_n} = \bar{q}\gamma^{(\mu} i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n)} q,$$

$$\tilde{O}_q^{\mu\mu_1\dots\mu_n} = \bar{q}\gamma^{(\mu} \gamma_5 i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n)} q,$$

$$O_{qT}^{\mu\nu\mu_1\dots\mu_n} = \bar{q}\sigma^{\mu(\nu} i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n)} q,$$

$$O_g^{\mu\mu_1\dots\mu_n\nu} = F^{(\mu\lambda} i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n} F_{\lambda}^{\nu)},$$

$$\tilde{O}_g^{\mu\mu_1\dots\mu_n\nu} = -iF^{(\mu\lambda} i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n} \tilde{F}_{\lambda}^{\nu)},$$

$$O_{gT}^{\mu\nu\mu_1\dots\mu_n\lambda\rho} = F^{(\mu\lambda} i \overleftrightarrow{D}^{\mu_1} \dots i \overleftrightarrow{D}^{\mu_n} F^{\nu)\rho}.$$

- Compton tensor also describe **two-photon processes**  $\Rightarrow$  same operators appear in the description of DVCS.

# Operator Product Expansion on the light-cone.

Interpretation of twist = mass dimension - spin.

Hard probes

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- Reminder: the OPE involve matrix elements such as  $\langle p | O_{n,i}^{\mu_1 \dots \mu_n}(0) | p \rangle$ .
- The operator  $O_{n,i}(0)$  can be assumed to be **completely symmetric** since its matrix element between nucleon states with **same momentum**  $p$  is proportional to  $p^{\mu_1} \dots p^{\mu_n}$ .
- The operator  $O_{n,i}(0)$  can be assumed to be **traceless**: the trace term will come with a  $\eta^{\mu_i \mu_j}$  factor, which contributes to the matrix element as  $p_{\mu_i} p^{\mu_i} = M^2$  and gives rise to power-suppressed terms in the OPE (**target mass corrections**).
- **Completely symmetric traceless** operators with  $n$  indices corresponds to spin- $n$  fields.
- Hence twist is **mass dimension minus spin**.



# The relevance of leading twist operators.

The matrix elements of twist-2 operators are related to the Mellin moments of DIS structure functions  $F_1$  and  $F_2$ .

- Light-cone OPE of tensor Compton schematically yields:

$$T(J^{e.m.} J^{e.m.}) = \sum_n \frac{1}{x_B^n} \sum_i \langle p | O_{n,i}(0) | p \rangle i^n Q^{2n} \frac{d^n}{d(Q^2)^n} c_{n,i}(Q^2)$$

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# The relevance of leading twist operators.

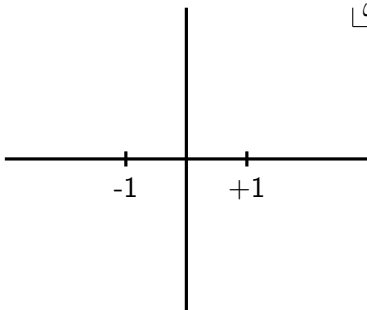
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$\perp \omega$

- Symmetry under  $\omega \leftrightarrow -\omega$  (photon **crossing**).



Hard probes

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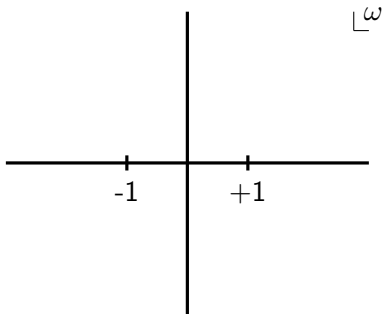
Definition of PDFs

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- **Analytic** over  $\mathbb{C} (]-\infty, -1] \cap [+1, +\infty[)$ .

Hard probes

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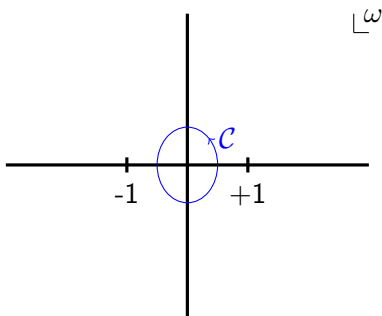
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- Symmetry under  $\omega \leftrightarrow -\omega$  (photon **crossing**).

- **Analytic** over  $\mathbb{C} (]-\infty, -1] \cup [1, +\infty[)$ .

- Get coefficient of  $1/x_B^n$ :

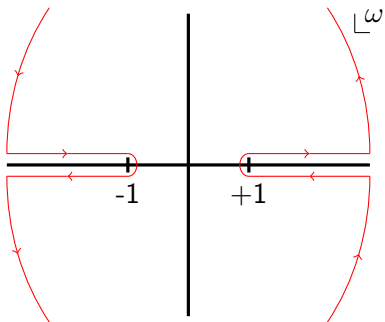
$$\frac{1}{2i\pi} \int_c \frac{d\omega}{\omega^{n+1}} T(J^{\text{e.m.}} J^{\text{e.m.}})$$

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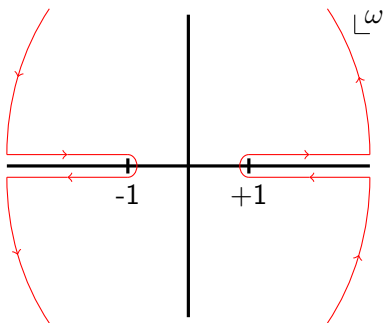
- **Deform** contour.

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- Symmetry under  $\omega \leftrightarrow -\omega$  (photon **crossing**).

- **Analytic** over  $\mathbb{C} \setminus (]-\infty, -1] \cup [+1, +\infty[)$ .

- Get coefficient of  $1/x_B^n$ :

$$\frac{1}{2i\pi} \int_{\mathcal{C}} \frac{d\omega}{\omega^{n+1}} T(J^{\text{e.m.}} J^{\text{e.m.}})$$

- **Deform** contour.

- Use  $F_i(\omega) = 2\Im T_i(\omega + i0^+)$  for  $i = 1, 2$ :

$$\text{Coef of } \frac{1}{x_B^n} = \frac{2}{\pi} \int_0^{+1} \frac{dx}{x^{n+1}} F(x, Q^2)$$

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# The relevance of leading twist operators.

Parton Distribution Functions defined as matrix operators.

## Exercise II.3

Assume  $f(x)$  is known from its Mellin moments

$M_n = \int dx x^n f(x)$ . Use  $\int_{-\infty}^{+\infty} dx x^n e^{i\nu x} = 2\pi(-i)^n \delta^{(n)}(\nu)$  to show that:

$$f(x) = \sum_{n=0}^{\infty} M_n \delta^{(n)}(x) \frac{(-1)^n}{n!}$$

- Definition of PDF  $q(x)$  as a **bilocal matrix element**:

$$q(x) \bar{u}(p) \gamma^+ u(p) = p^+ \int \frac{dz^-}{4\pi} e^{ixp^+ z^-} \langle N, p | \bar{q} \left(-\frac{z}{2}\right) \not{h} q \left(+\frac{z}{2}\right) | N, p \rangle$$

- **Matrix element definition**: can be used for models or first principles evaluations.
- Mellin moments of PDFs are matrix elements of **local fields** and can be computed on the lattice.

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## Part. III 3D imaging and beyond

Thursday 3 Oct. 2013  
8H30 - 10H

*Phenomenological status of 3D imaging in the most advanced case.*

# What have we learned so far?

- **Deep Inelastic Scattering (DIS)** can be described in terms of two **structure functions**  $F_1(x_B, Q^2)$  and  $F_2(x_B, Q^2)$ .
- In the **Bjorken regime** (large  $Q^2$  and fixed  $x_B$ ) these functions show a logarithmic dependence on  $Q^2$  controlled by DGLAP evolution equations.
- The Bjorken limit corresponds to the limit of points **arbitrarily close to the light-cone**.
- The **Operator Product Expansion (OPE)** on the light-cone organize nonperturbative contributions in terms of a **twist expansion** where the twist is the mass dimension of a field minus its spin.
- Parton Distribution Functions are defined by their **Mellin moments**, which are related to **leading twist operators**.

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# What have we learned so far?

- The **Belinfante** energy momentum tensor  $T^{\mu\nu}$  is **symmetric, conserved** and **gauge-invariant**.
- The matrix element of the Belinfante operator sandwiched between nucleon states involve three **energy momentum form factors**  $A(t)$ ,  $B(t)$  and  $C(t)$  for quarks and gluons.
- The form factors  $A_q(0)$  and  $A_g(0)$  describe the **sharing of longitudinal momentum** between quarks and gluons inside the nucleon.
- The factors  $B_q(0)$  and  $B_g(0)$  describe the **sharing of angular momentum** between quarks and gluons inside the nucleon.
- The energy momentum form factors  $A(t)$ ,  $B(t)$  and  $C(t)$  can be accessed through the matrix element of a **quark twist-2** operator sandwiched between nucleon states.

# Definition of GPDs.

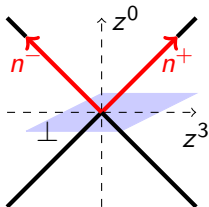
Matrix elements of twist-2 bilocal operators.

$$F^q = \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{q} \left(-\frac{z}{2}\right) \gamma^+ q \left(\frac{z}{2}\right) | p \rangle_{z^+=0, z_\perp=0}$$

$$= \frac{1}{2P^+} \left[ H^q \bar{u}(p') \gamma^+ u(p) + E^q \bar{u}(p') \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} u(p) \right]$$

$$\tilde{F}^q = \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{q} \left(-\frac{z}{2}\right) \gamma^+ \gamma_5 q \left(\frac{z}{2}\right) | p \rangle_{z^+=0, z_\perp=0}$$

$$= \frac{1}{2P^+} \left[ \tilde{H}^q \bar{u}(p') \gamma^+ \gamma_5 u(p) + \tilde{E}^q \bar{u}(p') \frac{\gamma^5 \Delta^+}{2M} u(p) \right]$$



## References

Müller *et al.*, *Fortschr. Phys.* **42**, 101 (1994),  
 Ji, *Phys. Rev. Lett.* **78**, 610 (1997),  
 Radyushkin, *Phys. Lett.* **B380**, 417 (1996).

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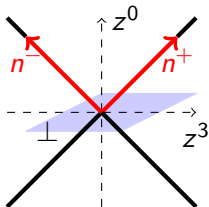
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## 12 GPDs at twist 2

- Partons with a **light-like** separation.
- **Quarks, gluon and transversity** GPDs.
- $GPD^{q,g} = GPD^{q,g}(x, \xi, t)$ .

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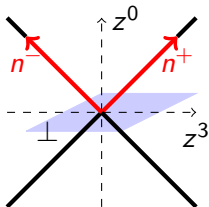
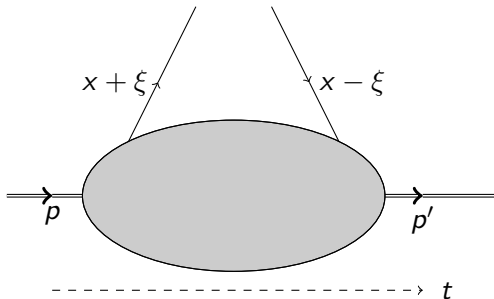
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## Interpretation

- $x \in [\xi, 1]$  :  $q$  emitted +  $q$  absorbed.
- $x \in [-\xi, +\xi]$  :  $\bar{q}$  emitted +  $q$  absorbed.
- $x \in [-1, -\xi]$  :  $\bar{q}$  emitted +  $\bar{q}$  absorbed.

# GPDs and Orbital Angular Momentum (1/2).

Towards a measurement of the **quark OAM contribution** to the nucleon spin.

3D imaging

- Reminder 1: Angular momentum sum rule

$$\frac{\langle P | J_q^3 | P \rangle}{\langle P | P \rangle} = \frac{1}{2} [A_q(0) + B_q(0)] = \frac{1}{2} \Delta q + L_q$$

◀ Back to angular momentum sum rule.

- Reminder 2: Energy momentum tensor

$$\frac{1}{P+2} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(+i} \overleftrightarrow{D}^{+)} q(0) \right| P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right)$$

$$\times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i^{\sigma+\lambda} \frac{\Delta_\lambda}{P+2M} \right] u \left( P - \frac{\Delta}{2} \right)$$

◀ Back to tensor matrix element.

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◀ Back to tensor matrix element.

- Compute GPDs Mellin moment of order 1:

$$\frac{1}{P^+} \bar{u}(p') \left[ \int dx x H^q(x, \xi, t) \gamma^+ + \int dx x E^q(x, \xi, t) \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} \right] u(p) \\ = \int \frac{dz^-}{2\pi} \int dx x e^{ixP^+z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0}$$

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$$= \int \frac{dz^-}{2\pi} \int dx x e^{ixP^+z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0}$$

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$$\frac{1}{P^+} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(+i} \overleftrightarrow{D}^{+)} q(0) \right| P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i \frac{\sigma^{+\lambda} \Delta_\lambda}{P^+ 2M} \right] u \left( P - \frac{\Delta}{2} \right)$$

◀ Back to tensor matrix element.

- Compute GPDs Mellin moment of order 1:

$$\bar{u}(p') \left[ \int dx x H^q(x, \xi, t) \frac{1}{M} + \int dx x (H^q + E^q)(x, \xi, t) \frac{i\sigma^{+\lambda} \Delta_\lambda}{2MP^+} \right] u(p) = \frac{-i}{P^+} \int dz^- \delta'(z^-) \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0}$$

# GPDs and Orbital Angular Momentum (1/2).

Towards a measurement of the **quark OAM contribution** to the nucleon spin.

- Reminder 1: Angular momentum sum rule

$$\frac{\langle P | J_q^3 | P \rangle}{\langle P | P \rangle} = \frac{1}{2} [A_q(0) + B_q(0)] = \frac{1}{2} \Delta q + L_q$$

◀ Back to angular momentum sum rule.

- Reminder 2: Energy momentum tensor

$$\frac{1}{P+\frac{\Delta}{2}} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(+i} \overleftrightarrow{D}^{+)} q(0) \right| P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \\ \times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i \frac{\sigma^{+\lambda}}{P^+} \frac{\Delta_\lambda}{2M} \right] u \left( P - \frac{\Delta}{2} \right)$$

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- Reminder 2: Energy momentum tensor

$$\frac{1}{P^{+2}} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(+i} \overleftrightarrow{D}^{+)} q(0) \right| P - \frac{\Delta}{2} \right\rangle = \bar{u} \left( P + \frac{\Delta}{2} \right) \\ \times \left[ \frac{A_q(t) + 4\xi^2 C_q(t)}{M} + (A_q(t) + B_q(t)) i \frac{\sigma^{+\lambda}}{P^+} \frac{\Delta_\lambda}{2M} \right] u \left( P - \frac{\Delta}{2} \right)$$

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- Compute GPDs Mellin moment of order 1:

$$\bar{u}(p') \left[ \int dx x H^q(x, \xi, t) \frac{1}{M} + \int dx x (H^q + E^q)(x, \xi, t) \frac{i\sigma^{+\lambda} \Delta_\lambda}{2MP^+} \right] u(p) \\ = \frac{+i}{P^{+2}} \frac{\partial}{\partial z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z=0}$$

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- Reminder 2: Energy momentum tensor

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# GPDs and Orbital Angular Momentum (1/2).

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- Reminder 1: Angular momentum sum rule

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◀ Back to angular momentum sum rule.

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- Reminder 2: Energy momentum tensor

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$$\bar{u}(p') \left[ \int dx x H^q(x, \xi, t) \frac{1}{M} + \int dx x (H^q + E^q)(x, \xi, t) \frac{i\sigma^{+\lambda} \Delta_\lambda}{2MP^+} \right] u(p) = \frac{1}{P^{+2}} \left\langle P + \frac{\Delta}{2} \left| \bar{q}(0) \gamma^{(+i} \overleftrightarrow{D}^{+)} q(0) \right| P - \frac{\Delta}{2} \right\rangle$$



# GPDs and Orbital Angular Momentum (2/2).

Towards a measurement of the **quark OAM contribution** to the nucleon spin.

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- Link between GPDs and energy momentum form factors:

$$\int dx x H^q(x, \xi, t) = A_q(t) + 4\xi^2 C_q(t)$$

$$\int dx x E^q(x, \xi, t) = B_q(t) - 4\xi^2 C_q(t)$$

- Spin sum rule:

$$\int dx x (H^q(x, \xi, 0) + E^q(x, \xi, 0)) = A_q(0) + B_q(0) = 2J_q$$

## Ji sum rule

$$\begin{aligned} 2J^q &= \int_0^1 dx x [q(x) + \bar{q}(x)] + \int_{-1}^{+1} dx x E^q(x, 0, 0) \\ &= \Delta q + 2L^q \end{aligned}$$

Ji, *Phys. Rev. Lett.* **78**, 210 (1997)  
1114 citations on 3/10/2013...

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- **Form factor sum rule**

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$



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$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

$$= \frac{1}{2P^+} \bar{u}(p') \left[ H^q(x, \xi, t) \gamma^+ + E^q(x, \xi, t) \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} \right] u(p)$$

$$= \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0}$$

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# Properties (1/2).

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$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

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- **Form factor sum rule** *Follows from Lorentz invariance!*

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$



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- **Form factor sum rule** *Follows from Lorentz invariance!*

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

- **Forward limit**

$$H^q(x, 0, 0) = q(x)$$



# Properties (1/2).

Generalization of nucleon Form Factors and Parton Distribution Functions.

- **Form factor sum rule** *Follows from Lorentz invariance!*

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

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$$H^q(x, 0, 0) = q(x)$$

$$\begin{aligned}
 & \frac{1}{2P^+} \bar{u}(p') \left[ H^q(x, \xi, t) \gamma^+ + E^q(x, \xi, t) \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} \right] u(p) \\
 = & \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0}
 \end{aligned}$$

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# Properties (1/2).

Generalization of nucleon Form Factors and Parton Distribution Functions.

- **Form factor sum rule** *Follows from Lorentz invariance!*

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

- **Forward limit**

$$H^q(x, 0, 0) = q(x)$$

$$\begin{aligned} & \frac{1}{2P^+} \bar{u}(p') \left[ \lim_{\Delta \rightarrow 0} H^q(x, \xi, t) \gamma^+ + \lim_{\Delta \rightarrow 0} E^q(x, \xi, t) \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} \right] u(p) \\ = & \lim_{\Delta \rightarrow 0} \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p' | \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) | p \rangle_{z^+=0, z_\perp=0} \end{aligned}$$

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- **Form factor sum rule** *Follows from Lorentz invariance!*

$$\int_{-1}^{+1} dx H^q(x\xi, t) = F_1^q(t)$$

- **Forward limit** *E decouples from the forward limit!*

$$H^q(x, 0, 0) = q(x)$$

$$\begin{aligned} & \frac{1}{2P^+} \bar{u}(p') \left[ H^q(x, 0, 0) \gamma^+ \right] u(p) \\ &= \frac{1}{2P^+} \bar{u}(P) q(x) \gamma^+ u(P) \end{aligned}$$

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## Exercise III.1

Prove the **polynomiality** property of GPDs:

$$\int_{-1}^{+1} dx x^n H^q(x, \xi, t) = \text{polynomial in } \xi$$

*As in the case of nucleon form factors, this properties is tied to Lorentz invariance.*

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3d imaging of nucleon's partonic content.

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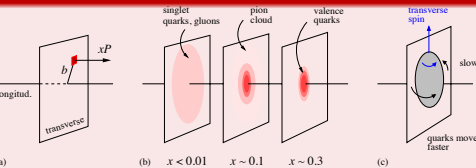
- **Probabilistic interpretation** of Fourier transform of GPD( $x, \xi = 0, t$ ) in **transverse plane**.

$$\rho(x, b_{\perp}, \lambda, \lambda_N) = \frac{1}{2} \left[ H(x, b_{\perp}^2) + \frac{b_{\perp}^j \epsilon_{ji} S_{\perp}^i}{M} \frac{\partial E}{\partial b_{\perp}^2}(x, b_{\perp}^2) + \lambda \lambda_N \tilde{H}(x, b_{\perp}^2) \right]$$

- Notations : quark helicity  $\lambda$ , nucleon longitudinal polarization  $\lambda_N$  and nucleon transverse spin  $S_{\perp}$ .

Burkardt, Phys. Rev. **D62**, 071503 (2000)

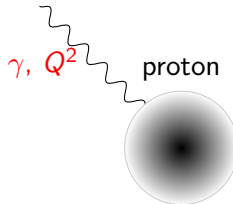
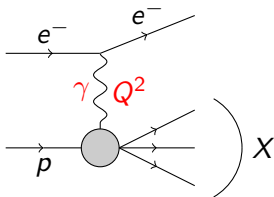
**Obtain this 3d picture from exclusive measurements ?**



Weiss, AIP Conf. Proc. **1149**, 150 (2009)

# A partonic picture of hadronic processes (1/2).

Exclusive processes, **factorization** and **universality**.



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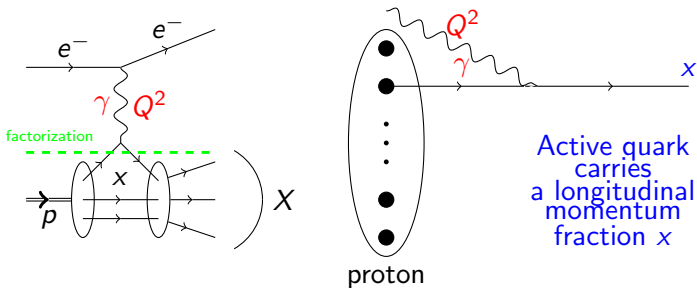
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# A partonic picture of hadronic processes (1/2).

Exclusive processes, **factorization** and **universality**.



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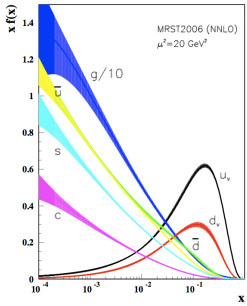
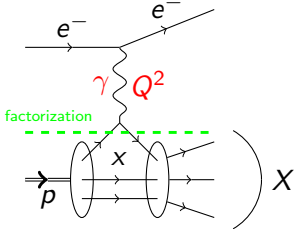


# A partonic picture of hadronic processes (1/2).

## Exclusive processes, factorization and universality.



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# A partonic picture of hadronic processes (1/2).

Exclusive processes, **factorization** and **universality**.

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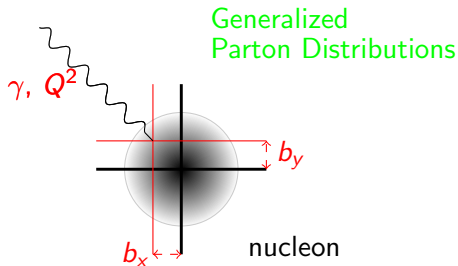
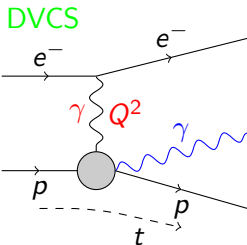
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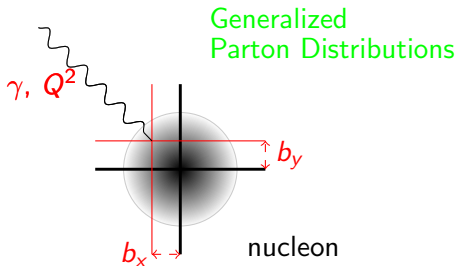
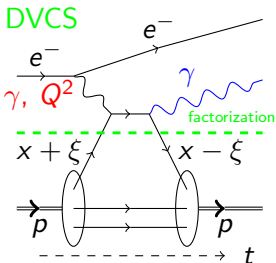
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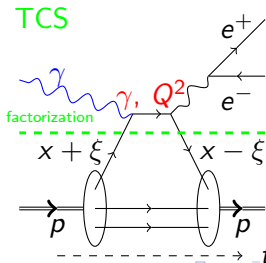
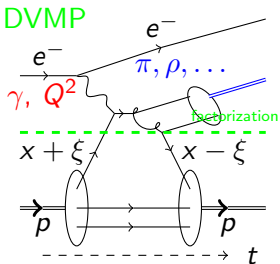
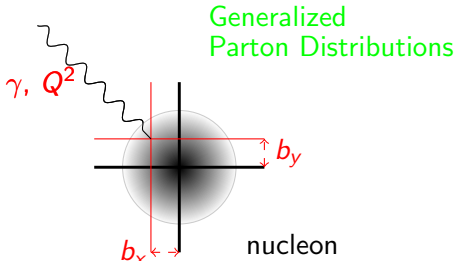
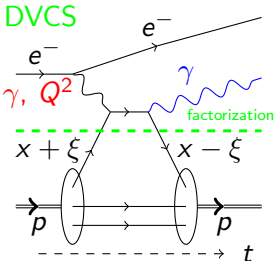
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# A partonic picture of hadronic processes (2/2).

Exclusive processes, **factorization** and **universality**.

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Bjorken regime : large  $Q^2$  and fixed  $x_B \simeq 2\xi/(1 + \xi)$

- Partonic interpretation relies on **factorization theorems**.
- All-order proofs for DVCS, TCS and some DVMP.
- GPDs depend on a (arbitrary) factorization scale  $\mu_F$ .
- **Consistency** requires the study of **different channels**.

- GPDs enter DVCS through **Compton Form Factors** :

$$\mathcal{F}(\xi, t, Q^2) = \int_{-1}^1 dx C \left( x, \xi, \alpha_S(\mu_F), \frac{Q}{\mu_F} \right) F(x, \xi, t, \mu_F)$$

for a given GPD  $F$ .

- Integration kernels  $C$  have been worked out at NLO.

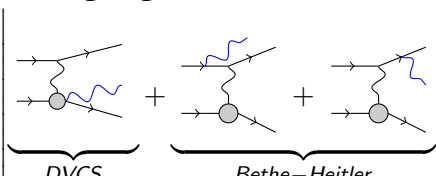
[Belitsky and Müller, Phys. Lett. B417, 129 \(1998\)](#)

- CFF  $\mathcal{F}$  is a **complex function**.

# Measurement principle is intrinsically quantum.

Quantum interference and amplification.

- The DVCS and Bethe-Heitler (BH) processes have the **same incoming and outgoing states** :

$$\sigma(ep \rightarrow ep\gamma) = \left[ \underbrace{\text{Diagram 1}}_{DVCS} + \underbrace{\text{Diagram 2} + \text{Diagram 3}}_{\text{Bethe-Heitler}} \right]^2$$


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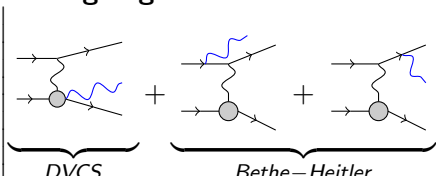
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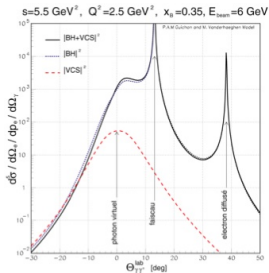
# Measurement principle is intrinsically quantum.

## Quantum interference and amplification.

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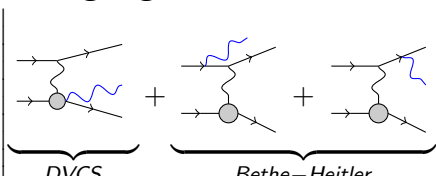
- Measurement of the **interference**.
- Control of BH thanks to **form factors**.



# Measurement principle is intrinsically quantum.

Quantum interference and amplification.

- The DVCS and Bethe-Heitler (BH) processes have the **same incoming and outgoing states** :

$$\sigma(ep \rightarrow ep\gamma) = \left[ \underbrace{\text{Diagram 1}}_{DVCS} + \underbrace{\text{Diagram 2} + \text{Diagram 3}}_{Bethe-Heitler} \right]^2$$


- Measurement of the **interference.**
- Control of BH thanks to **form factors.**
- Polarized** beam or target.





# Definition of observables (1/4).

Harmonic structure of  $ep \rightarrow ep\gamma$  amplitude.

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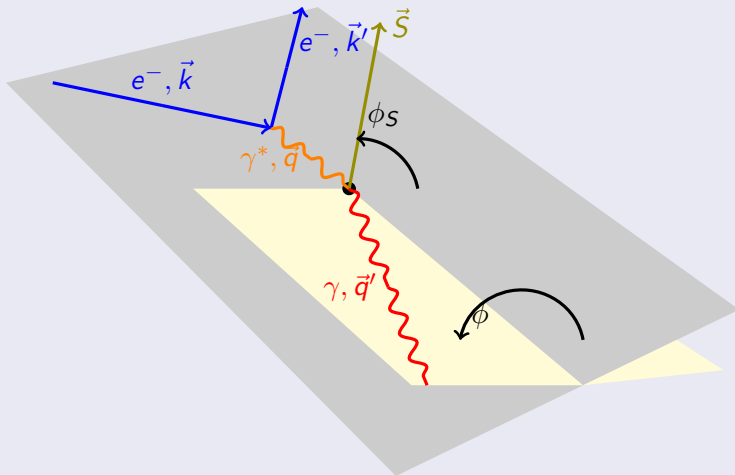
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## Deeply Virtual Compton Scattering





# Definition of observables (2/4).

Harmonic structure of  $ep \rightarrow ep\gamma$  amplitude.

- Study the **harmonic structure** of  $ep \rightarrow ep\gamma$  amplitude.

Diehl *et al.*, Phys. Lett. **B411**, 193 (1997)

- Angle  $\phi$  between leptonic and hadronic planes

$$|\mathcal{M}_{\text{BH}}|^2 \propto \frac{1}{|t|} \frac{1}{P(\cos \phi)} \sum_{n=0}^3 [c_n^{\text{BH}} \cos(n\phi) + s_n^{\text{BH}} \sin(n\phi)]$$

$$|\mathcal{M}_{\text{DVCS}}|^2 \propto \sum_{n=0}^3 [c_n^{\text{DVCS}} \cos(n\phi) + s_n^{\text{DVCS}} \sin(n\phi)]$$

$$\mathcal{M}_{\text{I}} \propto \frac{1}{|t|} \frac{1}{P(\cos \phi)} \sum_{n=0}^3 [c_n^{\text{I}} \cos(n\phi) + s_n^{\text{I}} \sin(n\phi)]$$

- Use expressions for  $s_n$  for  $c_n$  with **exact treatment** of all contributions apart from OPE in the hadronic tensor.

Guichon and Vanderhaeghen (2008)



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# Definition of observables (3/4).

Single and double asymmetries.

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- Combined beam-spin and charge asymmetries :

$$d\sigma^{h_e, Q_e}(\phi) = d\sigma_{UU}(\phi) [1 + h_e A_{LU, DVCS}(\phi) + Q_e h_e A_{LU, I}(\phi) + Q_e A_C(\phi)]$$

- Single beam-spin asymmetry :

$$A_{LU}^{Q_e}(\phi) = \frac{d\sigma^{\uparrow Q_e} - d\sigma^{\downarrow Q_e}}{d\sigma^{\uparrow Q_e} + d\sigma^{\downarrow Q_e}}$$

- Relation between observables :

$$A_{LU}^{Q_e}(\phi) = \frac{Q_e A_{LU, I}(\phi) + A_{LU, DVCS}(\phi)}{1 + Q_e A_C(\phi)}$$

- Compute Fourier coefficients of asymmetries.

# Definition of observables (4/4).

What are the probed combinations of CFFs ?

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## Typical kinematics

Experiment	Kinematics		
	$x_B$	$Q^2$ [GeV <sup>2</sup> ]	$t$ [GeV <sup>2</sup> ]
HERA	0.001	8.00	-0.30
COMPASS	0.05	2.00	-0.20
HERMES	0.09	2.50	-0.12
CLAS	0.19	1.25	-0.19
HALL A	0.36	2.30	-0.23

# Definition of observables (4/4).

What are the probed combinations of CFFs ?

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## Typical kinematics

Experiment	Kinematics			
	$x_B$	$Q^2$ [GeV <sup>2</sup> ]	$t$ [GeV <sup>2</sup> ]	$-t/Q^2$
HERA	0.001	8.00	-0.30	0.04
COMPASS	0.05	2.00	-0.20	0.10
HERMES	0.09	2.50	-0.12	0.05
CLAS	0.19	1.25	-0.19	0.15
HALL A	0.36	2.30	-0.23	0.10

# Definition of observables (4/4).

What are the probed combinations of CFFs ?

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## Selection of observables

Experiment	Observable	Normalized CFF dependence
HERMES	$A_C^{\cos 0\phi}$	$\text{Re}\mathcal{H} + 0.06\text{Re}\mathcal{E} + 0.24\text{Re}\tilde{\mathcal{H}}$
	$A_C^{\cos \phi}$	$\text{Re}\mathcal{H} + 0.05\text{Re}\mathcal{E} + 0.15\text{Re}\tilde{\mathcal{H}}$
	$A_{LU,I}^{\sin \phi}$	$\text{Im}\mathcal{H} + 0.05\text{Im}\mathcal{E} + 0.12\text{Im}\tilde{\mathcal{H}}$
	$A_{UL}^{+,\sin \phi}$	$\text{Im}\tilde{\mathcal{H}} + 0.10\text{Im}\mathcal{H} + 0.01\text{Im}\mathcal{E}$
CLAS	$A_{LU}^{-,\sin \phi}$	$\text{Im}\mathcal{H} + 0.06\text{Im}\mathcal{E} + 0.21\text{Im}\tilde{\mathcal{H}}$
	$A_{UL}^{-,\sin \phi}$	$\text{Im}\tilde{\mathcal{H}} + 0.12\text{Im}\mathcal{H} + 0.04\text{Im}\mathcal{E}$
HALL A	$\sigma^{\cos 0\phi}$	$1 + 0.05\text{Re}\mathcal{H} + 0.007\mathcal{H}\mathcal{H}^*$
	$\sigma^{\cos \phi}$	$1 + 0.12\text{Re}\mathcal{H} + 0.05\text{Re}\tilde{\mathcal{H}}$

# Kinematic region of existing DVCS measurements.

Looking for the Bjorken regime.

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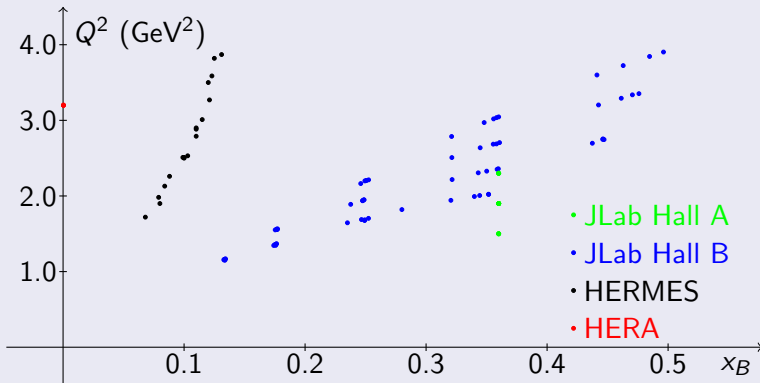
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## What is large $Q^2$ ?



- World data cover **complementary kinematic regions.**

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Looking for the Bjorken regime.

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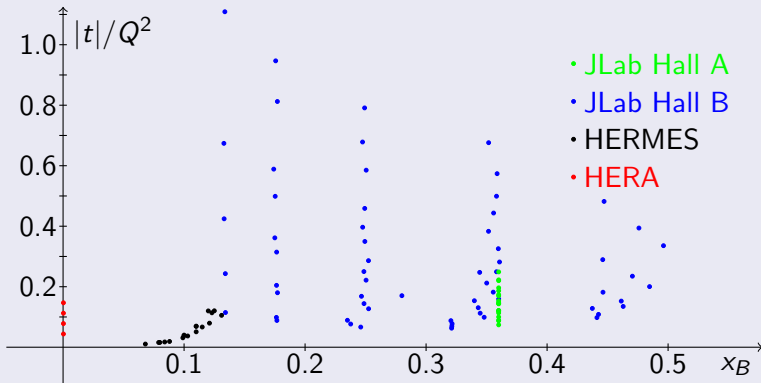
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## What is large $Q^2$ ?



- World data cover **complementary kinematic regions**.
- $Q^2$  is **not so large** for most of the data.



# Kinematic region of existing DVCS measurements.

Looking for the Bjorken regime.

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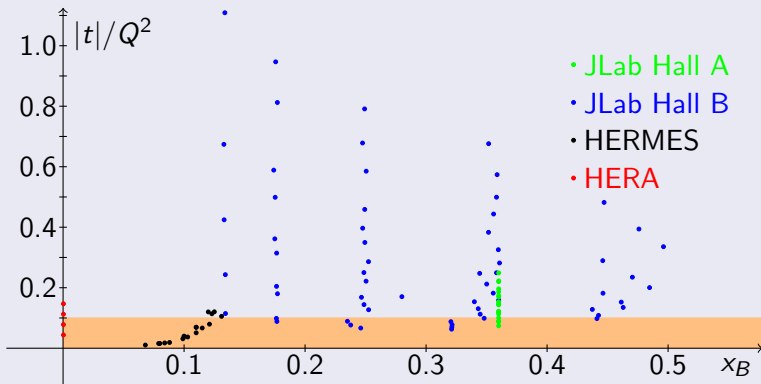
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## What is large $Q^2$ ?



- World data cover **complementary kinematic regions**.
- $Q^2$  is **not so large** for most of the data.
- Higher twists, finite- $t$  and target mass corrections ?

# Kinematic region of existing DVCS measurements.

Looking for the Bjorken regime.

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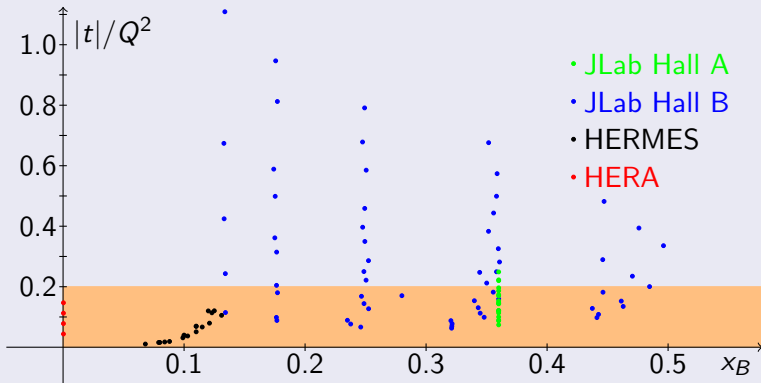
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## What is large $Q^2$ ?



- World data cover **complementary kinematic regions**.
- $Q^2$  is **not so large** for most of the data.
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# Approximations.

First systematic study of DVCS polarized and unpolarized observables.

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## Unless explicitly stated

- Work at twist 2 accuracy.
- Use LO expression of kernel  $C(x, \xi)$ .
- No finite- $t$  or target mass corrections (higher twist).

# Double Distribution models.

Enforcing the polynomiality condition.

- Simplify by considering **spinless hadron**.

$$H^q(x, \xi, t) = \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \left\langle P + \frac{\Delta}{2} \left| \bar{q} \left( -\frac{z}{2} \right) \gamma^+ q \left( \frac{z}{2} \right) \right| P - \frac{\Delta}{2} \right\rangle$$

where  $\xi = -\Delta^+ / (2P^+)$  is the skewness.

- Define **Double Distributions** (DDs)  $F^q$  and  $G^q$ :

$$\begin{aligned} & \left\langle P + \frac{\Delta}{2} \left| \bar{q} \left( -\frac{z}{2} \right) \gamma_\mu q \left( \frac{z}{2} \right) \right| P - \frac{\Delta}{2} \right\rangle_{z^2=0} \\ &= 2P_\mu \int_{\Omega} d\beta d\alpha e^{-i\beta(Pz) + i\alpha \frac{(\Delta z)}{2}} F^q(\beta, \alpha, t) \\ & \quad - \Delta_\mu \int_{\Omega} d\beta d\alpha e^{-i\beta(Pz) + i\alpha \frac{(\Delta z)}{2}} G^q(\beta, \alpha, t) + \text{higher twist terms} \end{aligned}$$

where  $\Omega$  is the rhombus defined by  $|\alpha| + |\beta| \leq 1$ .

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where  $\xi = -\Delta^+ / (2P^+)$  is the skewness.

- Define **Double Distributions** (DDs)  $F^q$  and  $G^q$ :
- Relation between GPDs and DDs:

$$H^q(x, \xi, t) = \int_{\Omega} d\beta d\alpha \delta(x - \beta - \alpha\xi) (F^q(\beta, \alpha) + \xi G^q(\beta, \alpha, t))$$

- Polynomiality is **automatically fulfilled**.
- DDs look like PDFs for the variable  $\beta$ , and Distribution Amplitudes (DAs) for the variable  $\alpha$ .

## Exercise III.2

Derive the relation between GPDs and DDs. Apply the factorized Ansatz (see next slide). What happens for  $n \rightarrow \infty$ ?

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# Double Distribution models.

GK model (Goloskokov and Kroll).

- **Factorized Ansatz.** For  $i = g, \text{sea}$  or  $\text{val}$  :

$$H_i(x, \xi, t) = \int_{|\alpha|+|\beta|\leq 1} d\beta d\alpha \delta(\beta + \xi\alpha - x) f_i(\beta, \alpha, t)$$

$$f_i(\beta, \alpha, t) = e^{b_i t} \frac{1}{|\beta|^{\alpha' t}} h_i(\beta) \pi_{n_i}(\beta, \alpha)$$

$$\pi_{n_i}(\beta, \alpha) = \frac{\Gamma(2n_i + 2)}{2^{2n_i+1} \Gamma^2(n_i + 1)} \frac{(1 - |\beta|)^2 - \alpha^2)^{n_i}}{(1 - |\beta|)^{2n_i+1}}$$

- Expressions for  $h_i$  and  $n_i$  :

$$h_g(\beta) = |\beta| g(|\beta|) \qquad n_g = 2$$

$$h_{\text{sea}}^q(\beta) = q_{\text{sea}}(|\beta|) \text{sign}(\beta) \qquad n_{\text{sea}} = 2$$

$$h_{\text{val}}^q(\beta) = q_{\text{val}}(\beta) \Theta(\beta) \qquad n_{\text{val}} = 1$$

- Designed to study DVMP. Expect better comparison to data at small  $x_B$ .

Goloskokov and Kroll, Eur. Phys. J. **C42**, 281 (2005)

# Mellin-Barnes representation.

KM model (Kumericki and Müller) (1/2).

- Start again from  $t$ -channel **partial-wave expansion**:

$$H_+(x, \xi) = 2 \sum_{\substack{n=1 \\ \text{odd}}}^{\infty} \sum_{\substack{l=0 \\ \text{even}}}^{n+1} B_{nl} \theta \left(1 - \frac{x^2}{\xi^2}\right) \left(1 - \frac{x^2}{\xi^2}\right) C_n^{3/2} \left(\frac{x}{\xi}\right) P_l \left(\frac{1}{\xi}\right)$$

- From  $C_n^{3/2}$  define rescaled polynomials  $c_n(x, \xi)$  to **recover Mellin moments** when  $\xi \rightarrow 0$ .
- From orthogonality relation on  $C_n^{3/2}$  define **orthogonal polynomials**  $p_n(x, \xi)$  such that:

$$\int_{-1}^{+1} dx c_n(x, \xi) p_m(x, \xi) = (-1)^n \delta_{nm}$$

- Write partial-wave expansion:

$$H_+(x, \xi) = \sum_{n=0}^{\infty} (-1)^n p_n(x, \xi) H_n(\xi)$$

# Mellin-Barnes representation.

KM model (Kumericki and Müller) (2/2).

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- Start from partial-wave expansion:

$$H_+(x, \xi) = \sum_{n=0}^{\infty} (-1)^n p_n(x, \xi) H_n(\xi)$$

- Resum by means of **Sommerfeld - Watson transform**:

$$H_+(x, \xi) = \frac{1}{2i} \int_{c-i\infty}^{c+i\infty} dj \frac{1}{\sin \pi j} p_j(x, \xi) H_j(\xi)$$

Müller and Schäfer, Nucl. Phys. **B379**, 1 (2006)

- Express CFF  $\mathcal{H}$  in terms of moments  $H_j$ :

$$\mathcal{H}(\xi) = \frac{1}{2i} \int_{c-i\infty}^{c+i\infty} dj \frac{1}{\xi^{j+1}} \left[ i + \tan \left( \frac{\pi j}{2} \right) \right] [C_j^0 + \dots] H_j(\xi)$$

- Regge modeling of  $H_j(\xi)$  moments.

Kumericki and Müller, Nucl. Phys. **B841**, 1 (2009)

▶ See dual model.



# Goloskokov-Kroll (GK) model on DVMP.

The GK model **was tuned** to analyse DVMP.

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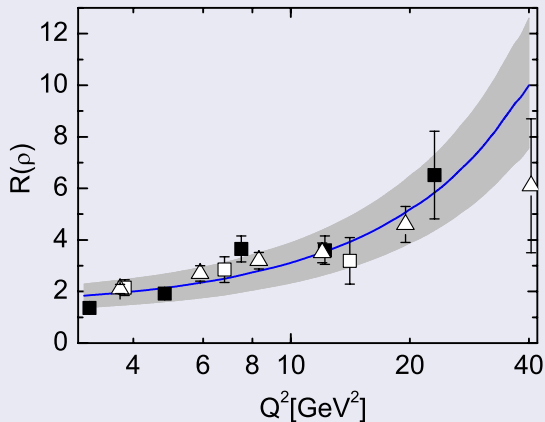
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$\sigma_L/\sigma_T$  for  $\rho^0$  at  $W = 90$  GeV



Goloskokov and Kroll, Eur. Phys. J. **C53**, 281 (2005)

# Goloskokov-Kroll (GK) model on DVCS.

No parameter of the GK model was tuned to analyse DVCS.

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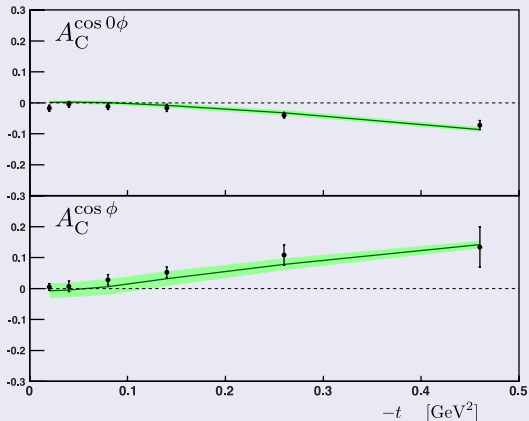
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## Beam Charge Asymmetry, HERMES



Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# Goloskokov-Kroll (GK) model on DVCS.

No parameter of the GK model was tuned to analyse DVCS.

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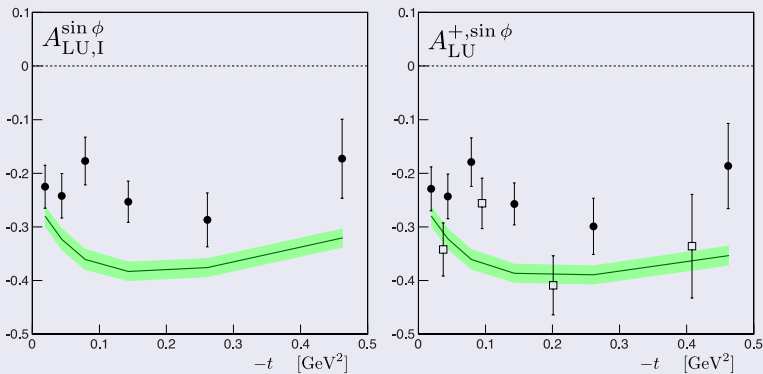
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## Beam Spin Asymmetry, HERMES



Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# Goloskokov-Kroll (GK) model on DVCS.

No parameter of the GK model was tuned to analyse DVCS.

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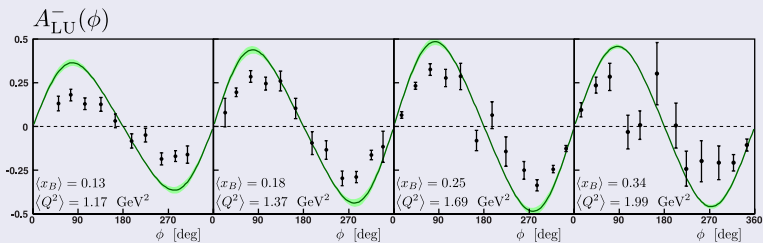
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## Beam Spin Asymmetry, CLAS



Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# Goloskokov-Kroll (GK) model on DVCS.

No parameter of the GK model was tuned to analyse DVCS.

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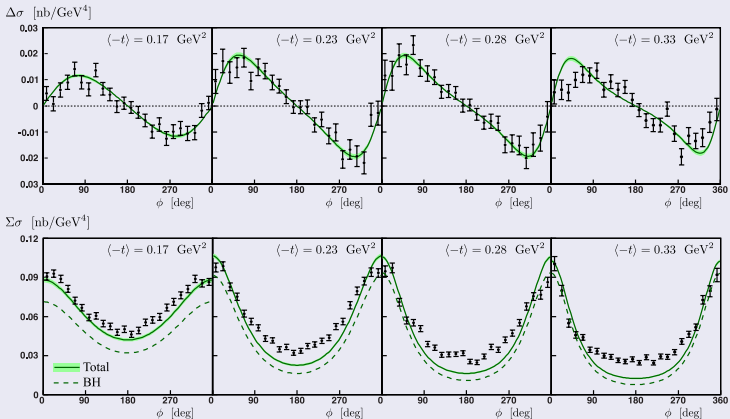
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## Helicity-dependent and independent cross sections, JLab Hall A



Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# Goloskokov-Kroll (GK) model on DVCS.

No parameter of the GK model was tuned to analyse DVCS.

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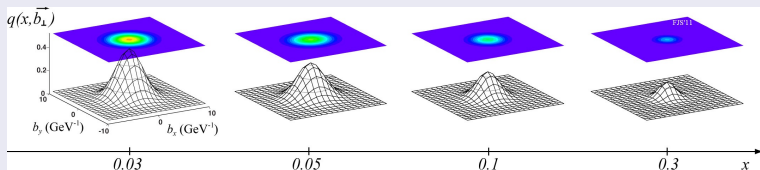
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## Spin structure with GK model (quoted at 4 GeV<sup>2</sup>)

- $J^u \simeq 0.250$ ,  $J^d \simeq 0.020$ ,  $J^s \simeq 0.015$ ,  $J^g \simeq 0.214$
- $\sum_{q,g} J^{q,g} \simeq 1/2$

## 3D nucleon structure with GK model



# Constraining models from DVCS data.

## Double Distribution model (Goloskokov and Kroll (GK)).

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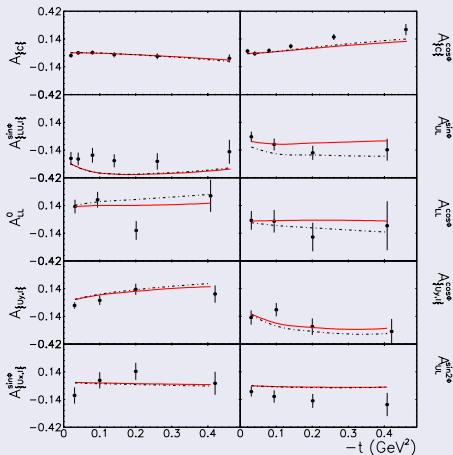
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### GK model compared to HERMES data



Guidal *et al.* , Rept. Prog. Phys. **76**,  
066202 (2013)

- Only H
- All GPDs

Goloskokov and Kroll,  
Eur. Phys. J. **C42**, 281  
(2005)

# Constraining models from DVCS data.

Double Distribution model (Vanderhaeghen, Guichon and Guidal (VGG)).

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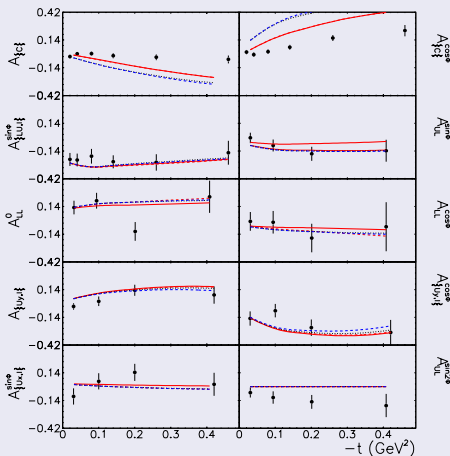
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## VGG model compared to HERMES data



Guidal *et al.* , Rept. Prog. Phys. **76**,  
066202 (2013)

- Only H
- $H + E$   
 $(J_u, J_d) = (0.3, 0.)$
- $H + E$   
 $(J_u, J_d) = (0., 0.3)$

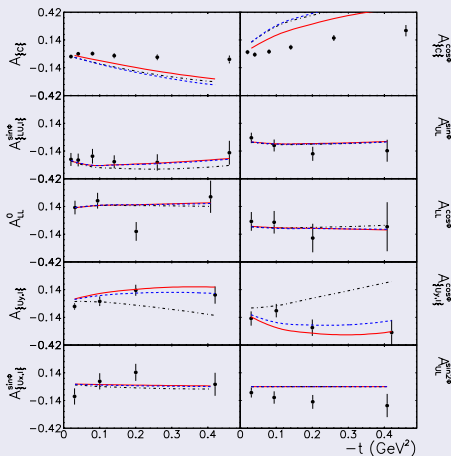
Guidal *et al.* , Phys.  
Rev. **D72**, 054013  
(2005)



# Constraining models from DVCS data.

## Dual model (Polyakov and Vanderhaeghen).

### Dual model compared to HERMES data



Guidal *et al.*, Rept. Prog. Phys. **76**,  
066202 (2013)

- Only  $H$
- $H + E$  (with a given model of  $E(x, 0, t)$ )
- $H + E$  (with another model of  $E(x, 0, t)$ )

Polyakov and Shuvaev,  
hep-ph/0207153  
Polyakov, Phys. Lett.  
**B659**, 542 (2008)

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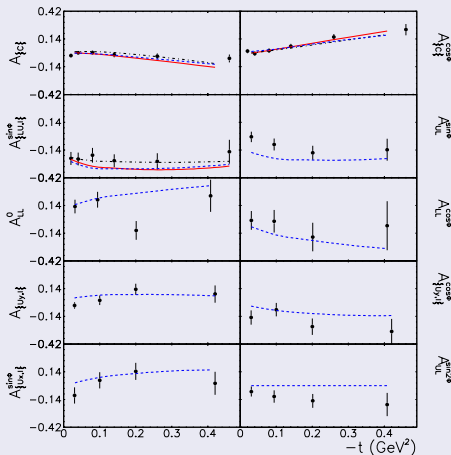
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# Constraining models from DVCS data.

Mellin - Barnes representation (Kumericki and Müller).

## KM model compared to HERMES data



Guidal *et al.*, Rept. Prog. Phys. **76**,  
066202 (2013)

- Without JLab Hall A data
- With JLab Hall A data
- With HERMES polarized target data

Müller and Schäfer,  
Nucl. Phys. **B739**, 1  
(2006)

Kumericki and Müller,  
Nucl. Phys. **B841**, 1  
(2009)

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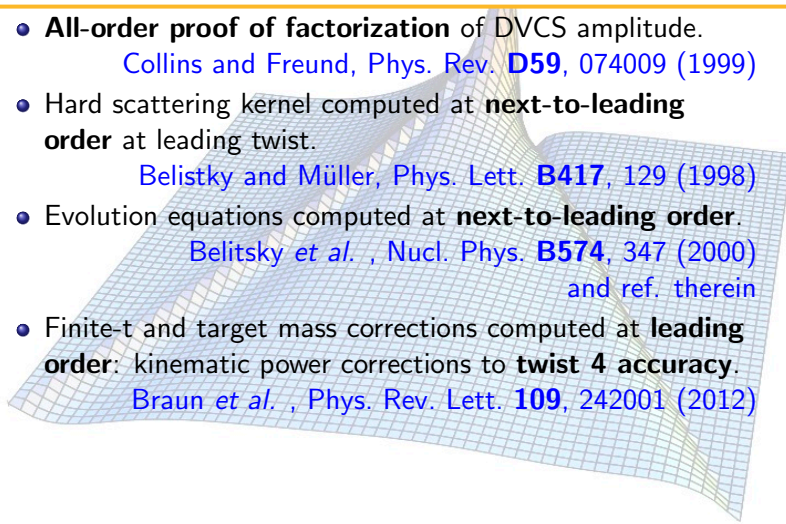
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# From QCD first principles to experimental data.

Very good theoretical control, but not easy to implement!

- All-order proof of factorization** of DVCS amplitude.  
 Collins and Freund, *Phys. Rev.* **D59**, 074009 (1999)
- Hard scattering kernel computed at **next-to-leading order** at leading twist.  
 Belitsky and Müller, *Phys. Lett.* **B417**, 129 (1998)
- Evolution equations computed at **next-to-leading order**.  
 Belitsky *et al.* , *Nucl. Phys.* **B574**, 347 (2000)  
 and ref. therein
- Finite- $t$  and target mass corrections computed at **leading order**: kinematic power corrections to **twist 4 accuracy**.  
 Braun *et al.* , *Phys. Rev. Lett.* **109**, 242001 (2012)



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- **All-order proof of factorization** of DVCS amplitude.  
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Belitsky and Müller, *Phys. Lett.* **B417**, 129 (1998)
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Belitsky *et al.* , *Nucl. Phys.* **B574**, 347 (2000)  
and ref. therein
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Braun *et al.* , *Phys. Rev. Lett.* **109**, 242001 (2012)

## GPD "measurements" ?

- **Already achieved**: experimentally constrained models.
- Next step: **Measured transverse plane images.**



# Ways to improve comparison.

Satisfactory agreement but needs improvement in the valence region...

- Implementation of GPD evolution.

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# Ways to improve comparison.

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- Implementation of GPD evolution.
- NLO computations and the role of gluons.

Moutarde *et al.* , Phys. Rev. **D87**, 054029 (2013)

▶ See more.



# Ways to improve comparison.

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[Moutarde \*et al.\* , Phys. Rev. \*\*D87\*\*, 054029 \(2013\)](#)

▶ See more.

- Resummation.

[Altinoluk \*et al.\*, arXiv:1309.2508 \[hep-ph\]](#)

▶ See more.



# Ways to improve comparison.

Satisfactory agreement but needs improvement in the valence region...

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*Moutarde et al.* , *Phys. Rev.* **D87**, 054029 (2013)

▶ See more.

- Resummation.

*Altinoluk et al.*, arXiv:1309.2508 [hep-ph]

▶ See more.

- Modification of the profile function.

*Mezrag et al.* , *Phys. Rev.* **D88**, 014001 (2013)

▶ See more.





# Ways to improve comparison.

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[▶ See more.](#)

- Resummation.

[Altinoluk \*et al.\*, arXiv:1309.2508 \[hep-ph\]](#)

[▶ See more.](#)

- Modification of the profile function.

[Mezrag \*et al.\* , Phys. Rev. \*\*D88\*\*, 014001 \(2013\)](#)

[▶ See more.](#)

- Finite- $t$  and target mass corrections. Problem recently solved for DVCS.

[Braun \*et al.\* , Phys. Rev. Lett. \*\*109\*\*, 242001 \(2012\)](#)

[▶ See more.](#)



# How many parameters to parameterize GPDs?

Naive counting from a Double Distribution model (1/3).

- Radyushkin's **Factorized Ansatz** +  $t$ -dependence from nucleon **form factor**  $F_1$ :

$$H^q(x, \xi, t) = \int_{|\alpha|+|\beta|\leq 1} d\beta d\alpha \delta(\beta + \xi\alpha - x) f^q(\beta, \alpha, t)$$

$$f^q(\beta, \alpha, t) = F_1^q(t) h(\beta) \pi_n(\beta, \alpha)$$

$$\pi_n(\beta, \alpha) = \frac{\Gamma(2n+2)}{2^{2n+1} \Gamma^2(n+1)} \frac{(1 - |\beta|)^2 - \alpha^2)^n}{(1 - |\beta|)^{2n+1}}$$

- Expressions for  $h$  and  $n$  :

$$h_{\text{sea}}^q(\beta) = q_{\text{sea}}(|\beta|) \text{sign}(\beta) \quad n_{\text{sea}} = 1$$

$$h_{\text{val}}^q(\beta) = q_{\text{val}}(\beta) \Theta(\beta) \quad n_{\text{val}} = 1$$

- Add  $D$ -term at  $z = x/\xi$  :

$$D(z) \simeq (1 - z^2) \left( -4. C_1^{3/2}(z) - 1.2 C_3^{3/2}(z) - 0.4 C_5^{3/2}(z) \right)$$

Vanderhaeghen *et al.*, Phys. Rev. **D60**, 094017 (1999)



# How many parameters to parameterize GPDs?

Naive counting from a Double Distribution model (2/3).

- Parton Distribution Function:

$$q(x) = Ax^{\eta_1}(1-x)^{\eta_2}(1 + \epsilon\sqrt{x} + \gamma x)$$

Martin *et al.*, Eur. Phys. J. **C63**, 189 (2009)  
 5 parameters per quark flavor

- Kelly parameterization of form factor ( $\tau = t/(4M^2)$ ) :

$$F_1^q(t) = \frac{1 + a\tau}{1 + b\tau + c\tau^2 + d\tau^3}$$

Kelly *et al.*, Phys. Rev. **C70**, 068202 (2004)  
 4 parameters per quark flavor

- Profile function parameter  $n$  :

$$\pi_n(\beta, \alpha) = \frac{\Gamma(2n+2)}{2^{2n+1}\Gamma^2(n+1)} \frac{(1 - |\beta|)^2 - \alpha^2]^n}{(1 - |\beta|)^{2n+1}}$$

Mezrag *et al.*, Phys. Rev. **D88**, 014001 (2013)  
 1 parameters per quark flavor

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# How many parameters to parameterize GPDs?

Naive counting from a Double Distribution model (3/3).

- Naive counting leads to **9 parameters per quark flavor!**
- Not fully realistic:
  - No **correlations between  $x$  and  $t$** ...
  - ... But generalized form factors computed on the lattice exhibit different  $t$ -dependence.

Hägler, Phys. Rept. **490**, 49 (2010)

- Expect  $\simeq 30 - 40$  parameters for  $u$ ,  $d$ ,  $s$  and  $g$  from naive counting, **not considering higher-twist GPDs.**
- Strategy:
  - Find **educated parameterization** (few free parameters) to proceed with **usual  $\chi^2$ -minimization.**
  - Use **uneducated parameterization** (lot of free parameters) but proceed with **alternative fitting procedures** (neural networks? etc.)

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# From principles to actual data.

Direct experimental access to a restricted domain.

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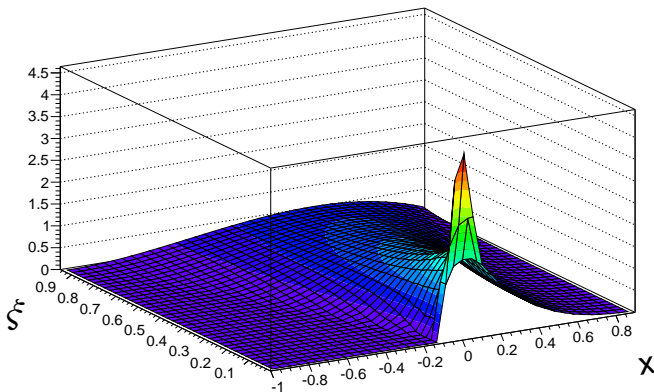
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GPD  $H$  at  $t = -0.23 \text{ GeV}^2$  and  $Q^2 = 2.3 \text{ GeV}^2$ .



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# From principles to actual data.

Direct experimental access to a restricted domain.

3D imaging

Need to know  $H(x, \xi = 0, t)$  to do transverse plane imaging.

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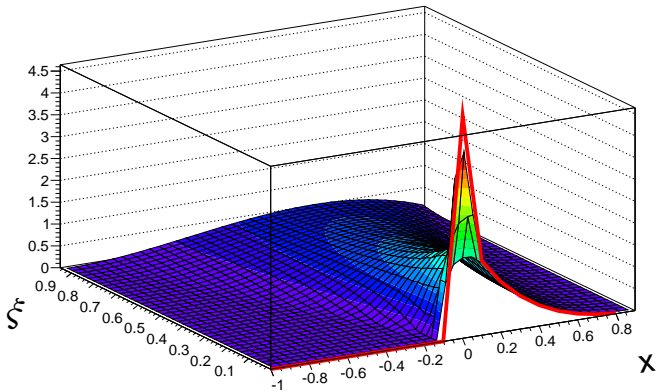
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GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

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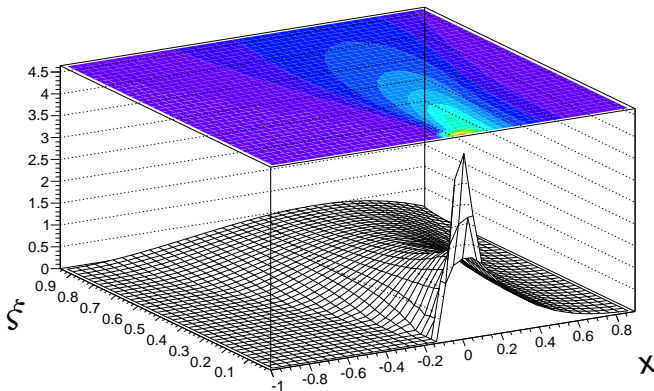
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## What is the physical region?



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

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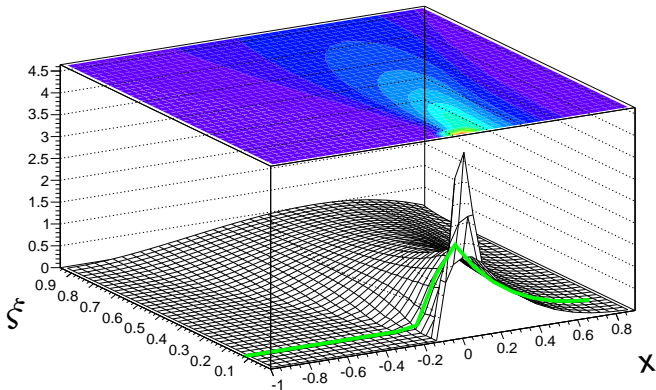
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$\xi_{\min}$  from finite beam energy.



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)



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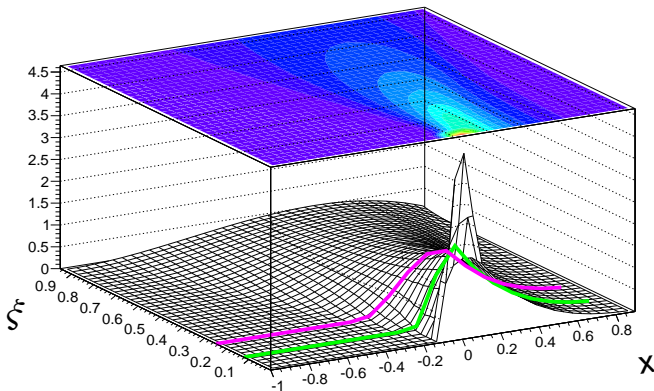
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$\xi_{\max}$  from kinematic constraint on 4-momentum transfer.



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

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From theory to measure

Models  
Universality tests  
Probing models on DVCS  
Going further

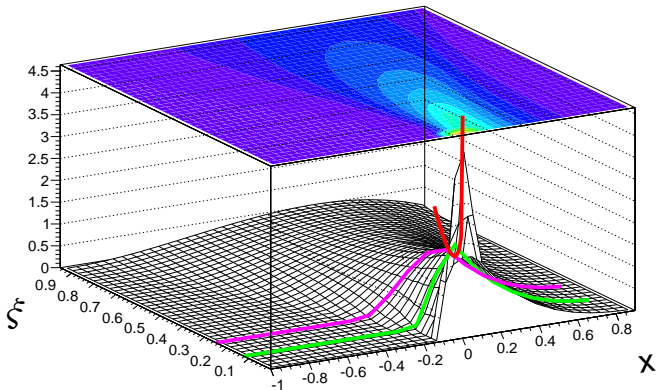
From measure to theory

Degrees of freedom  
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Fitting strategies  
Model-dependence vs accuracy

Near future

Experimental developments  
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The cross-over line  $x = \xi$ .



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# From principles to actual data.

Direct experimental access to a restricted domain.

3D imaging

Reminder

Theoretical framework

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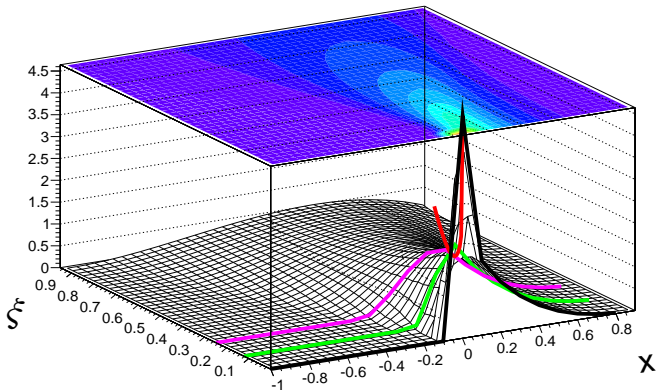
From measure to theory

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The black curve is what is needed for transverse plane imaging!



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)

# From principles to actual data.

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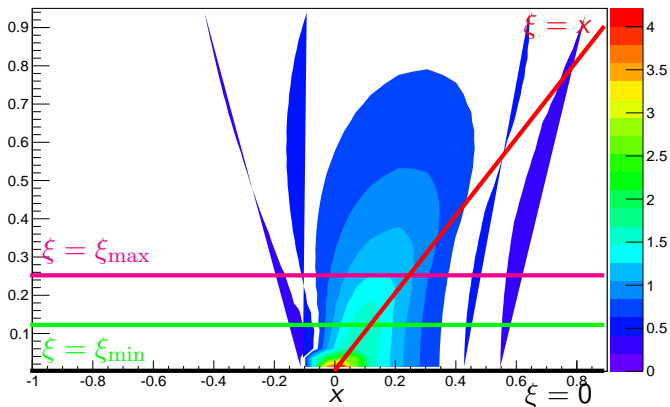
From measure to theory

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Density plot of  $H$  at  $t = -0.23 \text{ GeV}^2$  and  $Q^2 = 2.3 \text{ GeV}^2$



GPD model: see Kroll *et al.* , Eur. Phys. J. **C73**, 2278 (2013)



# Overview of current extraction methods.

Problems: Model dependence ? Degrees of freedom ? Extrapolations ?

3D imaging

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## Local fits

Take each kinematic bin independently of the others.  
Extraction of  $Re\mathcal{H}$ ,  $Im\mathcal{H}$ , ... as independent parameters.

## Global fit

Take all kinematic bins at the same time. Use a parametrization of GPDs or CFFs.

## Hybrid : Local / global fit

Start from local fits and add smoothness assumption.

## Neural networks

Already used for PDF fits. Exploratory stage for GPDs.





# Summary of first extractions.

## Feasibility of twist-2 analysis of existing data.

3D imaging

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- **Dominance** of twist 2 and **validity** of a GPD analysis of DVCS data.
- **$Im\mathcal{H}$  best determined.** Large uncertainties on  $Re\mathcal{H}$ .
- However sizable **higher twist contamination** for DVCS measurements.
- Already some indications about the **invalidity** of the  $H$ -dominance hypothesis with **unpolarized data**.
- Clear signs that one or several things are missing !

# JLab's 12 GeV upgrade.

Dealing with  $\mathcal{O}(1\%)$  statistical accuracy.

3D imaging

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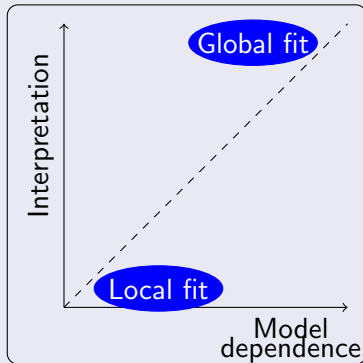
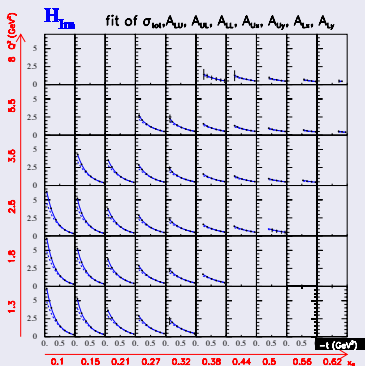
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## CLAS 12 pseudo-data (M. Guidal and H. Avakian)



Guidal *et al.*, Rept. Prog. Phys. **76**, 066202 (2013)

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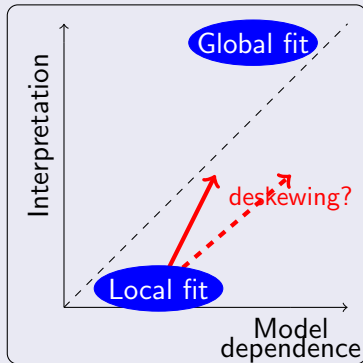
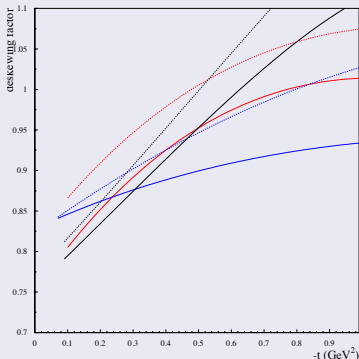
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## Model-estimate of $H(\xi, 0, t)/H(\xi, \xi, t)$



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)



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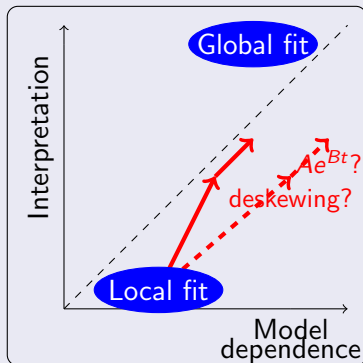
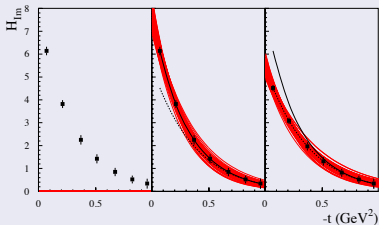
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Extracted  $Im\mathcal{H}$  as function of  $t$  and  $Ae^{Bt}$  fit



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

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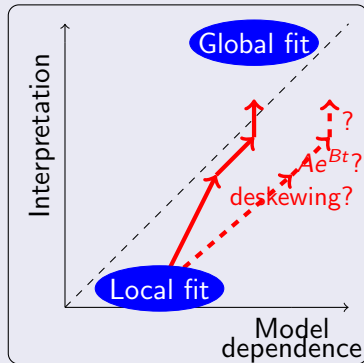
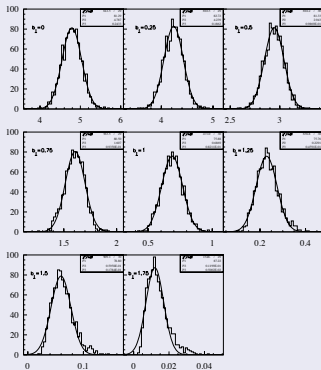
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## 2D Fourier transform of fit function (error propagation)



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

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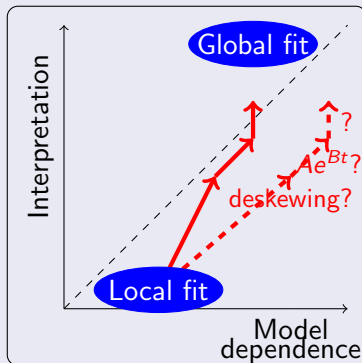
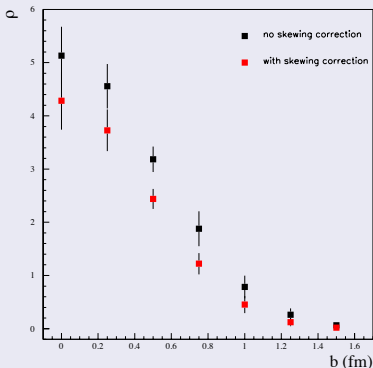
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## $b_{\perp}$ -dependence of spatial density



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

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Dealing with  $\mathcal{O}(1\%)$  statistical accuracy.

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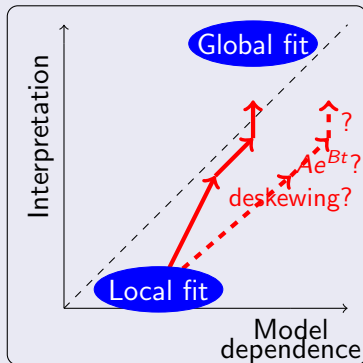
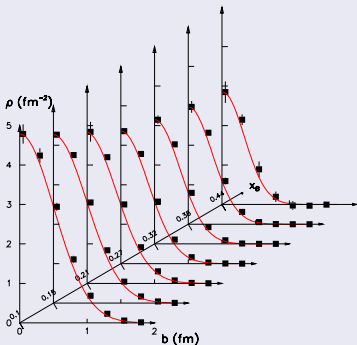
From measure to theory

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## Spatial density as function of $x_B$



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

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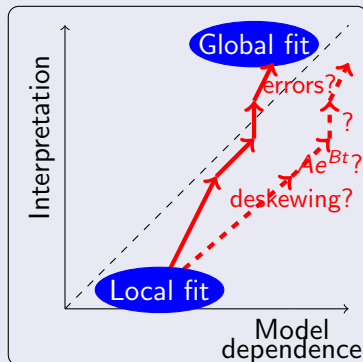
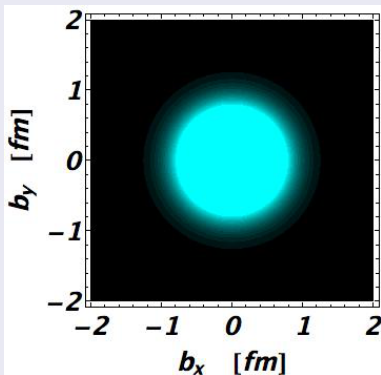
From measure to theory

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## Contour plot of spatial charge density



Guidal *et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

# COMPASS-II.

Kinematic domain in between collider and fixed-target experiments.

3D imaging

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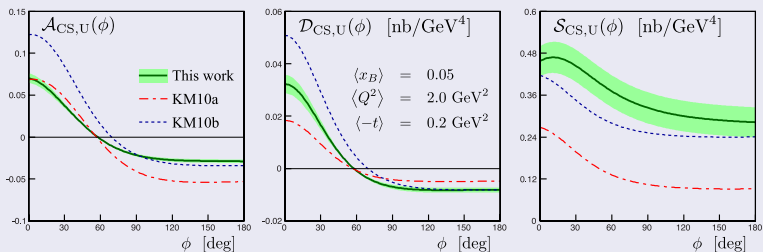
Near future

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- Observables with **beam spin** and **beam charge** differences.

## GK model prediction for COMPASS-II



# JLab's 12 GeV upgrade.

## Dealing with 1 % statistical accuracy.

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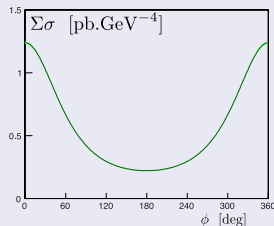
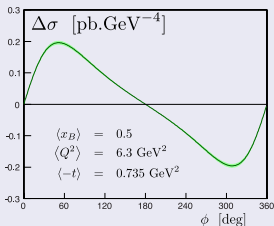
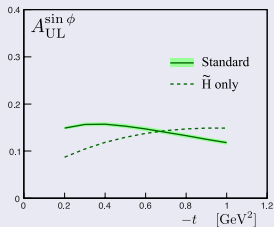
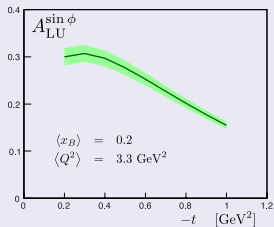
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### GK model prediction for JLab 12 GeV





# GPD phenomenology toolkit.

The path between models and data.

- 1 Comprehensive **database of experimental results**.
- 2 Comprehensive **database of theoretical predictions**.
- 3 **Fitting engine**.
- 4 **Propagation** of statistic and systematic **uncertainties**.
- 5 **Visualizing software** to compare experimental results and model expectations.
- 6 Connection to **experimental set-up descriptions** to design new experiments.
- 7 **Interactive website** providing free access to model and experimental values.

3D imaging

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# GPD phenomenology toolkit.

Platform structure, existing pieces and planned development.

3D imaging

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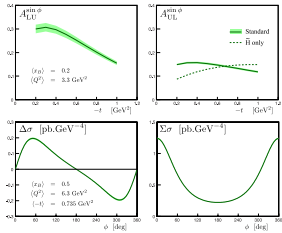
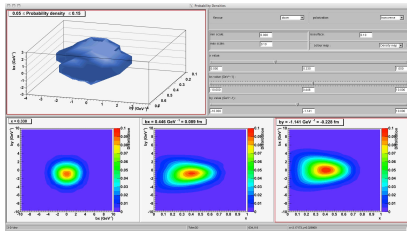
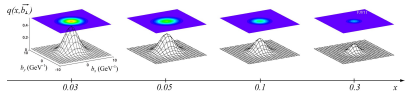
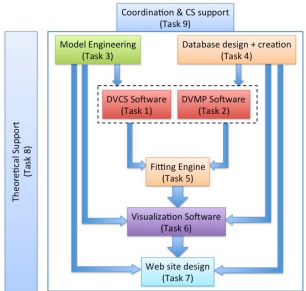
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# Conclusions.

Investigation of the nucleon structure has dramatically changed our understanding of the strong interaction.

## Conclusion

- Today our picture of the nucleon as a system built up from quarks and gluons is vastly different from the naive quark model image.
- **Numerous progress** have been made in **recent years** in clarifying concepts and obtaining quantitative information on nucleon structure.
- The next generation of experimental facilities will provide a great wealth of information **with unprecedented accuracy**.
- 3D nucleon structure is one of the **science highlights** of a possible future Electron Ion Collider.
- Nucleon structure is a very lively field where theoretical progress goes along with experimental advances.

# Prospect: Electron Ion Collider.

## Motivation.

### Conclusion

- A collider is needed to provide kinematic reach well into the **gluon-dominated regime**.
- Electron beams are needed to bring to bear the **unmatched precision** of the electromagnetic interaction as a probe.
- Polarized nucleon beams are needed to determine the **correlations** of sea quark and gluon distributions **with the nucleon spin**.
- Heavy ion beams are needed to provide precocious access to the regime of **saturated gluon densities** and offer a precise dial in the study of propagation-length for color charges in nuclear matter.

*Accardi et al. , EIC White Paper, arXiv:1212.1701*

# Prospect: Electron Ion Collider.

Technical goals.

## Conclusion

The EIC machine designs are aimed at achieving:

- **Highly polarized** ( $\sim 70\%$ ) electron and nucleon beams.
- **Ion beams** from deuteron to the heaviest nuclei (uranium or lead).
- Variable center of mass energies from  $\sim 20 - \sim 100$  GeV, upgradable to  $\sim 150$  GeV.
- **High collision luminosity**  $\sim 10^{33-34} \text{ cm}^{-2}\text{s}^{-1}$ .
- Possibilities of having more than one interaction region.

*Accardi et al. , EIC White Paper, arXiv:1212.1701*

# Prospect: Electron Ion Collider.

Key measurements for transverse plane parton imaging at stages I and II.

## Conclusion

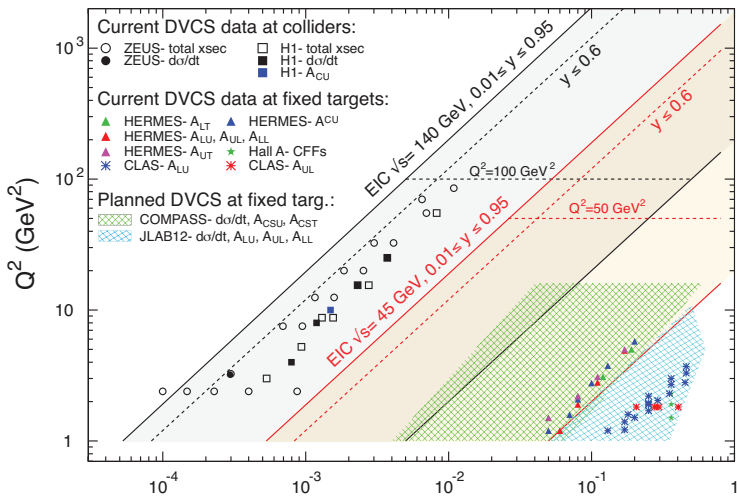
Deliverables	Observables	What we learn	Requirements
GPDs of sea quarks and gluons	DVCS and $J/\psi$ , $\rho^0$ , $\phi$ production cross-section and polarization asymmetries	transverse spatial distrib. of sea quarks and gluons; total angular momentum and spin-orbit correlations	$\int dt L \sim 10$ to $100\text{fb}^{-1}$ ; leading proton detection; polarized $e^-$ and $p$ beams; wide range of $x$ and $Q^2$ ; range of beam energies; $e^+$ beam valuable for DVCS
GPDs of valence and sea quarks	electro-production of $\pi^+$ , $K$ and $\rho^+$ , $K^*$	dependence on quark flavor and polarization	

*Accardi et al. , EIC White Paper, arXiv:1212.1701*

# Prospect: Electron Ion Collider.

Existing and planned measurements of DVCS in the  $x, Q^2$  plane.

Conclusion



Accardi et al. , *EIC White Paper*, arXiv:1212.1701

# Thank you for your attention!

Questions? Comments? etc.  $\Rightarrow$  [herve.moutarde@cea.fr](mailto:herve.moutarde@cea.fr)

Conclusion

## 29<sup>TH</sup> SEPTEMBER TO 4<sup>TH</sup> OCTOBER 2013



## LA VILLA CLYTHIA, FRÉJUS (CÔTE D'AZUR), FRANCE

## Appendix

A brief history  
of the nucleon

Discovery

Nucleon  
spatial  
structure

Nucleon charge  
radius

Operator  
Product  
Expansion  
Principle

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# Appendix



# On the discovery of the nucleon spin.

Experiment may be conducted without establishing thermal equilibrium between molecules with even  $J$  and those with odd  $J$ : separate gases which do not interconvert.

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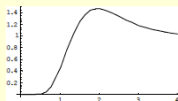
### MODERN QUANTUM MECHANICAL SOLUTION (DAVID DENNISON, 1927)

Consider a hydrogen molecule (rigid rotator —two degrees of freedom)

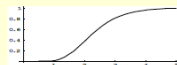
$$E_n = n(n+1) \frac{h^2}{8\pi^2 J}, \quad n = 0, 1, 2, \dots$$

The requirements of wave function symmetries and nuclear spin  $\Rightarrow$  **two varieties** of molecular hydrogen:

parahydrogen



orthohydrogen



specific heats of para- and orthohydrogen are quite different at low temperatures

**ORTHOHYDROGEN  $\rightleftharpoons$  PARAHYDROGEN TRANSITION IS SLOW**

Gearhart, APS, Saint Louis, Apr. 2008

See also Tomonaga, *The story of spin*, Chicago, 1997.

# On the discovery of the nucleon spin.

Experiment may be conducted without establishing thermal equilibrium between molecules with even  $J$  and those with odd  $J$ : separate gases which do not interconvert.

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A brief history of the nucleon

### Discovery

Nucleon spatial structure

Nucleon charge radius

Operator Product Expansion Principle

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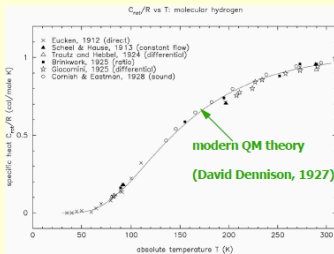
From measure to theory

Fitting strategies

## THEORY AND EXPERIMENT BY THE LATE 1920s

To calculate the specific heat of molecular hydrogen:

Treat hydrogen as a mixture of para- and orthohydrogen, in the ratio 1:3 (room temperature ratio for spin 1/2 fermions).



**Dennison's theory predicts a value for the moment of inertia of molecular hydrogen much larger than the accepted value in 1925.**

Gearhart, APS, Saint Louis, Apr. 2008

See also Tomonaga, *The story of spin*, Chicago, 1997.

◀ Back to lecture.

# Muonic hydrogen: "Lamb shift" measurement.

Experimental principle and design.

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- New beam line to produce  $\mu^-$  with a low kinetic energy:  $\simeq 5$  keV.
- Muons are stopped in gaseous  $H_2$  at low density (1 hPa). Formation of highly excited **muonic hydrogen** ( $n = 14$ ).
- Desexcitation of  $\mu p$  in state 1S (99 %). The population of the 2S state (1 %) is long-lived.
- Transitions  $2S \rightarrow 2P$  induced by laser. Fast desexcitation  $2P \rightarrow 1S$  by emission of a X photon (1.9 keV).

# Muonic hydrogen: "Lamb shift" measurement.

Experimental principle and design.

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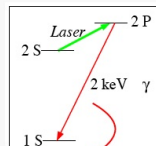
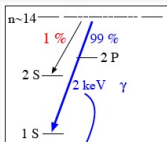
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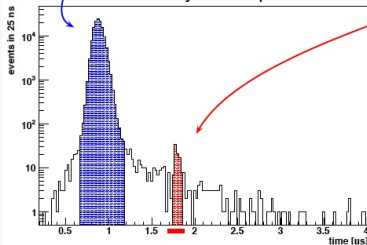
From measure to theory

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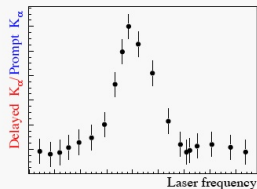
## Principle of the experiment



2 keV X-rays time spectrum



Cartoon of the resonance



ETH

A. Antognini, CERN 10.08.2010 - p.9

# Why muonic hydrogen?

Reminders : Bohr radius, Rydberg constant, etc.

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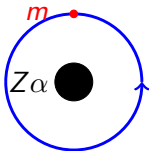
Models

Going further

From measure to theory

Fitting strategies

- Classical mechanics :



- Compensation of **coulombic** and **central** forces:

$$m \frac{v^2}{r} = \frac{Z\alpha \hbar c}{r^2}$$

- Mechanical energy =  $f(r)$ :

$$E = -\frac{Z\alpha \hbar c}{2r}$$

- Quantization of  $L = mvr$ :

$$\text{Bohr radius } a_0 = \frac{\hbar}{m c \alpha} \quad \text{and} \quad E = -\frac{(Z\alpha)^2 m c^2}{2n^2}$$

- Rydberg constant:

$$R_\infty = \alpha^2 \frac{m_e c}{2h}$$

$$hcR_\infty = 13.605\,691\,93 \quad (34)$$

# Why muonic hydrogen?

Lamb shift (following [Welton, Phys. Rev. 74, 1157 \(1948\)](#)).

## Appendix

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Operator Product Expansion Principle

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- Field fluctuations  $\Rightarrow$  **spread of the charge** of the orbiting particle:

$$\langle r^2 \rangle \simeq \frac{1}{m^2} \frac{2\alpha}{\pi} \log(Z\alpha)^{-2}$$

- Charge radius  $\langle r^2 \rangle \neq 0 \Rightarrow$  **perturbation of coulombic potential**  $V = -Z\alpha/r$  :

$$\delta V = \frac{2\pi}{3} Z\alpha \langle r^2 \rangle \delta(\vec{r})$$

- Consequence: correction of energy level S :

$$\Delta E = \langle nS | \delta V | nS \rangle \simeq |\Psi_n(0)|^2 \frac{2\pi(Z\alpha)}{3} \langle r^2 \rangle$$

- Remark: non-relativistic wavefunction  $\psi_{2S}(0) = 1/\sqrt{8\pi a_0^3}$
- Stronger effect** for muonic hydrogen..

# Operator Product Expansion (OPE).

Ill-defined field products (following Lehman, Riv. Nuovo Cim. **11**, 342 (1954)).

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- Consider a scalar field  $\phi(x)$  (mass  $m$ ) and a complete set of states  $|p, \alpha\rangle$  (momentum  $p$ , quantum number  $\alpha$ ).
- Use  $\phi(x) = e^{i\mathbb{P}\cdot x}\phi(0)e^{-i\mathbb{P}\cdot x}$  to write:

$$\begin{aligned}\langle 0|\phi(x)^2|0\rangle &= \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{1}{2E_{\vec{p}}} \sum_{\alpha} \langle 0|\phi(x)|p, \alpha\rangle \langle p, \alpha|\phi(x)|0\rangle \\ &= \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{1}{2E_{\vec{p}}} \sum_{\alpha} |\langle 0|\phi(0)|p, \alpha\rangle|^2\end{aligned}$$

- Take a **1-particle state**  $|p, \alpha\rangle$ . Then  $|\langle 0|\phi(0)|p, \alpha\rangle|^2$  depends on  $p^2 = m^2$  and:

$$\langle 0|\phi(x)^2|0\rangle \geq \sum_{\alpha} |\langle 0|\phi(0)|1\text{-particle}, \alpha\rangle|^2 \int \frac{d^3\vec{p}}{(2\pi)^3} \frac{1}{2E_{\vec{p}}} = +\infty$$

- $\phi(x)^2$  is **not mathematically well-defined**.

# Nonperturbative proof of the OPE (1/2).

(Following Weinberg, *The quantum theory of fields*, CUP, 1996).



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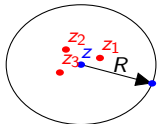
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$y_5$

$y_1$

$$|x_i - x_j| \ll R \ll |z - y_k| \quad \forall i, j, k$$

$y_2$



- $A_1(z_1), A_2(z_2), \dots : z_i \rightarrow z.$
- $B_1(y_1), B_2(y_2), \dots : \text{fixed } y_i.$
- Operators built from fields  $\phi_n.$

- Surround point  $z$  with ball  $B(R)$  and decompose action:

$$\langle 0 | T(A_1(z_1)A_2(z_2) \dots B_1(y_1)B_2(y_2) \dots) | 0 \rangle$$

$$= \int \prod_{u \notin B(R), n} d\phi_n(u) B_1(y_1)B_2(y_2) \dots \exp \left( i \int_{u \notin B(R)} d^4 u \mathcal{L} \right)$$

$$\times \int \prod_{u \in B(R), n} d\phi_n(u) A_1(z_1)A_2(z_2) \dots \exp \left( i \int_{u \in B(R)} d^4 u \mathcal{L} \right)$$





# Nonperturbative proof of the OPE (2/2).

(Following [Weinberg](#), *The quantum theory of fields*, CUP, 1996).

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- Fields inside the ball merge smoothly with fields outside the ball at the surface of the ball.
- Path integrals over fields inside and outside the ball do not affect each other.
- Path integral over fields inside the ball can be expressed in terms of values and derivatives of fields on the surface of the ball.
- For a small ball, express these values and derivatives in terms of Taylor expansion of fields located at the ball center  $z$  with coefficients depending on  $z_j - z$ .
- Take the limit  $R \rightarrow 0$ .

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# Double Distribution models.

VGG model (Vanderhaeghen, Guichon and Guidal).

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- **Factorized Ansatz.**

$$H(x, \xi, t) = \int_{|\alpha|+|\beta|\leq 1} d\beta d\alpha \delta(\beta + \xi\alpha - x) f(\beta, \alpha, t)$$

$$f(\beta, \alpha, t) = \frac{1}{|\beta|\alpha'(1-\beta)t} h(\beta) \pi_n(\beta, \alpha)$$

$$\pi_n(\beta, \alpha) = \frac{\Gamma(2n+2)}{2^{2n+1}\Gamma^2(n+1)} \frac{(1-|\beta|)^2 - \alpha^2]^n}{(1-|\beta|)^{2n+1}}$$

- Expressions for  $h$  and  $n$  :

$$h_g(\beta) = |\beta|g(|\beta|) \quad n_g = 1$$

$$h_{\text{sea}}^q(\beta) = q_{\text{sea}}(|\beta|)\text{sign}(\beta) \quad n_{\text{sea}} = 1$$

$$h_{\text{val}}^q(\beta) = q_{\text{val}}(\beta)\Theta(\beta) \quad n_{\text{val}} = 1$$

- Add  $D$ -term at  $z = x/\xi$  :

$$D(z) \simeq (1-z^2) \left( -4.C_1^{3/2}(z) - 1.2C_3^{3/2}(z) - 0.4C_5^{3/2}(z) \right)$$

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[Guidal et al., Phys. Rev. D72, 054013 \(2005\)](#)

# Dual model.

PV model (Polyakov and Vanderhaeghen) (1/2).

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- $t$ -channel **partial-wave expansion**:

$$H_+(x, \xi) = 2 \sum_{\substack{n=1 \\ \text{odd}}}^{\infty} \sum_{\substack{l=0 \\ \text{even}}}^{n+1} B_{nl} \theta \left(1 - \frac{x^2}{\xi^2}\right) \left(1 - \frac{x^2}{\xi^2}\right) C_n^{3/2} \left(\frac{x}{\xi}\right) P_l \left(\frac{1}{\xi}\right)$$

- Introduce **forward-like** functions  $Q_k(x, t)$  defined by:

$$B_{n \ n+1-k}(t) = \int_0^1 dx x^n Q_k(x, t)$$

- Resum series by means of **Shuvaev transform**:

$$H_+(x, \xi, t) = \sum_{k=0}^{\infty} \int_0^1 dy K^{(k)}(x, \xi, y) Q_k(y, t)$$

with **known kernels**  $K^{(k)}$ .

Polyakov and Shuvaev, [hep-ph/0207153](https://arxiv.org/abs/hep-ph/0207153)



# Dual model.

PV model (Polyakov and Vanderhaeghen) (2/2).

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- Define **quintessence** from forward-like functions:

$$N(x, t) = \sum_{n=0}^{\infty} x^{2n} Q_{2n}(x, t)$$

- $Re\mathcal{H}$  and  $Im\mathcal{H}$  depend on  **$\mathbf{N}(x, t)$  only**:

# Dual model.

PV model (Polyakov and Vanderhaeghen) (2/2).

Appendix

- Define **quintessence** from forward-like functions:

$$N(x, t) = \sum_{n=0}^{\infty} x^{2n} Q_{2n}(x, t)$$

- $Re\mathcal{H}$  and  $Im\mathcal{H}$  depend **on  $N(x, t)$  only**:

$$Re\mathcal{H} = 2 \int_0^{\frac{1-\sqrt{1-\xi^2}}{\xi}} \frac{dx}{x} N(x, t) \left[ \frac{1}{\sqrt{1-2x/\xi+x^2}} + \frac{1}{\sqrt{1+2x/\xi+x^2}} - \frac{2}{\sqrt{1+x^2}} \right]$$

$$Im\mathcal{H} = 2 \int_{\frac{1-\sqrt{1-\xi^2}}{\xi}}^1 \frac{dx}{x} N(x, t) \frac{1}{\sqrt{2x/\xi-x^2-1}}$$

Polyakov and Shuvaev, hep-ph/0207153

Polyakov, Phys. Lett. **B659**, 542 (2008)

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PV model (Polyakov and Vanderhaeghen) (2/2).

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- Define **quintessence** from forward-like functions:

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- $Re\mathcal{H}$  and  $Im\mathcal{H}$  depend **on  $\mathbf{N}(x, t)$  only**:

Polyakov and Shuvaev, hep-ph/0207153

Polyakov, Phys. Lett. **B659**, 542 (2008)

- The relation between  $Im\mathcal{H}$  and  $N(x, t)$  can be inverted:

$$N(x, t) = \frac{1}{\pi} \frac{x(1-x^2)}{(1+x)^{\frac{3}{2}}} \int_{\frac{2x}{1+x}}^1 \frac{d\xi}{\xi^{\frac{3}{2}}} \frac{1}{\sqrt{\xi - \frac{2x}{1+x^2}}} \left( \frac{1}{2} - \xi \frac{d}{d\xi} \right) Im\mathcal{H}(\xi, t)$$

# Dual model.

PV model (Polyakov and Vanderhaeghen) (2/2).

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$$N(x, t) = \sum_{n=0}^{\infty} x^{2n} Q_{2n}(x, t)$$

- $Re\mathcal{H}$  and  $Im\mathcal{H}$  depend **on  $N(x, t)$  only**:

Polyakov and Shuvaev, hep-ph/0207153

Polyakov, Phys. Lett. **B659**, 542 (2008)

- The relation between  $Im\mathcal{H}$  and  $N(x, t)$  can be inverted:
- The quintessence function contains **all the information** that can be extracted from DVCS **at leading order**.
- Here model forward-like function  $Q_0$  from PDFs with Regge-type Ansatz:  $q(x, t) = q(x)e^{-\alpha't}$ .

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# Timelike and spacelike Compton Scattering.

Scattering amplitudes and their partonic interpretation.

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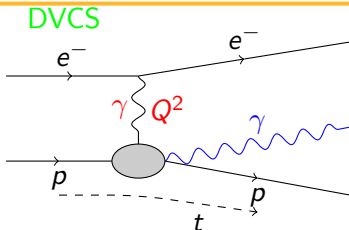
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## Compton Form Factors (CFF)

- Parametrize amplitudes.



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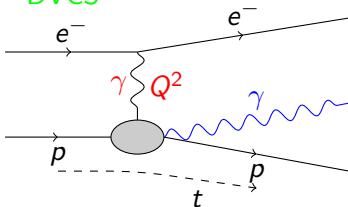
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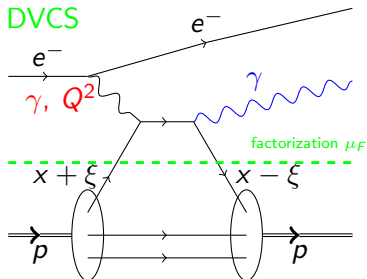
DVCS



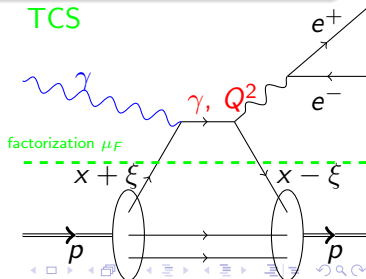
## Compton Form Factors (CFF)

- Parametrize amplitudes.
- Evaluation at LO.

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TCS



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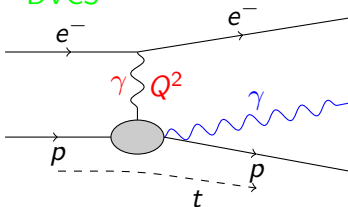
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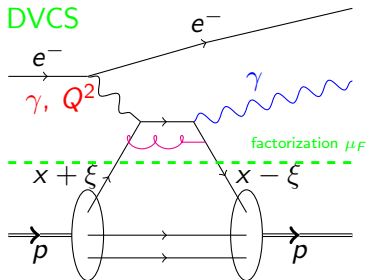
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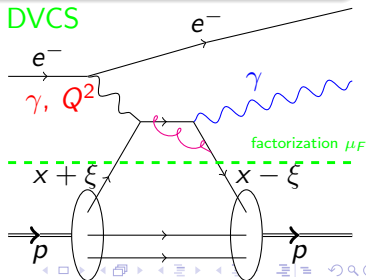
## Compton Form Factors (CFF)

- Parametrize amplitudes.
- Evaluation at LO.
- Evaluation at **NLO**.

DVCS



DVCS



# Timelike and spacelike Compton Scattering.

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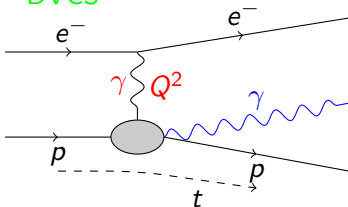
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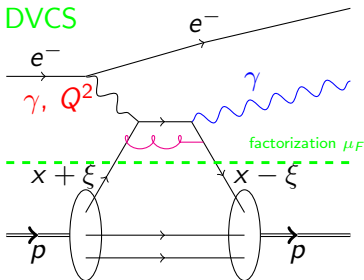
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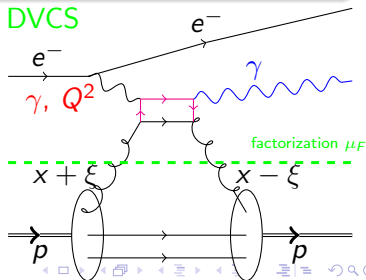
## Compton Form Factors (CFF)

- Parametrize amplitudes.
- Evaluation at LO.
- Evaluation at NLO.
- Other diagrams at NLO, including gluon GPDs.

DVCS



DVCS



# Explicit expressions of Compton Form Factors.

Quark and gluon contributions to the CFF  $\mathcal{H}$  at LO and NLO (at fixed  $t$ ).

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- Convolution of singlet GPD  $H_q^+(x) \equiv H_q(x) - H_q(-x)$  :

$$\mathcal{H}_q(\xi, Q^2) = \int_{-1}^{+1} dx H_q^+(x, \xi, \mu_F) T_q \left( x, \xi, \alpha_S(\mu_F), \frac{Q}{\mu_F} \right) + \int_{-1}^{+1} dx H_g(x, \xi, \mu_F) T_g \left( x, \xi, \alpha_S(\mu_F), \frac{Q}{\mu_F} \right)$$

Belitsky and Müller, Phys. Lett. **B417**, 129 (1998)

Pire *et al.* , Phys. Rev. **D83**, 034009 (2011)

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- Convolution of singlet GPD  $H_q^+(x) \equiv H_q(x) - H_q(-x)$  :

$$\mathcal{H}_q(\xi, Q^2) \stackrel{\text{LO}}{=} \int_{-1}^{+1} dx H_q^+(x, \xi, \mu_F) C_0^q(x, \xi) + \int_{-1}^{+1} dx H_g(x, \xi, \mu_F) 0$$

Belitsky and Müller, Phys. Lett. **B417**, 129 (1998)

Pire *et al.* , Phys. Rev. **D83**, 034009 (2011)

- Integration yields **imaginary** parts to  $\mathcal{H}$  :

$$\text{Im}\mathcal{H}_q(\xi, Q^2) \stackrel{\text{LO}}{=} \pi H_q^+(\xi, \xi, \mu_F)$$

# Explicit expressions of Compton Form Factors.

Quark and gluon contributions to the CFF  $\mathcal{H}$  at LO and NLO (at fixed  $t$ ).

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- Convolution of singlet GPD  $H_q^+(x) \equiv H_q(x) - H_q(-x)$  :

$$\mathcal{H}_q(\xi, Q^2) \stackrel{\text{NLO}}{=} \int_{-1}^{+1} dx H_q^+(x, \xi, \mu_F) \left[ C_0^q + C_1^q + \frac{1}{2} \ln \frac{|Q^2|}{\mu_F^2} C_{\text{Coll}}^q \right] + \int_{-1}^{+1} dx H_g(x, \xi, \mu_F) \left( 0 + C_1^g + \frac{1}{2} \ln \frac{|Q^2|}{\mu_F^2} C_{\text{Coll}}^g \right)$$

Belitsky and Müller, Phys. Lett. **B417**, 129 (1998)

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- Integration yields **imaginary** parts to  $\mathcal{H}$  :

$$\text{Im}\mathcal{H}_q(\xi, Q^2) \stackrel{\text{NLO}}{=} \mathcal{I}(\xi) H_q^+(\xi, \xi, \mu_F) + \int_{-1}^{+1} dx \mathcal{T}^q(x) \left( H_q^+(x, \xi, \mu_F) - H_q^+(\xi, \xi, \mu_F) \right) + \text{gluon contributions.}$$

# Explicit expressions of Compton Form Factors.

## Compton Scattering: LO vs NLO.

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### Imaginary part of Compton Form Factor $\mathcal{H}_q$ at NLO:

$$\begin{aligned}
 \text{Im}\mathcal{H}_q(\xi, Q^2) &\stackrel{\text{NLO}}{=} \mathcal{I}(\xi)H_q^+(\xi, \xi, \mu_F) \\
 &+ \int_{-1}^{+1} dx \mathcal{T}^q(x) \left( H_q^+(x, \xi, \mu_F) - H_q^+(\xi, \xi, \mu_F) \right) \\
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Due to  $\mathcal{O}(\alpha_S(\mu_F))$  corrections:

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## Compton Scattering: LO vs NLO.

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### Due to $\mathcal{O}(\alpha_S(\mu_F))$ corrections:

- $\text{Im}\mathcal{H}_q$  is **no more equal** to  $\pi H_q^+(x = \xi, \xi)$  (LO):



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- $\text{Im}\mathcal{H}_q$  is **no more equal** to  $\pi H_q^+(x = \xi, \xi)$  (LO):
  - Multiplicative factor  $\mathcal{I}$  depends on  $\xi$ .

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 \end{aligned}$$

## Due to $\mathcal{O}(\alpha_S(\mu_F))$ corrections:

- $\text{Im}\mathcal{H}_q$  is **no more equal** to  $\pi H_q^+(x = \xi, \xi)$  (LO):
  - Multiplicative factor  $\mathcal{I}$  depends on  $\xi$ .
  - Integral with **off-diagonal terms**.
  - $\text{Im}\mathcal{H}_q$  **contains** gluon contributions.

# Explicit expressions of Compton Form Factors.

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- $\text{Im}\mathcal{H}_q$  is **no more equal** to  $\pi H_q^+(x = \xi, \xi)$  (LO):
  - Multiplicative factor  $\mathcal{I}$  depends on  $\xi$ .
  - Integral with **off-diagonal terms**.
  - $\text{Im}\mathcal{H}_q$  **contains** gluon contributions.
- **No more direct link** to  $H_q$  even in valence region where  $H_q(-\xi, \xi)$  is expected to be small.

# Explicit expressions of Compton Form Factors.

Compton Scattering: LO vs NLO.

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## Imaginary part of Compton Form Factor $\mathcal{H}_q$ at NLO:

$$\begin{aligned}
 \text{Im}\mathcal{H}_q(\xi, Q^2) &\stackrel{\text{NLO}}{=} \mathcal{I}(\xi)H_q^+(\xi, \xi, \mu_F) \\
 &+ \int_{-1}^{+1} dx \mathcal{T}^q(x) \left( H_q^+(x, \xi, \mu_F) - H_q^+(\xi, \xi, \mu_F) \right) \\
 &+ \text{gluon contributions.}
 \end{aligned}$$

## Due to $\mathcal{O}(\alpha_S(\mu_F))$ corrections:

- $\text{Im}\mathcal{H}_q$  is **no more equal** to  $\pi H_q^+(x = \xi, \xi)$  (LO):
  - Multiplicative factor  $\mathcal{I}$  depends on  $\xi$ .
  - Integral with **off-diagonal terms**.
  - $\text{Im}\mathcal{H}_q$  **contains** gluon contributions.
- **No more direct link** to  $H_q$  even in valence region where  $H_q(-\xi, \xi)$  is expected to be small.

Question: What is the size of these  $\mathcal{O}(\alpha_S(\mu_F))$  corrections?

# Next-to-Leading Order computations.

Large gluon contributions, maximum in the HERMES / COMPASS region.

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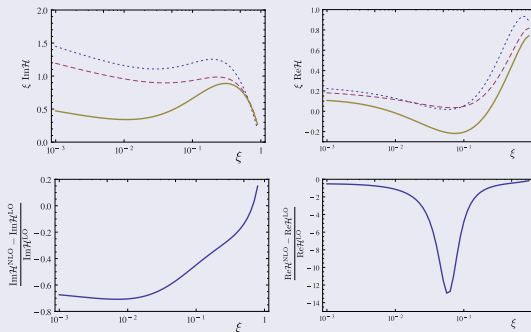
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$\mathcal{H}$  at LO and NLO ( $t = -0.1 \text{ GeV}^2$ ,  $Q^2 = \mu_F^2 = 4. \text{ GeV}^2$ )



Moutarde *et al.*, Phys. Rev. **D87**, 054029 (2013)

dotted: LO    dashed: NLO quark corrections    solid: full NLO

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# Resummation of DVCS.

Resum the leading logarithmic singularity at all orders around  $x = \xi$ .

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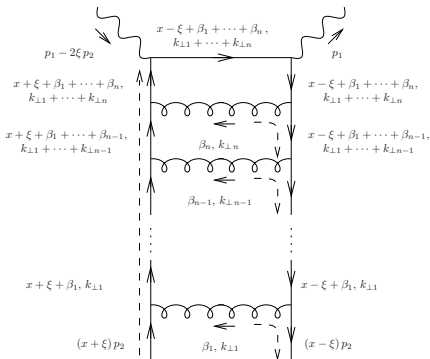
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Altinoluk *et al.*,  
arXiv:1309.2508,  
JHEP **1210**, 049 (2012)

Notation:  $D = \sqrt{\frac{\alpha_s C_F}{2\pi}}$ .

$$(C_0 + C_1)^{res} = \frac{e_q^2}{x - \xi + i\epsilon} \left\{ \cosh \left[ D \log \left( \frac{\xi - x}{2\xi} - i\epsilon \right) \right] - \frac{D^2}{2} \left[ 9 + 3 \frac{\xi - x}{x + \xi} \log \left( \frac{\xi - x}{2\xi} - i\epsilon \right) \right] \right\} - (x \rightarrow -x).$$

# Profile function modification.

Different ways of applying Radyushkin's Double Distribution Ansatz (RDDA).

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- **Ambiguity** in the definition of DD: The following transformation

$$F^q(\beta, \alpha) \rightarrow F^q(\beta, \alpha) + \frac{\partial \sigma^q}{\partial \alpha}(\beta, \alpha),$$

$$G^q(\beta, \alpha) \rightarrow G^q(\beta, \alpha) - \frac{\partial \sigma^q}{\partial \beta}(\beta, \alpha),$$

gives rise to the **same** GPD models.

- This equivalence is **broken** when applying the RDDA, which can be done in infinitely many ways.
- Comparison to data to make the best Ansatz.



# Profile function modification.

Different ways of applying Radyushkin's Double Distribution Ansatz (RDDA).

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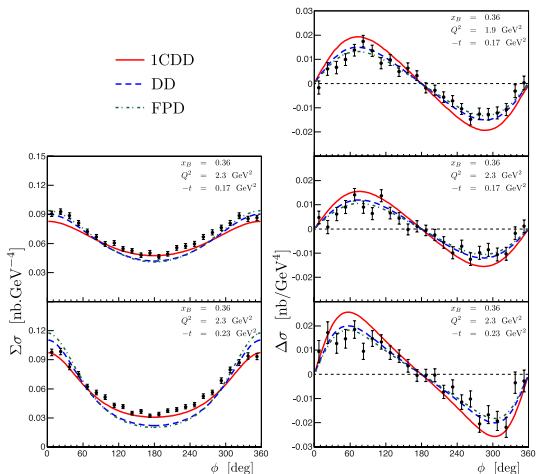
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Mezrag *et al.* , Phys. Rev. **D88**, 014001 (2013)

# Finite- $t$ and target mass corrections.

Recently derived. Preliminary phenomenological estimates.

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Motivation	Definition	Techniques	Kinematics	Results	Summary
<p>where <math>H</math>, <math>E</math> and <math>X = H + E</math> are generalized parton distributions</p> $F \otimes C \equiv \int dx F(x, \xi, t) C(x, \xi).$ <p>and the coefficient functions <math>C_k^\pm(x, \xi)</math> are given by the following expressions:</p> <div style="border: 1px solid blue; padding: 10px; margin: 10px 0;"> <math display="block">C_0^\pm(x, \xi) = \frac{1}{\xi + x - i\epsilon} \pm \frac{1}{\xi - x - i\epsilon},</math> <math display="block">C_1^\pm(x, \xi) = \frac{1}{x - \xi} \ln \left( \frac{\xi + x}{2\xi} - i\epsilon \right) \pm (x \leftrightarrow -x),</math> <math display="block">C_2^\pm(x, \xi) = \left\{ \frac{1}{\xi + x} \left[ \text{Li}_2 \left( \frac{\xi - x}{2\xi} + i\epsilon \right) - \text{Li}_2(1) \right] \pm (x \leftrightarrow -x) \right\} + \frac{1}{2} C_1^\pm(x, \xi).</math> </div> <ul style="list-style-type: none"> <li>• Complete results available for all helicity amplitudes</li> <li>• Factorization checked to <math>1/Q^2</math> accuracy</li> <li>• Gauge and translation invariance checked to <math>1/Q^2</math> accuracy</li> </ul>					
					
V. M. Braun (Regensburg)		Kinematic power corrections to DVCS		Glasgow, 21.06.2013	12 / 20

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# Finite- $t$ and target mass corrections.

Recently derived. Preliminary phenomenological estimates.

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Motivation	Definition	Techniques	Kinematics	Results	Summary
<ul style="list-style-type: none"> <li> <b>General remarks</b> <ul style="list-style-type: none"> <li>The singularities at <math>x = \pm\xi</math> in <math>1/Q^2</math> corrections are <i>weaker</i> (logarithmic) as compared to the leading term. Thus factorization is not endangered.</li> <li>For scalar targets, target mass corrections only enter via <math> P_\perp ^2 \sim  t - t_{\min} </math>; naive Nachtmann-type corrections are always overcompensated by finite-<math>t</math> effects.</li> <li>For the nucleon, the main new effect is that Compton form factor <math>\mathcal{H}</math> receives a sizable mass correction proportional to E-distribution, and vice versa.</li> </ul> </li> </ul>					
V. M. Braun (Regensburg)		Kinematic power corrections to DVCS		Glasgow, 21.06.2013	13 / 20

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# Finite- $t$ and target mass corrections.

Recently derived. Preliminary phenomenological estimates.

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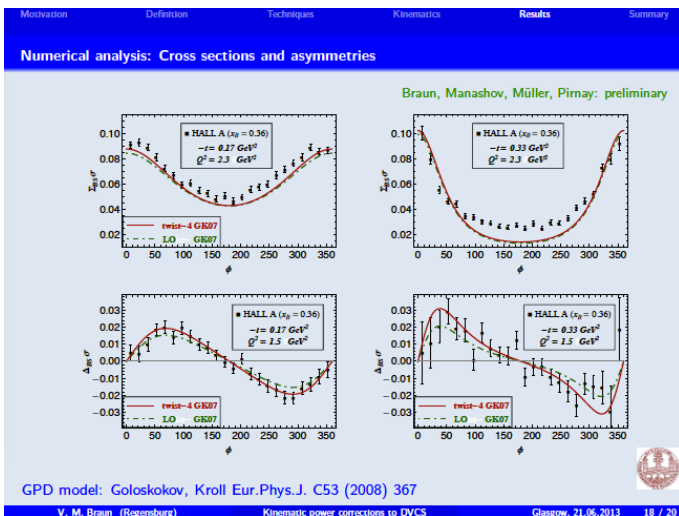
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# Overview of current extraction methods.

Problems: Model dependence ? Degrees of freedom ? Extrapolations ?

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### Local fits

Take each kinematic bin independantly of the others.  
Extraction of  $Re\mathcal{H}$ ,  $Im\mathcal{H}$ , ... as independent parameters.

### Global fit

Take all kinematic bins at the same time. Use a parametrization of GPDs or CFFs.

### Hybrid : Local / global fit

Start from local fits and add smoothness assumption.

### Neural networks

Already used for PDF fits. Exploratory stage for GPDs.

# Overview of current extraction methods.

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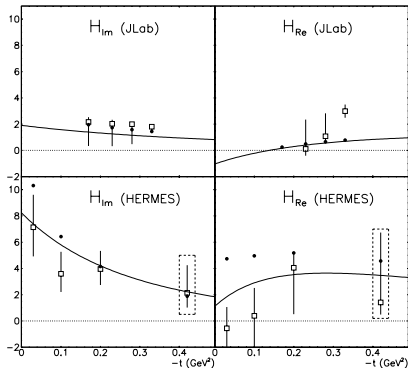
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## Local fits

Take each kinematic bin independantly of the others.

Extraction of  $Re\mathcal{H}$ ,  $Im\mathcal{H}$ , ... as independent parameters.



- □ : "7-CFF" fit results.
- ● : VGG model.
- — : KM fit.

Guidal and Moutarde, Eur. Phys. J. **A42**, 7 (2009)

# Overview of current extraction methods.

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## Local fits: What can be achieved in principle?

- Structure of BSA at twist 2 :

$$\text{BSA}(\phi) = \frac{a \sin \phi + b \sin 2\phi}{1 + c \cos \phi + d \cos 2\phi + e \cos 3\phi}$$

where  $a = \mathcal{O}(Q^{-1})$ ,  $b = \mathcal{O}(Q^{-4})$ ,  $c = \mathcal{O}(Q^{-1})$ ,  
 $d = \mathcal{O}(Q^{-2})$ ,  $e = \mathcal{O}(Q^{-5})$ .

# Overview of current extraction methods.

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## Local fits: What can be achieved in principle?

- Structure of BSA at twist 2 :

$$\text{BSA}(\phi) = \frac{a \sin \phi + b \sin 2\phi}{1 + c \cos \phi + d \cos 2\phi + e \cos 3\phi}$$

- **Underconstrained** problem (8 fit parameters : real and imaginary parts of 4 CFFs  $\mathcal{H}$ ,  $\mathcal{E}$ ,  $\tilde{\mathcal{H}}$  and  $\tilde{\mathcal{E}}$ ).



# Overview of current extraction methods.

Problems: Model dependence ? Degrees of freedom ? Extrapolations ?

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## Local fits: What can be achieved in principle?

- Structure of BSA at twist 2 :

$$\text{BSA}(\phi) = \frac{a \sin \phi + b \sin 2\phi}{1 + c \cos \phi + d \cos 2\phi + e \cos 3\phi}$$

- **Underconstrained** problem.
- Need other asymmetries on **same** kinematic bin to allow extraction of **all CFFs** (or **add**  $\simeq 5\text{-}10\%$  **systematic uncertainty**).

# Overview of current extraction methods.

Problems: Model dependence ? Degrees of freedom ? Extrapolations ?

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## Local fits: What can be achieved in principle?

- Structure of BSA at twist 2 :

$$\text{BSA}(\phi) = \frac{a \sin \phi + b \sin 2\phi}{1 + c \cos \phi + d \cos 2\phi + e \cos 3\phi}$$

- **Underconstrained** problem.
- Need other asymmetries on **same** kinematic bin to allow extraction of **all CFFs**.
- Add physical input? **dispersion relations**, etc.

*Kumericki et al.* , arXiv:1301.1230

*Guidal et al.* , Rept. Prog. Phys. **76**, 066202 (2013)

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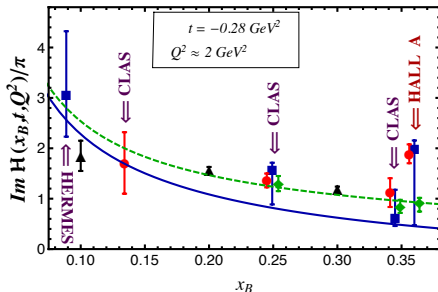
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## Global fit

Take all kinematic bins at the same time. Use a parametrization of GPDs or CFFs.



- Without Hall A data.
- With Hall A data.
- $\triangle$  : neural network.
- $\square$  : "7-CFF" fit results.
- $\diamond$  : " $\mathcal{H} - \tilde{\mathcal{H}}$ ".
- $\circ$  : hybrid fits.

Kumericki and Müller, Exclusive 2010

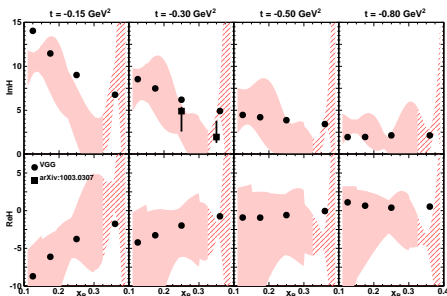
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### Hybrid : Local / global fit

Start from local fits and add smoothness assumption.



- Comparison to VGG model on JLab Hall B kinematics.
- Loss of information during the extraction.

Moutarde, Phys. Rev. **D79**, 094021 (2009)

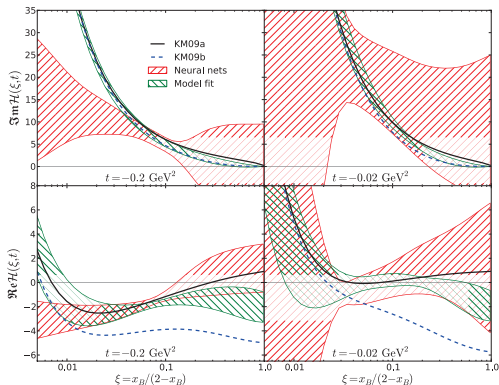
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## Neural networks

Already used for PDF fits. Exploratory stage for GPDs.



Kumericki *et al.* , JHEP 1107, 073 (2011)

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